

## Divisors on real curves

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**Abstract.** Let  $X$  be a smooth projective curve over  $\mathbb{R}$ . In the first part, we study effective divisors on  $X$  with totally real or totally complex support. We give some numerical conditions for a linear system to contain such a divisor. In the second part, we describe the special linear systems on a real hyperelliptic curve and prove a new Clifford inequality for such curves. Finally, we study the existence of complete linear systems of small degrees and dimension  $r$  on a real curve.

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### Introduction

In this note, a real algebraic curve  $X$  is a smooth proper geometrically integral scheme over  $\mathbb{R}$  of dimension 1. A closed point  $P$  of  $X$  will be called a real point if the residue field at  $P$  is  $\mathbb{R}$ , and a non-real point if the residue field at  $P$  is  $\mathbb{C}$ . The set of real points,  $X(\mathbb{R})$ , will always be assumed to be non-empty. It decomposes into finitely many connected components, whose number will be denoted by  $s$ . By Harnack's theorem we know that  $1 \leq s \leq g + 1$ , where  $g$  is the genus of  $X$ . A curve with  $g + 1 - k$  real connected components is called an  $(M - k)$ -curve.

The group  $\text{Div}(X)$  of divisors on  $X$  is the free abelian group generated by the closed points of  $X$ . Let  $D \in \text{Div}(X)$  be an effective divisor. We may write  $D = D_r + D_c$ , in a unique way, such that  $D_r$  and  $D_c$  are effective and with real, respectively non-real, support. We call  $D_r$  (resp.  $D_c$ ) the real (resp. non-real) part of  $D$ . In the sequel, we will say that  $D$  is totally real (resp. non-real), if  $D = D_r$  (resp.  $D = D_c$ ).

By  $\mathbb{R}(X)$ , we denote the function field of  $X$ . Let  $\text{Pic}(X)$  denote the Picard group of  $X$ , which is the quotient of  $\text{Div}(X)$  by the subgroup of principal divisors, i.e. divisors of elements in  $\mathbb{R}(X)$ . Since a principal divisor has an even degree on each connected component of  $X(\mathbb{R})$  ([4] Lemma 4.1), we may introduce the following invariants of  $X$ :

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- (i)  $N(X)$ , the smallest integer  $n \geq 1$  such that any divisor of degree  $n$  is linearly equivalent to a totally real effective divisor (by [11] Theorem 2.7, we know that  $N(X)$  is finite),
- (ii)  $M(X)$ , the smallest integer  $m \geq 1$  such that any divisor  $D$  of degree  $2m$  such that the degree of  $D$  on each connected component of  $X(\mathbb{R})$  is even, is linearly equivalent to a totally non-real effective divisor. If such an integer does not exist, then  $M(X) = +\infty$ .

The principal goal of the paper is to bound the previous invariants in terms of  $g$  and  $s$ . The problem for  $N(X)$  was raised by Scheiderer in [11].

We briefly describe the structure of the paper. In Section 2, we show that

$$g \leq M(X) \leq 2g.$$

Moreover, if  $X$  is a real rational curve or a real elliptic curve, then  $M(X) = 1$ . Using this, we also prove that if  $X \subseteq \mathbb{P}_{\mathbb{R}}^n$ ,  $n \geq 2$ , is a non-degenerate linearly normal curve of degree  $d$  with no pseudo-line in  $X(\mathbb{R})$  (see the Section 2 for the corresponding definitions), and if  $X$  satisfies one of the two following conditions

- (i)  $X$  is rational or elliptic,
- (ii)  $g \geq 2$  and  $d \geq 4g$ ,

then  $X(\mathbb{R})$  is affine in  $\mathbb{P}_{\mathbb{R}}^n$ , i.e. there exists a real hyperplane  $H$  such that  $H(\mathbb{R}) \cap X(\mathbb{R}) = \emptyset$ .

In Section 3, we extend a result proved in [6] for  $M$ -curves, to  $(M - 1)$ -curve:

$$N(X) \leq 2g - 1.$$

Under the assumption of a conjecture of Huisman [9] on unramified curves, we further extend this result to  $(M - 2)$ -curves, the bound being slightly different.

In the last section of the paper, we give a large family of curves for which the invariant  $N$  is explicitly calculated. For these computations, we use the results established in Sections 4 and 5.

In Section 4, we prove a stronger version of the Clifford inequality for real hyperelliptic curves, which sharpen Huisman's general result for real curves [8]: if  $X$  is a real hyperelliptic curve such that  $s \neq 2$  and  $D \in \text{Div}(X)$  is an effective and special divisor of degree  $d$ , then

$$\dim|D| \leq \frac{1}{2}(d - \delta(D)),$$

with  $\delta(D)$  the number of connected components  $C$  of  $X(\mathbb{R})$  such that the degree of the restriction of  $D$  to  $C$  is odd.

Section 5 deals with the existence of complete linear systems of degree  $d$  and dimension  $r \geq 1$  on  $X$ .

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### 1 Preliminaries

We recall here some classical concepts and more notations that we will be using throughout this paper.

Let  $X$  be a real curve. We will denote by  $X_{\mathbb{C}}$  the base extension of  $X$  to  $\mathbb{C}$ . The group  $\text{Div}(X_{\mathbb{C}})$  of divisors on  $X_{\mathbb{C}}$  is the free abelian group on the closed points of  $X_{\mathbb{C}}$ . The Galois group  $\text{Gal}(\mathbb{C}/\mathbb{R})$  acts on the complex variety  $X_{\mathbb{C}}$  and also on  $\text{Div}(X_{\mathbb{C}})$ . We will always indicate this action by a bar. If  $P$  is a non-real point of  $X$ , identifying  $\text{Div}(X)$  and  $\text{Div}(X_{\mathbb{C}})^{\text{Gal}(\mathbb{C}/\mathbb{R})}$ , then  $P = Q + \bar{Q}$  with  $Q$  a closed point of  $X_{\mathbb{C}}$ .

If  $D$  is a divisor on  $X$  or  $X_{\mathbb{C}}$ , we will denote by  $[D]$  its class in the Picard group, and by  $\mathcal{O}(D)$  its associated invertible sheaf. The dimension of the space of global sections of this sheaf will be denoted by  $\ell(D)$  for  $D$  on  $X$ , and by  $\ell_{\mathbb{C}}(D)$  for  $D$  on  $X_{\mathbb{C}}$ .

We will always denote by  $C_1, \dots, C_s$  the connected components of  $X(\mathbb{R})$ . Let  $D \in \text{Div}(X)$ , and denote by  $\text{deg}_{C_i}(D)$  the degree of the restriction of  $D$  to  $C_i$ . Following [4], we will denote by  $c$  the surjective morphism

$$\begin{aligned} \text{Pic}(X) &\rightarrow (\mathbb{Z}/2)^s, \\ [D] &\mapsto (\dots, \text{deg}_{C_i}(D) \bmod 2, \dots), \end{aligned}$$

and we will write  $\delta(D)$  for the number of connected components  $C$  of  $X(\mathbb{R})$  such that  $\text{deg}_C(D)$  is odd. The connected components of  $\text{Pic}^d(X)$ , the subgroup of divisor classes of  $\text{Pic}(X)$  of degree  $d$ , correspond to the fibres of the restriction of  $c$  to  $\text{Pic}^d(X)$ . Let  $u = (u_1, \dots, u_s) \in (\mathbb{Z}/2)^s$ , we will denote by  $U(d; u_1, \dots, u_s) = U(d, u)$  the connected component of  $\text{Pic}^d(X)$  that corresponds to  $c^{-1}(u)$ . Obviously,  $U(d; u_1, \dots, u_s) \neq \emptyset$  if and only if  $\sum_{i=1}^s u_i \equiv d \bmod 2$ . We will also denote the coordinates of  $u = (u_1, \dots, u_s) \in (\mathbb{Z}/2)^s$  by  $c_i(u) = u_i$ .

Let  $J$  be the Jacobian of  $X$ . It is well known that  $\text{Pic}^0(X)$  can be identified with  $J(\mathbb{R})$  since  $X(\mathbb{R}) \neq \emptyset$ . We will denote by  $J(\mathbb{R})_0$  the connected component of the identity of  $J(\mathbb{R})$ . Then  $J(\mathbb{R})_0 = U(0; 0, \dots, 0)$  ([11] Lemma 2.6).

We now reformulate the definition of the invariants  $N$  and  $M$ .

**Definition 1.1.** (i)  $N(X)$  is the smallest integer  $n \geq 1$  such that for any real point  $P$ , and for any  $\alpha \in J(\mathbb{R})$ , there exist  $P_1, \dots, P_n \in X(\mathbb{R})$ , such that  $\alpha = \sum_{i=1}^n [P_i - P]$ , and  
 (ii)  $M(X)$  is the smallest integer  $m \geq 1$ , such that for any real closed point  $P$ , and for any  $\alpha \in J(\mathbb{R})_0$ , there exist non-real points  $Q_1, \dots, Q_m$  such that  $\alpha = \sum_{i=1}^m [Q_i - 2P]$ . If such an integer does not exist, then  $M(X) = +\infty$ .

### 2 Divisors with a complex support

In this section, we bound the invariant  $M(X)$  from above and from below, and give a geometric consequence.

The following proposition justifies the definition of the invariant  $M$ .

**Proposition 2.1.** *Let  $P$  be a real point of  $X$  and  $\alpha \in J(\mathbb{R})_0$ . There is an integer  $m \geq 1$  and non-real points  $Q_1, \dots, Q_m$  such that  $\alpha = \sum_{i=1}^m [Q_i - 2P]$ .*

*Proof.* Let  $P$  be a real closed point of  $X$  and  $\alpha \in J(\mathbb{R})_0$ . Since  $J(\mathbb{R})_0$  is a divisible group, there is  $\beta \in J(\mathbb{R})_0$  such that  $2\beta = \alpha$ . By Riemann–Roch, the map

$$\varphi_d : (S^d X)(\mathbb{R}) \rightarrow \text{Pic}^d(X)$$

is surjective for  $d \geq g$ , where  $S^d X$  denotes the symmetric  $d$ -fold product of  $X$  over  $\mathbb{R}$ . Hence there exists  $D$  an effective divisor of degree  $g$  such that  $\beta + [gP] = [D]$ . By Riemann–Roch, there is an integer  $k$  such that the divisor  $kP$  is very ample as a complex divisor, and also as a real divisor, since  $kP \in \text{Div}(X)$ . Hence  $D + kP$  is also very ample.

Let  $\psi$  denote the embedding of  $X$  in  $\mathbb{P}_{\mathbb{R}}^k$  associated to the linear system  $|D + kP|$ . Let  $S$  be the quadric hypersurface of  $\mathbb{P}_{\mathbb{R}}^k$  with equation  $x_0^2 + \dots + x_k^2 = 0$ . Thus  $2D + 2kP$  is linearly equivalent to the effective divisor  $D'$  of degree  $2(g + k)$  obtained by intersecting  $S$  and  $X$ . Since  $S(\mathbb{R}) = \emptyset$ ,  $D'$  is totally non-real. Hence  $\alpha = [D'] - [2(g + k)P]$ , and the result follows.  $\square$

The method of the previous proof allows us to give an upper bound for  $M(X)$  in terms of  $g$ . The following theorem gives a better result.

**Theorem 2.2.** *Let  $X$  be a curve of positive genus. We have  $M(X) \leq 2g$ .*

*Proof.* Let  $P$  be a real point of  $X$  and  $V = X(\mathbb{C}) \setminus X(\mathbb{R})$ , where  $X(\mathbb{C})$  denote the set of closed points of  $X_{\mathbb{C}}$ .  $X(\mathbb{R})$  is seen as a subset of  $X(\mathbb{C})$ . By Riemann–Roch, the map  $X(\mathbb{C})^g \rightarrow \text{Pic}^g(X_{\mathbb{C}})$  is surjective. Moreover, the map  $S^g X \rightarrow J$  is well known to be a birational morphism of complete varieties. The image  $U$  of the map

$$V^g \rightarrow J(\mathbb{C}), (Q_1, \dots, Q_g) \mapsto \sum_{i=1}^g [Q_i - P],$$

contains therefore an open dense subset of  $J(\mathbb{C})$ . Thus  $U + U = J(\mathbb{C})$ . The image of the norm map  $N : J(\mathbb{C}) \rightarrow J(\mathbb{R}), \alpha \mapsto \alpha + \bar{\alpha}$ , is  $J(\mathbb{R})_0$  (see [11]). So  $N(U) + N(U) = J(\mathbb{R})_0$ , and  $M(X) \leq 2g$ .  $\square$

Since any two divisors with the same degree on a rational real curve are linearly equivalent, we trivially get the following proposition:

**Proposition 2.3.** *Let  $X$  be a real rational curve, then  $M(X) = 1$ .*

For real elliptic curves, the result of Theorem 2.2 can be improved.

**Theorem 2.4.** *Let  $X$  be a real elliptic curve, then  $M(X) = 1$ .*

*Proof.* Let  $P$  be a real point of  $X$  and  $\alpha \in J(\mathbb{R})_0$ . Arguing as in the proof of Proposition 2.1, there is  $\beta \in J(\mathbb{R})_0$  such that  $2\beta = \alpha$  and  $\beta + [P] = [P_0]$ , with  $P_0$  a real point. Then

$$\alpha = [2P_0] - [2P].$$

The linear system  $|3P_0|$  gives a closed immersion  $X \subseteq \mathbb{P}_{\mathbb{R}}^2$ . Using Riemann–Roch and after linear changes of coordinates, we obtain a closed immersion  $\varphi : X \rightarrow \mathbb{P}_{\mathbb{R}}^2$  such that the image is the curve

$$y^2 = (x - a)R(x),$$

with  $a \in \mathbb{R}$  and  $R(x) \in \mathbb{R}[x]$  a monic and separable polynomial of degree 2. The point  $P_0$  goes to the point at infinity  $(0 : 1 : 0)$  on the  $y$ -axis (see [5] Proposition 4.6, p. 319). If we project from  $P_0$  onto the  $x$ -axis, we get a finite morphism  $f : X \rightarrow \mathbb{P}_{\mathbb{R}}^1$  of degree 2, sending  $P_0$  to  $\infty$ , and being ramified at least at one more real point of  $\mathbb{P}_{\mathbb{R}}^1$ , besides  $\infty$ . In fact,  $f$  may be defined using the linear system  $|2P_0|$ . Since  $f$  is ramified with order 2 at  $\infty$ , then locally on one side of  $\infty$  the fiber over  $\mathbb{P}_{\mathbb{R}}^1(\mathbb{R})$  is totally real and on the other side the fiber is totally non-real. In particular, there exists  $\lambda \in \mathbb{P}_{\mathbb{R}}^1(\mathbb{R})$  such that  $f^{-1}(\lambda) = \{Q\}$ , with  $Q$  a non-real point of  $X$ . Then  $[2P_0] = [Q]$  and  $\alpha = [Q] - [2P]$ . □

For a given complete linear system of degree sufficiently big, an upper bound exists for the least degree of the real part of divisors in the linear system.

**Corollary 2.5.** *For any complete linear system  $|D|$  with  $\deg(D) \geq 4g + \delta(D)$  if  $g \geq 2$ ,  $\deg(D) \geq 2 + \delta(D)$  if  $g \in \{0, 1\}$ , there exists  $D' \in |D|$  such that the real part of  $D'$  has degree  $\delta(D)$ .*

*Proof.* We give the proof only for the case  $g \geq 2$ . Let  $P_1, \dots, P_{\delta(D)}$  be some real points belonging to the connected components of  $X(\mathbb{R})$  where the degree of  $D$  is odd, and such that no two of them belong to the same connected component of  $X(\mathbb{R})$ . We set  $d = \deg(D)$ . We remark that  $d - \delta$  is necessarily even. By Theorem 2.2,  $D - \sum_{i=1}^{\delta(D)} P_i$  is linearly equivalent to a totally non-real effective divisor and the proof is done. □

We give a lower bound for the invariant  $M(X)$ .

**Proposition 2.6.** *Assume  $g \geq 2$ . Then  $M(X) \geq g$ .*

*Proof.* Let  $P \in X(\mathbb{R})$  and consider the divisor  $D' = K - P$ , where  $K$  denotes the canonical divisor. Choose  $P' \neq P \in X(\mathbb{R})$  belonging to the same connected component of  $X(\mathbb{R})$  as  $P$ . Since  $X$  is not rational, using the fact that  $\ell(P - P') = 0$ , it follows that

$$\ell(D' + P') = g - 1 = \ell(D').$$

Hence  $P'$  is a base point of the linear system  $|D' + P'|$ . Since  $D' + P'$  has degree  $2g - 2$  and has an even degree on each connected component of  $X(\mathbb{R})$  (see [9] Proposition 2.1), we easily see that  $M(X) > g - 1$ . □

We give now a geometric consequence of the previous results. Let  $X \subseteq \mathbb{P}_{\mathbb{R}}^n$  be a non-degenerate real curve, i.e.  $X$  is not contained in a real hyperplane of  $\mathbb{P}_{\mathbb{R}}^n$ . We will say that  $X(\mathbb{R})$  is affine in  $\mathbb{P}_{\mathbb{R}}^n$  if there exists a real hyperplane  $H$  such that  $H(\mathbb{R}) \cap X(\mathbb{R}) = \emptyset$ . In this case  $X(\mathbb{R})$  is a real algebraic subvariety of  $\mathbb{A}_{\mathbb{R}}^n(\mathbb{R}) = \mathbb{R}^n$  in the sense of [2]. Since the real hypersurface  $S$  of  $\mathbb{P}_{\mathbb{R}}^n$  with equation  $x_0^2 + \dots + x_n^2 = 0$  has no real points,  $X(\mathbb{R})$  is always contained in an affine open subset of  $\mathbb{P}_{\mathbb{R}}^n$ . More precisely the image of  $X(\mathbb{R})$  by the 2-uple embedding is affine in  $\mathbb{P}_{\mathbb{R}}^{1/2(n+1)(n+2)-1}$ . We may wonder if  $X(\mathbb{R})$  is already affine in  $\mathbb{P}_{\mathbb{R}}^n$ . Recall that  $X$  is linearly normal if the restriction map

$$H^0(\mathbb{P}_{\mathbb{R}}^n, \mathcal{O}(1)) \rightarrow H^0(X, \mathcal{O}(1))$$

is surjective. Let  $C$  be a connected component of  $X(\mathbb{R})$ . The component  $C$  is called a pseudo-line if the canonical class of  $C$  is nontrivial in  $H_1(\mathbb{P}_{\mathbb{R}}^n(\mathbb{R}), \mathbb{Z}/2)$ . Equivalently,  $C$  is a pseudo-line if and only if for each real hyperplane  $H$ ,  $H(\mathbb{R})$  intersects  $C$  in an odd number of points, when counted with multiplicities (see [9]). So a necessary condition for  $X(\mathbb{R})$  to be affine in  $\mathbb{P}_{\mathbb{R}}^n$  is that  $X(\mathbb{R})$  has no pseudo-line.

**Proposition 2.7.** *Let  $X \subseteq \mathbb{P}_{\mathbb{R}}^n$ ,  $n \geq 2$ , be a non-degenerate linearly normal curve of degree  $d$  such that  $X(\mathbb{R})$  has no pseudo-line. If  $X$  satisfies one of the two following conditions*

- (i)  $X$  is rational or elliptic,
  - (ii)  $g \geq 2$  and  $d \geq 4g$ ,
- then  $X(\mathbb{R})$  is affine in  $\mathbb{P}_{\mathbb{R}}^n$ .

*Proof.* A hyperplane section has even degree on each connected component of  $X(\mathbb{R})$  and its degree  $\geq 2M(X)$ . The results follows from Corollary 2.5 and the linear normality. □

**Example 2.8.** Let  $X$  be an elliptic quartic curve in  $\mathbb{P}_{\mathbb{R}}^3$  with only one real connected component. Then  $X(\mathbb{R})$  is affine in  $\mathbb{P}_{\mathbb{R}}^3$  since  $X$  satisfies the hypotheses of the proposition:  $X$  is a complete intersection and  $d$  is even (use Bezout’s theorem).

**Proposition 2.9.** *Let  $X \subseteq \mathbb{P}_{\mathbb{R}}^n$  be a non-degenerate curve of degree  $d \leq 2n - 1$  such that  $X(\mathbb{R})$  has no pseudo-line and  $g = d - n$ . If  $n \leq d \leq n + 1$  or  $d \leq \frac{4}{3}n$  then  $X(\mathbb{R})$  is affine in  $\mathbb{P}_{\mathbb{R}}^n$ .*

*Proof.* Let  $H$  be a hyperplane section of  $X$ . By Clifford’s inequality and since  $d \leq 2n - 1$ ,  $H$  is non-special (see Section 4). By Riemann–Roch,  $g = d - \dim|H|$ . Consequently  $\dim|H| = n$  and  $X$  is linearly normal. The proof follows now from Proposition 2.7. □

**Example 2.10.** Let  $X$  be a smooth quartic curve in  $\mathbb{P}_{\mathbb{R}}^2$ . Then  $X$  is the canonical

model of a curve of genus 3. By [4],  $X$  has always odd theta-characteristics that are in one-to-one correspondence with the real bitangent lines to  $X$ . Since the degree of  $X$  is 4, a real bitangent line to  $X$  intersects the curve  $X_{\mathbb{C}}$  only at the two points of tangency. If these two points are non-real and switched by the complex conjugation, then  $X(\mathbb{R})$  is affine in  $\mathbb{P}_{\mathbb{R}}^2$ . If the points are real, we may move the line to get a line which does not intersect  $X(\mathbb{R})$ ,  $X(\mathbb{R})$  is again affine in  $\mathbb{P}_{\mathbb{R}}^2$ . Notice that the conclusion cannot be deduced from Proposition 2.7.

### 3 Divisors with real support

This section is dedicated to the study of the invariant  $N(X)$ . We clearly have

**Proposition 3.1.** *If  $X$  is a real rational curve or a real elliptic curve, then*

$$N(X) = 1.$$

Hence, in the remainder of this section we will assume that  $g > 1$ , and use the invariant  $e$  defined by:

$$e = \begin{cases} \frac{1}{2}(g - s) & \text{if } g - s \text{ even,} \\ \frac{1}{2}(g - s + 1) & \text{if } g - s \text{ odd.} \end{cases}$$

Let us state the principal result of this section:

**Theorem 3.2.** *Any complete linear system of degree  $\geq s - 1 + g$  contains a divisor whose non-real part has degree  $\leq 2e$ .*

*Proof.* Let  $D$  be a divisor of degree  $d \geq s - 1 + g$ . We will prove that  $D$  is linearly equivalent to an effective divisor, whose non-real part has degree  $\leq 2e$ .

Let  $P$  be a real point and  $\alpha = [D - dP] \in J(\mathbb{R})$ . We fix  $R_1, \dots, R_{g-2e}$  in  $g - 2e$  distinct components among  $C_1, \dots, C_s$ . To simplify the proof, we set  $R_i \in C_i$ . Let us denote  $\beta = \alpha + \sum_{i=1}^{g-2e} [P - R_i]$ . Consider the restriction to  $\text{Pic}^0(X)$  of the morphism  $c$  defined in Section 1, then it clearly induces an isomorphism  $J(\mathbb{R})/J(\mathbb{R})_0 \simeq (\mathbb{Z}/2)^{s-1}$ . Hence there exist  $P_{g-2e+1}, \dots, P_{g-2e+s-1} \in X(\mathbb{R})$  such that

$$\beta = \sum_{j=1}^{s-1} [P_{g-2e+j} - P] + \beta_0,$$

with  $\beta_0 \in J(\mathbb{R})_0$ .

By Riemann–Roch, the natural map  $(S^g X)(\mathbb{R}) \rightarrow \text{Pic}^g(X)$  is surjective,  $S^d X$  denoting the symmetric  $d$ -fold product of  $X$  over  $\mathbb{R}$ . Moreover if  $[D'] = [D'']$  in  $\text{Pic}^d(X)$ , then  $\deg_{C_i}(D') \equiv \deg_{C_i}(D'') \pmod{2}$  for  $i = 1, \dots, s$ . Let  $u \in (\mathbb{Z}/2)^s$  such that  $c_i(u) = 1$  for  $i = 1, \dots, g - 2e$  and  $c_{g-2e+1}(u) = 0$ . Consequently, if  $[D'] \in U(g; u)$ , then  $D'$  is linearly equivalent to the effective divisor

$$\sum_{i=1}^{g-2e} P_i + \sum_{i=1}^e Q_i,$$

where

- 1)  $P_i \in C_i, 1 \leq i \leq g - 2e$  and,
- 2)  $Q_i$  is either a non-real point or a sum of two real points contained in the same connected component of  $X(\mathbb{R}), i = 1, \dots, e$ .

The translation by  $-\left[\sum_{i=1}^{g-2e} R_i\right] - 2e[P]$  is a bijection between  $U(g; u)$  and  $J(\mathbb{R})_0 = U(0; 0, \dots, 0)$ , hence

$$\beta_0 + \left[ \sum_{i=1}^{g-2e} R_i \right] + 2e[P] = \sum_{i=1}^{g-2e} [P_i] + \sum_{i=1}^e [Q_i].$$

Finally,

$$\alpha = \sum_{i=1}^{s-1+g-2e} [P_i - P] + \sum_{i=1}^e [Q_i - 2P]$$

and the proof is done. □

The above theorem allows to give an upper bound for  $M$ -curves or  $(M - 1)$ -curves.

**Corollary 3.3.** *Let  $X$  be an  $M$ -curve or an  $(M - 1)$ -curve. Then*

$$N(X) \leq s - 1 + g.$$

In [6], it is shown that  $N(X) \leq 2g - 1$  for  $M$ -curves. Following the method used in [6], we will now show that the result of Theorem 3.2 may be improved in the case  $s \equiv g + 1 \pmod{2}$ .

Let  $s \geq 2$ . By Theorem 3.2, we already know that for every complete linear system  $|D|$  of degree  $\geq s - 1 + g$ , there exists  $D' \in |D|$  such that the non-real part of  $D'$  has degree  $\leq 2e$ . We would like to extend the result to linear systems of degree  $g + d, 0 \leq d \leq s - 2$ , under certain conditions on the invariant  $\delta$ .

**Proposition 3.4.** *Assume  $\deg(D) = g + d$  for  $d \in \{0, \dots, s - 2\}$ . If  $\delta(D) \geq s - d - \frac{1}{2}(1 - (-1)^{s-g})$ , then there exists  $D' \in |D|$  such that the non-real part of  $D'$  has degree  $\leq 2e$ .*

*Proof.* The proof depends on the parity of  $s - g$ .

First, assume that  $s - g$  is odd. For  $i = 1, \dots, s$ , let  $u_i \in (\mathbb{Z}/2)^s$  such that  $c_j(u_i) = 1 - \delta_{i,j}$  ( $\delta$  is Kronecker's symbol). By Riemann–Roch, any divisor in  $U(g, u_i)$  is lin-

early equivalent to an effective divisor whose non-real part has degree  $\leq 2e$ . We translate  $D$  by  $-D''$ , with  $D''$  a totally real effective divisor of degree  $d$  such that  $[D - D''] \in U(g, u_i)$  for a  $i$ . We have  $\delta(D) = g + d \pmod{2}$ . Hence there exists  $k \in \mathbb{Z}$  such that  $\delta(D) + 2k = g + d$ . Moreover  $g + d = s - d - 1 \pmod{2}$ , hence  $g + d = s - d - 1 + 2r$ , with  $r \in \mathbb{Z}$ . By a closer look at these identities, we see that  $k$  and  $r$  are non-negative. Consequently

$$\delta(D) = 2(r - k) + s - d - 1. \tag{1}$$

By the hypothesis  $\delta(D) \geq s - d - 1$ . Hence  $l = r - k \geq 0$  and by (1),

$$(s - \delta(D) - 1) + 2l = d. \tag{2}$$

We remark that  $s - \delta(D)$  corresponds to the number of connected components  $C$  of  $X(\mathbb{R})$  where  $\deg_C(D)$  is even. If  $s \neq \delta(D)$ , then we choose a component  $C_i$  such that  $\deg_{C_i}(D)$  is even, and by (2), we take as  $D''$  a divisor that cuts out schematically a point on the components  $C_j \neq C_i$  where  $\deg_{C_j}(D)$  is even, and a point with multiplicity  $2l$  on  $C_i$ . Then  $[D - D''] \in U(g, u_i)$ . If  $s = \delta(D)$ , then  $d = 2l - 1$  is odd, and we take  $D'' = dP_1$ , with  $P_1 \in C_1$ . Again  $[D - D''] \in U(g, u_1)$ .

Second, assume that  $s - g$  is even.

The situation is simpler since we know that any divisor in  $U(g, u)$ , with  $u = (1, \dots, 1) \in (\mathbb{Z}/2)^s$ , is linearly equivalent to an effective divisor whose non-real part has degree  $\leq 2e$ . So we translate  $D$  by  $-D''$  with  $D''$  a totally real effective divisor of degree  $d$ , such that  $[D - D''] \in U(g, u)$ . By the same arguments as before,

$$\delta(D) = 2(r - k) + s - d, \tag{3}$$

for some non-negative integers  $r$  and  $k$ . If we assume that  $\delta(D) \geq s - d$ , then  $l = r - k \geq 0$ , and by (3),

$$(s - \delta(D)) + 2l = d. \tag{4}$$

Again  $s - \delta(D)$  corresponds to the number of connected components  $C$  of  $X(\mathbb{R})$  where  $\deg_C(D)$  is even. For  $D''$ , we take the sum of any real point with multiplicity  $2l$ , with a divisor whose support consists of a unique point in each of the component  $C$  of  $X(\mathbb{R})$ , where  $\deg_C(D)$  is even. □

**Corollary 3.5.** *Assume  $s - g$  is odd and  $s \geq 2$ . Any complete linear system of degree  $\geq s - 2 + g$  contains a divisor whose non-real part has degree  $\leq 2e$ .*

*Proof.* Using the previous proposition, we only have to prove that if  $D$  is a divisor of degree  $g + s - 2$ , then  $\delta(D) \geq 1$ . If  $\delta(D) = 0$ , then  $g + s - 2$  must be even, contradicting the hypotheses. □

Let us state a nice consequence of the previous results:

**Theorem 3.6.** *Let  $X$  be an  $M$ -curve or an  $(M - 1)$ -curve. Then  $N(X) \leq 2g - 1$ .*

Equivalently, the theorem says that, for an  $M$ -curve or an  $(M - 1)$ -curve, the natural map  $X(\mathbb{R})^{2g-1} \rightarrow \text{Pic}^{2g-1}(X)$  is surjective.

**$(M - 2)$ -curves and unramified real curves in odd-dimensional projective spaces.** Let  $X$  be real curve and  $D \in \text{Div}(X)$ . For  $D = \sum n_i P_i - \sum m_j Q_j$ , with  $n_i$  and  $m_j$  positive, and the sum taken over distinct closed points of  $X$ , we define  $D_{\text{red}} = \sum P_i - \sum Q_j$ . We also define the weight of  $D$  to be the natural number  $w(D) = \deg(D - D_{\text{red}})$ . If  $X \subseteq \mathbb{P}_{\mathbb{R}}^n$ ,  $n \geq 1$ , is non-degenerate, we say that  $X$  is unramified if for each hyperplane  $H$  of  $\mathbb{P}_{\mathbb{R}}^n$ , we have  $w(H \cdot X) \leq n - 1$ .

The corresponding notion of an unramified complex algebraic curve in complex projective space is well understood. Indeed, any unramified complex algebraic curve is a rational normal curve and conversely [3]. Over  $\mathbb{R}$ , the situation is different and Huisman has given the following conjecture (see [9] Conjecture 3.6):

**Conjecture.** *Let  $n \geq 3$  be an odd integer and  $X \subseteq \mathbb{P}_{\mathbb{R}}^n$  be a non-degenerate real algebraic curve of positive genus. If  $X$  is unramified, then  $X$  is an  $M$ -curve.*

We relate this conjecture and the invariant  $N$  studied in this paper.

**Theorem 3.7.** *Let  $X$  be an  $(M - 2)$ -curve. Assuming the above conjecture, we get:*

- (i)  $N(X) \leq 3g - 1$ , if  $g$  is even, and
- (ii)  $N(X) \leq 3g$ , if  $g$  is odd.

*Proof.* Let  $P \in X(\mathbb{R})$  and  $\alpha \in J(\mathbb{R})$ . Recall that  $s = g - 1$  and that  $C_1, \dots, C_{g-1}$  denote the connected components of  $X(\mathbb{R})$ . We may assume that  $P \in C_1$ .

Assume  $g$  is even. Let  $D = P_2 + \dots + P_{g-1} + Q$  be an effective divisor with  $P_i \in C_i$  for  $i = 2, \dots, g - 1$ , and  $Q$  be a non-real point. In fact  $[D] \in U(g; 0, 1, \dots, 1)$ . Let  $D' = D + (g + 1)P$ . Then  $D'$  is very ample and the linear system  $|D'|$  allows us to embed  $X$  in  $\mathbb{P}_{\mathbb{R}}^{g+1}$ . Using the above conjecture,  $X$  is not unramified. Consequently there is an hyperplane  $H$  of  $\mathbb{P}_{\mathbb{R}}^{g+1}$  such that  $H \cdot X = \sum_{i=1}^r n_i R_i + \sum_{j=1}^l m_j Q_j$ , where the sum is taken over distinct points. The  $R_i$  are real points and the  $Q_j$  are non-real points. Moreover,

$$\sum_{i=1}^r n_i + 2 \sum_{j=1}^l m_j = 2g + 1 \tag{5}$$

and

$$w(H \cdot X) = \sum_{i=1}^r (n_i - 1) + 2 \sum_{j=1}^l (m_j - 1) \geq g + 1. \tag{6}$$

Since  $\deg_{C_i}(D')$  is odd for  $i = 1, \dots, s$ , each connected component of  $X(\mathbb{R})$  is a pseudo-line. It follows that

$$w(H \cdot X) = \deg((H \cdot X) - (H \cdot X)_{\text{red}}) \leq (2g + 1) - (g - 1) = g + 2. \tag{7}$$

Using (5), (6) and (7), we get  $g + 2 \geq -(r + 2t) + 2g + 1 \geq g + 1$  and

$$g \geq (r + 2t) \geq g - 1.$$

Since  $r \geq g - 1$ , we have  $t = 0$  and  $D'$  is linearly equivalent to a totally real effective divisor. By Theorem 3.2, there are  $2g - 4$  points  $P_1, \dots, P_{2g-4} \in X(\mathbb{R})$  and  $Q$  a non-real point or a sum of two real points contained in the same connected component of  $X(\mathbb{R})$ , such that

$$\alpha = \left( \sum_{i=1}^{2g-4} [P_i - P] \right) + ([Q] - [2P]).$$

Moreover, looking at the proof of Theorem 3.2, we may choose  $P_i \in C_i$  for  $i = 2, \dots, g - 1$ . Writing  $\alpha = \alpha + (g + 1)[P] - (g + 1)[P]$ , the statement follows from the above construction.

If we assume that  $g$  is odd, the proof is similar but for  $D' = D + (g + 2)P$  here. □

Assuming the above conjecture, we may also obtain a more general result.

**Proposition 3.8.** *Let  $X$  be a real curve such that  $s \leq g - 1$ . Any complete linear system of degree  $\geq s + 2g + \frac{1}{2}(1 - (-1)^g)$  contains a divisor whose non-real part has degree  $\leq 2e - 2$ .*

The above method allows us to have a description of a linear system with one non-real point less. Unfortunately this method is rigid; a repetition of this method does not give a description of a linear system with only real points.

#### 4 Clifford’s inequality and linear systems on real hyperelliptic curves

In this section, we study the family of special linear systems on real algebraic curves. Let  $D \in \text{Div}(X)$  and  $K$  be the canonical divisor. If  $\ell(K - D) > 0$ ,  $D$  is said to be special. If not,  $D$  is said to be non-special. By Riemann–Roch, if  $\deg(D) > 2g - 2$  then  $D$  is non-special. The classical Clifford inequality states that the dimension of a nonempty special complete linear system on a curve is bounded by half of its degree (see [5] Theorem 5.4, p. 343). We now recall the Clifford inequality for real curves given by Huisman ([8] Theorem 3.1).

**Theorem 4.1.** *Let  $D \in \text{Div}(X)$  be an effective divisor of degree  $d$ . The following statements hold.*

- (i) If  $d + \delta(D) < 2s$ , then  $\dim|D| \leq \frac{1}{2}(d - \delta(D))$ .
- (ii) If  $d + \delta(D) \geq 2s$ , then  $\dim|D| \leq d - s + 1$ .

A real hyperelliptic curve is a real curve  $X$  such that  $X_{\mathbb{C}}$  is hyperelliptic, i.e.  $X_{\mathbb{C}}$  has a  $g_2^1$  (a linear system of dimension 1 and degree 2). As always, we assume that  $X(\mathbb{R}) \neq \emptyset$  and moreover that  $g \geq 2$ .

**Lemma 4.2.** *Let  $X$  be a real hyperelliptic curve. Then  $X$  has a unique  $g_2^1$ .*

*Proof.* By [5] Proposition 5.3,  $X_{\mathbb{C}}$  has a unique  $g_2^1$ . Let  $D$  be an effective divisor of degree 2 on  $X_{\mathbb{C}}$  satisfying  $|D| = g_2^1$ . Since this unique  $g_2^1$  is also complete and  $X$  is defined over  $\mathbb{R}$ , we have  $|\bar{D}| = g_2^1$ . Let  $P \in X(\mathbb{R})$ , we also denote by  $P$  the corresponding closed point of  $X_{\mathbb{C}}$ . Since  $\ell_{\mathbb{C}}(D - P) > 0$ , we may assume that  $D = P + Q$  with  $Q$  a closed point of  $X_{\mathbb{C}}$ . Then  $[P + Q] = [P + \bar{Q}]$  in  $\text{Pic}(X_{\mathbb{C}})$  and  $Q = \bar{Q}$ , since  $X_{\mathbb{C}}$  is not rational. Hence  $D = \bar{D}$  and since  $\ell(D) = \ell_{\mathbb{C}}(D)$ , the proof is done.  $\square$

This  $g_2^1$  induces an involution, denoted by  $\iota$ , on the closed points of  $X$ . A real hyperelliptic curve  $X$  is said to be respected by the involution (we will abbreviate by r.b.i.), if for any real point  $P$ ,  $P$  and  $\iota(P)$  belong to the same real connected component. Most real hyperelliptic curves are r.b.i.

**Proposition 4.3.** *Let  $X$  be a real hyperelliptic curve such that  $X$  is not r.b.i. Then  $X$  is given by the real polynomial equation  $y^2 = f(x)$ , where  $f$  is a monic polynomial of degree  $2g + 2$ , with  $g$  odd, and where  $f$  has no real roots. In particular, the number of connected components of  $X(\mathbb{R})$  is 2.*

*Proof.* Using the  $g_2^1$ , we easily see that an affine model of  $X$  is given by the real equation  $y^2 = f(x)$ , with  $\deg(f) = 2g + 2$ . Since  $X$  is not r.b.i.,  $f$  cannot have a real root. We may assume that  $f$  is monic since  $X(\mathbb{R}) \neq \emptyset$ . If  $g$  is even, then  $s = 1$  ([4] Proposition 6.3), contradicting the hypotheses. If  $g$  is odd, then  $X(\mathbb{R})$  has 2 connected components exchanged by  $\iota$ .  $\square$

We give now the Clifford inequality for real hyperelliptic curves which are r.b.i.

**Theorem 4.4.** *Let  $X$  be a real hyperelliptic curve that is r.b.i. and let  $D \in \text{Div}(X)$  be an effective and special divisor of degree  $d$ . Then*

$$\dim|D| \leq \frac{1}{2}(d - \delta(D)).$$

*Proof.* The classical Clifford inequality allows us to assume that  $\delta(D) \geq 1$ . We may further assume that  $C_1, \dots, C_{\delta(D)}$  are the connected components of  $X(\mathbb{R})$ , where the degree of  $D$  is odd. Let  $D' \leq D$  be the greatest effective common subdivisor of  $D$  and  $\iota(D)$  with the property that  $|D'_r| = \frac{\deg(D'_r)}{2}g_2^1$ ,  $D'_r$  denoting the real part of  $D'$ . Write

$D'' = D - D'$ . Since  $X$  is r.b.i., then  $\delta(D') = 0$ . So, there are real points  $P_1, \dots, P_{\delta(D)}$  such that  $P_1 + \dots + P_{\delta(D)} \leq D''$  and  $P_i \in C_i, i = 1, \dots, \delta(D)$ . We remark that

- a)  $d + \delta(D) \leq 2g - 2$  since  $D$  is special ([9] Theorem 2.3),
- b)  $\iota(P_i) \notin \text{Supp}(D'')$  or  $P_i$  is a fixed point for  $\iota$  such that  $2P_i$  is not a subdivisor of  $D''$ ,  $i = 1, \dots, \delta(D)$ .

Let  $\omega$  be a global differential form on  $X$ , such that  $\text{div}(\omega) \geq D$ . Then  $\text{div}(\omega) \geq D + \iota(P_1) + \dots + \iota(P_{\delta(D)})$ , since  $K = (g - 1)g_2^1$  and  $d + \delta(D) \leq 2g - 2$ . Hence  $\ell(K - D) = \ell(K - (D + \iota(P_1) + \dots + \iota(P_{\delta(D)})))$ , and  $D + \iota(P_1) + \dots + \iota(P_{\delta(D)})$  is also special. By Riemann–Roch,

$$\dim|D| - \dim|K - (D + \iota(P_1) + \dots + \iota(P_{\delta(D)}))| = d - g + 1, \tag{8}$$

and

$$\begin{aligned} \dim|D + \iota(P_1) + \dots + \iota(P_{\delta(D)})| - \dim|K - (D + \iota(P_1) + \dots + \iota(P_{\delta(D)}))| \\ = d + \delta(D) - g + 1. \end{aligned} \tag{9}$$

Since  $D + \iota(P_1) + \dots + \iota(P_{\delta(D)})$  is effective and special, by the classical Clifford inequality, we get

$$\dim|D + \iota(P_1) + \dots + \iota(P_{\delta(D)})| \leq \frac{1}{2}(d + \delta(D)).$$

Replacing in (9), we have

$$\begin{aligned} \dim|K - (D + \iota(P_1) + \dots + \iota(P_{\delta(D)}))| &\leq \frac{1}{2}(d + \delta(D)) - (d + \delta(D)) + g - 1 \\ &= g - 1 - \frac{1}{2}(d + \delta(D)). \end{aligned} \tag{10}$$

Finally, combining (8) and (10), we get

$$\dim|D| \leq \frac{1}{2}(d - \delta(D)). \quad \square$$

**Theorem 4.5.** *Let  $X$  be a real hyperelliptic curves that is not r.b.i. and let  $D \in \text{Div}(X)$  be an effective and special divisor of degree  $d$ . Then*

$$\dim|D| \leq \frac{1}{2}(d - \delta(D)),$$

except when  $|D| = rg_2^1$ , with  $0 < r < g - 1$  and  $r$  odd, in which case  $\dim|D| = r = \frac{1}{2}d$ .

*Proof.* We recall that under these hypotheses  $s = 2$ . If  $\delta(D) = 0$ , the classical Clifford inequality applies.

So let us assume  $\delta(D) = 1$ . As in the previous proof, we write  $D = D' + D''$ , with  $D'$  the greatest effective common subdivisor of  $D$  and  $\iota(D)$ , and  $D''$  effective. Since  $\delta(D) = 1$  and since the two connected components of  $X(\mathbb{R})$  are exchanged by  $\iota$ , we easily see that there exists a real point  $P$  in the support of  $D''$ . Repeating the proof of the previous theorem, we get the result.

Now, if  $\delta(D) = 2$ , then the above arguments give the proof, except when  $D$  is invariant by  $\iota$ . In this case  $|D| = rg_2^1$ ,  $r$  is odd and  $\dim|D| = r$ . □

We give some applications of the previous theorems. We know that Castelnuovo’s inequality is one of the consequences of the (complex) Clifford inequality (see [3] corollary p. 251). Hence, we obtain a Castelnuovo inequality for real hyperelliptic curves.

**Proposition 4.6.** *Let  $n \geq 2$  be an integer and  $X \subseteq \mathbb{P}_{\mathbb{R}}^n$  be a non-degenerate real hyperelliptic curve r.b.i. Let  $d$  be the degree of  $X$  and  $\delta$  be the number of pseudo-lines of  $X$ . Assume  $d < 2n + \delta$ . Then*

$$g \leq d - n,$$

*with equality holding if and only if  $X$  is linearly normal.*

*Proof.* Let  $H$  be a hyperplane section of  $X$ . Then  $\dim|H| \geq n > \frac{1}{2}(d - \delta(H))$  by the hypotheses. Theorem 4.4 says that  $H$  is non-special and by Riemann–Roch,

$$g = d - \dim|H| \leq d - n.$$

Clearly, the previous inequality becomes an equality if and only if the map  $H^0(\mathbb{P}_{\mathbb{R}}^n, \mathcal{O}(1)) \hookrightarrow H^0(X, \mathcal{O}(1))$  is an isomorphism. □

**Proposition 4.7.** *Let  $X$  be a real hyperelliptic curve r.b.i. Let  $D = P_1 + \dots + P_r$ ,  $0 \leq r \leq g$ , such that  $P_1, \dots, P_r \in X(\mathbb{R})$  and such that no two of them belong to the same connected component of  $X(\mathbb{R})$ . Then  $\ell(D) = 1$ .*

**Remark 4.8.** In the previous proposition, if  $X$  is any real algebraic curve and  $r = s$ , by Theorem 4.1, we can only say that  $\ell(D) \leq 2$ .

Let us set some more notations. For  $d \geq 0$ , let  $S^d X$  denote the symmetric  $d$ -fold product of  $X$  over  $\mathbb{R}$ . We have a natural map  $\varphi_d : (S^d X)(\mathbb{R}) \rightarrow \text{Pic}^d(X)$ . Write  $W_d(\mathbb{R}) = \text{Im}(\varphi_d)$  for the real part of the subvariety  $W_d$  of  $\text{Pic}^d(X_{\mathbb{C}})$  (see [1]), and  $\theta(\mathbb{R}) \subseteq J(\mathbb{R})$  for the real part of the theta divisor.

**Proposition 4.9.** *Let  $X$  be a real hyperelliptic curve r.b.i. such that  $s \geq g - 1$ . If  $u \in (\mathbb{Z}/2)^s$  satisfies  $\sum_{i=1}^s c_i(u) \geq g - 1$ , then  $W_{g-1}(\mathbb{R}) \cap U(g - 1; u)$  does not contain any singularity of  $W_{g-1}$ .*

*Proof.* If  $\sum_{i=1}^s c_i(u) \geq g$ , then  $W_{g-1}(\mathbb{R}) \cap U(g-1; u) = \emptyset$ . Let  $[D] \in W_{g-1}(\mathbb{R}) \cap U(g-1; u)$ , where  $u \in (\mathbb{Z}/2)^s$  satisfies  $\sum_{i=1}^s c_i(u) = g-1$ . Then  $\ell(D) > 0$  and we may assume that  $D$  is effective. By [1] Corollary 4.5, p. 190, the singular points of  $W_{g-1}$  correspond to the complete linear systems of dimension  $\geq 1$  and degree  $g-1$ . By Theorem 4.4,  $\dim|D| = 0$ , hence the result.  $\square$

We may extend the previous proposition in any degree.

**Proposition 4.10.** *Let  $X$  be a real hyperelliptic curve r.b.i., and let  $d$  be a non-negative integer  $\leq s$ . If  $u \in (\mathbb{Z}/2)^s$  satisfies  $\sum_{i=1}^s c_i(u) \geq d$ , then  $W_d(\mathbb{R}) \cap U(d; u)$  does not contain any singularity of  $W_d$ .*

We prove a result similar to Theorem 3.1 in [7].

**Proposition 4.11.** *Let  $X$  be a real hyperelliptic curve r.b.i. which is an  $(M-2)$ -curve. Then  $C_1 \times \dots \times C_{g-1}$  is homeomorphic to the real part of the theta divisor contained in the neutral component  $J(\mathbb{R})_0$  of the real part of the Jacobian.*

*Proof.* By Proposition 4.9,  $C_1 \times \dots \times C_{g-1}$  is homeomorphic to the part of  $W_{g-1}(\mathbb{R})$  contained in  $U(g-1; 1, \dots, 1)$ . We may easily find a theta-characteristic  $\kappa \in \text{Pic}^{g-1}(X)$  (i.e.  $2\kappa = [K]$ ) such that  $\kappa \in U(g-1; 1, \dots, 1)$ . By Riemann’s theorem (see [1] p. 27),  $W_{g-1} = \theta + \kappa$  and the proof is straightforward.  $\square$

**Remark 4.12.** Most of the results of this section are also valid for any real algebraic curve with  $s \geq g$  (see [8] and Theorem 4.1).

The following result states a remarkable property of some special linear systems.

**Proposition 4.13.** *Let  $X$  be a real hyperelliptic curve r.b.i. Let  $D \in \text{Div}(X)$  be a special effective divisor of degree  $d$  satisfying  $\dim|D| = \frac{1}{2}(d - \delta(D))$ . Then  $|D|$  contains a totally real divisor.*

*Proof.* Firstly, we assume that  $d \leq g$ . A consequence of the geometric version of the Riemann–Roch theorem is that any complete  $g'_d$  on  $X_{\mathbb{C}}$  is of the form

$$rg_2^1 + P_1 + \dots + P_{d-2r},$$

where no two of the  $P_i$  are conjugate under  $\iota$ . Hence the complete linear system  $|D|$  on  $X_{\mathbb{C}}$  is of this form, with  $r = \frac{1}{2}(d - \delta(D))$ . Since  $D = \bar{D}$ , we have  $D' = P_1 + \dots + P_{d-2r} \in \text{Div}(X)$ . It follows that  $|\bar{D}|$  is of the form

$$\frac{1}{2}(d - \delta(D))g_2^1 + D',$$

where  $D'$  is an effective divisor of degree  $\delta(D)$ . Any divisor in  $g_2^1$  is linearly equivalent

to  $P + \iota(P)$  where  $P \in X(\mathbb{R})$ . Since  $X$  is r.b.i., we have  $\delta(D') = \delta(D)$  and  $D'$  is totally real.

Secondly, let  $d > g$ . The residual divisor  $K - D$  is of degree  $2g - 2 - d < g - 2$ . Since the degree of  $K$  is even on each connected component of  $X(\mathbb{R})$ ,  $K - D$  is also special and satisfies  $\delta(K - D) = \delta(D)$ . So,  $\dim|K - D| = \frac{1}{2}(d - \delta(D)) - d + g - 1 = \frac{1}{2}(2g - 2 - d - \delta(D)) = \frac{1}{2}(\deg(K - D) - \delta(K - D))$ . We may apply the first part of the proof to  $K - D$  to obtain that

$$[K - D] = \left[ \frac{1}{2}(2g - 2 - d - \delta(D))(P + \iota(P)) \right] + [P_1 + \dots + P_{\delta(D)}], \tag{11}$$

where  $P, P_1, \dots, P_{\delta(D)} \in X(\mathbb{R})$  and no two of the  $P_i$  are conjugate under  $\iota$ . Since  $|K| = (g - 1)g_2^1$ , then  $[K] = [(g - 1)(P + \iota(P))]$ . From (11), we get

$$[D] = \left[ \frac{1}{2}(d + \delta(D))(P + \iota(P)) \right] - [P_1 + \dots + P_{\delta(D)}].$$

Then  $[D] - [\iota(P_1) + \dots + \iota(P_{\delta(D)})] = \left[ \frac{1}{2}(d - \delta(D))(P + \iota(P)) \right]$  and the proof is done. □

### 5 Existence of special linear systems of dimension $r$ on real curves

Curves are classified by their genus. But we may further subdivide them according to whether or not they possess complete  $g_d^r$ , i.e. complete linear systems of degree  $d$  and dimension  $r \geq 1$ , for various  $d$  and  $r$ . For complex curves, we may find numerous results on this subject, it is a part of the Brill–Noether theory. This section deals with these problems for real curves.

#### 5.1 Complete linear systems of dimension $r$ on real curves.

**Definition 5.1.** For  $X$  a real curve and  $r$  a positive integer, we set:

- (i)  $\rho_{\mathbb{C}}(X, r) = \inf\{d \in \mathbb{N} \mid X_{\mathbb{C}} \text{ has a complete } g_d^r\}$ .
- (ii)  $\rho_{\mathbb{R}}(X, r) = \inf\{d \in \mathbb{N} \mid X \text{ has a complete } g_d^r\}$ .

For  $g \geq 0$  and  $r > 0$ , we set:

- (iii)  $\rho_{\mathbb{C}}(g, r) = \sup\{\rho_{\mathbb{C}}(X, r) \mid X \text{ is a curve of genus } g\}$ .
- (iv)  $\rho_{\mathbb{R}}(g, r) = \sup\{\rho_{\mathbb{R}}(X, r) \mid X \text{ is a curve of genus } g\}$ .

**Remark 5.2.** It is easy to check that the  $g_{\rho_{\mathbb{R}}(X,r)}^r$  and  $g_{\rho_{\mathbb{C}}(X,r)}^r$  are necessarily complete.

Using the Riemann–Roch formula, it is easy to show that  $\rho_{\mathbb{R}}(0, r) = \rho_{\mathbb{C}}(0, r) = r$ , and that  $\rho_{\mathbb{R}}(1, r) = \rho_{\mathbb{C}}(1, r) = r + 1$ . In the remainder of the section we will assume that  $g \geq 2$ .

**Remarks 5.3.** If  $r > g - 1$ , by the classical Clifford inequality, a complete  $g^r_d$  is non-special and  $\rho_{\mathbb{R}}(X, r) = g + r$ . From now on, we will also assume that  $r \leq g - 1$  in order to deal with special linear systems. By the classical Clifford inequality, and since there exist non-special linear systems of degree  $g + r$ , we have

$$2r \leq \rho_{\mathbb{R}}(X, r) \leq g + r.$$

Since the canonical divisor is invariant by the complex conjugation, by the previous inequality, we have the equalities

$$\rho_{\mathbb{R}}(X, g - 1) = \rho_{\mathbb{R}}(g, g - 1) = \rho_{\mathbb{C}}(X, g - 1) = \rho_{\mathbb{C}}(g, g - 1) = 2g - 2.$$

From the classical theory of special linear systems (see [1] Theorem 1.1 p. 206, Theorem 1.5 p. 214), we may see  $\rho_{\mathbb{C}}(g, r)$  as the smallest integer  $d$  such that the Brill–Noether number  $\rho(g, r, d) = g - (r + 1)(g - d + r)$  is non-negative.

Now, we state the principal result of this section.

**Theorem 5.4.** *Let  $X$  be a real curve and let  $r$  be an integer such that  $1 \leq r \leq g - 1$ . Then*

- (i)  $\rho_{\mathbb{R}}(X, r) \leq \rho_{\mathbb{R}}(g, r) \leq g + r - 1$ , and
- (ii)  $\rho_{\mathbb{C}}(X, r) \leq \rho_{\mathbb{R}}(X, r) \leq 2\rho_{\mathbb{C}}(X, r) - 2r$ .

*Proof.* For (i), let  $D \in \text{Div}(X)$  be an effective divisor of degree  $g - 1 - r$ . Choosing  $D$  general, we have  $\ell(D) = 1$ . Then the residual divisor  $K - D \in \text{Div}(X)$  and satisfies  $\ell(K - D) = 1 - (g - 1 - r) + g - 1 = r + 1$ , and we get the first assertion.

As for (ii), clearly  $\rho_{\mathbb{C}}(X, r) \leq \rho_{\mathbb{R}}(X, r)$ , since for any divisor  $D \in \text{Div}(X)$  we have  $\ell(D) = \ell_{\mathbb{C}}(D)$ . It remains to show that

$$\rho_{\mathbb{R}}(X, r) \leq 2\rho_{\mathbb{C}}(X, r) - 2r.$$

Let  $d = \rho_{\mathbb{C}}(X, r)$  and let  $D \in \text{Div}(X_{\mathbb{C}})$  be an effective divisor of degree  $d$  such that  $\dim_{\mathbb{C}}|D| = r$ . Let  $P_1, \dots, P_r$  be real points of  $X$ . We also denote by  $P_1, \dots, P_r$  the corresponding closed points of  $X_{\mathbb{C}}$ . We may choose  $P_1, \dots, P_r$  such that  $\ell(P_1 + \dots + P_r) = 1$  and  $\ell_{\mathbb{C}}(D - P_1 - \dots - P_r) = 1$ . Moreover, we may assume that  $D = D'' + D'$ , where:

- 1)  $D''$  is an effective divisor of degree  $u$  satisfying  $\bar{D}'' = D''$ , and having  $P_1, \dots, P_r$  in its support.
- 2)  $D'$  is an effective divisor such that there is no nonzero effective divisor  $\leq D'$  invariant by the complex conjugation.

If  $D' = 0$ , then  $D \in \text{Div}(X)$  and  $\rho_{\mathbb{C}}(X, r) = \rho_{\mathbb{R}}(X, r)$ . So, assume  $D' \neq 0$  and let  $l = \dim|D''|$ . If  $r = l$ , then  $|D|$  has a base point, but then  $X_{\mathbb{C}}$  has a complete  $g^r_k$

with  $k < d$ , hence a contradiction. It follows that  $r > l$ . Since  $X$  is a real curve,  $\dim_{\mathbb{C}}|D'' + \bar{D}'| = r$ . We may find suitable nonzero effective divisors  $D'_1, \dots, D'_{r-l}$  such that

- 1)  $D' = D'_1 + \dots + D'_{r-l}$ , and
- 2)  $\ell_{\mathbb{C}}(D'' + D'_1 + \dots + D'_i) = \ell_{\mathbb{C}}(D'') + i, i = 1, \dots, r - l$ .

Let  $\{1, f_1, \dots, f_r\}$  be a base of  $H^0(X_{\mathbb{C}}, \mathcal{O}(D'' + \bar{D}'))$  and  $g_1, \dots, g_{r-l} \in H^0(X_{\mathbb{C}}, \mathcal{O}(D'' + D'))$  such that

- 1)  $g_1 \in H^0(X_{\mathbb{C}}, \mathcal{O}(D'' + D'_1)) \setminus H^0(X_{\mathbb{C}}, \mathcal{O}(D''))$ , and
- 2)  $g_i \in H^0(X_{\mathbb{C}}, \mathcal{O}(D'' + D'_1 + \dots + D'_i)) \setminus nH^0(X_{\mathbb{C}}, \mathcal{O}(D'' + D'_1 + \dots + D'_{i-1}))$ ,  $i = 2, \dots, r - l$ .

*Claim.*  $\ell_{\mathbb{C}}(D'' + D' + \bar{D}') \geq 2r + 1 - l$ . More precisely, we show, by induction on  $i$ , that  $1, f_1, \dots, f_r, g_1, \dots, g_i$  are linearly independent in the vector space  $H^0(X_{\mathbb{C}}, \mathcal{O}(D'' + D'_1 + \dots + D'_i + \bar{D}'))$ , i.e.  $\ell_{\mathbb{C}}(D'' + D'_1 + \dots + D'_i + \bar{D}') \geq r + 1 + i$ .

For  $i = 1, 1, f_1, \dots, f_r, g_1 \in H^0(X_{\mathbb{C}}, \mathcal{O}(D'' + D'_1 + \bar{D}'))$  and  $1, f_1, \dots, f_r$  are linearly independent. If  $g_1$  were a linear combination of  $1, f_1, \dots, f_r$ , then  $g_1$  would be a global section of  $\mathcal{O}(D'' + \bar{D}')$  and also  $\text{div}_{\infty}(g_1) \leq D'' + \bar{D}'$ . By the construction of  $g_1, \text{div}_{\infty}(g_1) \leq D'' + D'_1$ . Since  $\bar{D}'$  and  $D'_1$  have distinct supports, we would have  $\text{div}_{\infty}(g_1) \leq D''$ . This is a contradiction.

Assume now that  $1, f_1, \dots, f_r, g_1, \dots, g_{i-1}$  ( $r - l > i > 1$ ) are linearly independent and that  $g_i$  would be a linear combination of  $1, f_1, \dots, f_r, g_1, \dots, g_{i-1}$ . Arguing as in the case  $i = 1$ , the pole divisor of  $g_i$  would be  $\leq D'' + \bar{D}' + D'_1 + \dots + D'_{i-1}$ . By the construction of  $g_i$ , and since  $\bar{D}'$  and  $D'_i$  have distinct supports, we would obtain  $\text{div}_{\infty}(g_i) \leq D'' + D'_1 + \dots + D'_{i-1}$ , contradicting the fact that  $g_i \notin H^0(X_{\mathbb{C}}, \mathcal{O}(D'' + D'_1 + \dots + D'_{i-1}))$ . This ends the proof of the claim.

Since  $D'' + D' + \bar{D}'$  is invariant by the complex conjugation, we get  $\ell(D'' + D' + \bar{D}') = \ell_{\mathbb{C}}(D'' + D' + \bar{D}') \geq 2r + 1 - l$ . Let  $P'_1, \dots, P'_{r-l}$  be suitable real points. Then  $\ell(D'' + D' + \bar{D}' - P'_1 - \dots - P'_{r-l}) \geq r + 1$ , and  $X$  has at least one complete  $g^r_{2d-u-r+l}$ . To get the second assertion of the theorem, it is sufficient to prove that  $r \leq u - l$ . Since  $\dim_{\mathbb{C}}|P_1 + \dots + P_r| = 0$  and  $D'' = P_1 + \dots + P_r + E$ , with  $E \in \text{Div}(X)$  an effective divisor of degree  $u - r$ , we get  $l = \dim_{\mathbb{C}}|P_1 + \dots + P_r + E| \leq u - r$ . □

Let us mention some consequences of Theorem 5.4.

**Corollary 5.5.** *Let  $X$  be a real hyperelliptic curve and  $r$  be an integer such that  $1 \leq r \leq g - 1$ . Then  $\rho_{\mathbb{R}}(X, r) = 2r$ .*

*Proof.* Since  $\rho_{\mathbb{C}}(X, r) = 2r$ , using the first inequality of the theorem, the result follows. □

**Corollary 5.6.** *Let  $X$  be a real curve of genus  $g$  which is not hyperelliptic, and  $r$*

be an integer such that  $1 \leq r < g - 1$ . Then  $\rho_{\mathbb{R}}(X, r) > 2r$ . In particular, we have  $\rho_{\mathbb{R}}(3, 1) = 3$ .

*Proof.* The proof is clear by Clifford’s inequality and by the existence of real non-hyperelliptic curves of genus 3. □

**Corollary 5.7.** *Let  $X$  be a real curve. Then the map  $\varphi_g : (S^g X)(\mathbb{R}) \rightarrow \text{Pic}^g(X)$  is not injective.*

*Proof.* If  $D \in \text{Div}(X)$  of degree  $d$  satisfies  $\ell(D) = 2$ , then the fiber of  $\varphi_d$  at  $[D]$  is one dimensional and the map  $\varphi_d$  is not injective. Theorem 5.4 asserts the existence of a  $g^1$  on  $X$ , hence we get the result. □

**Remark 5.8.** In Theorem 5.4, we get two upper bounds for  $\rho_{\mathbb{R}}$ , one of them depending on  $\rho_{\mathbb{C}}$ , but not the other. It is interesting to compare these two bounds. The invariant  $\rho_{\mathbb{C}}$  is given by the Theorems 1.1 p. 206, 1.5 p. 214, in [1]. For  $X$  a general curve of genus  $g$  and  $1 \leq r \leq g - 1$ :

$$\rho_{\mathbb{C}}(X, r) = g + r - \left\lfloor \frac{g}{r + 1} \right\rfloor.$$

$(\lfloor \frac{g}{r+1} \rfloor)$  is the integral part of  $\frac{g}{r+1}$ . We thus obtain

$$\rho_{\mathbb{R}}(X, r) \leq \min \left\{ g + r - 1, 2g - 2 \left\lfloor \frac{g}{r + 1} \right\rfloor \right\}.$$

Assume  $\frac{g}{r+1} \in \mathbb{N}$ . We see that  $2g - 2\frac{g}{r+1} = g + r - 1$  if  $r = 1$  or  $r = g - 1$ , and that  $2g - 2\frac{g}{r+1} > g + r - 1$  if not. Hence  $\rho_{\mathbb{R}}(g, r) \leq g + r - 1$  is the best upper bound we may find at this moment. As we have seen for hyperelliptic curves, the second inequality of Theorem 5.4 gives a smaller upper bound for  $\rho_{\mathbb{R}}(X, r)$  only when  $X$  is a special curve.

**5.2 Complete linear systems of dimension 1 on real curves.** The following theorem is a refinement of Theorem 5.4 in dimension 1.

**Proposition 5.9.** *Let  $X$  be a real curve of genus  $g \geq 2$ . If  $X_{\mathbb{C}}$  has exactly an odd number of  $g^1_{\rho_{\mathbb{C}}(X, 1)}$ , then  $\rho_{\mathbb{R}}(X, 1) = \rho_{\mathbb{C}}(X, 1)$ .*

*Proof.* We have  $\rho_{\mathbb{C}}(X, 1) \geq 2$  since  $X$  is not rational. Let  $d = \rho_{\mathbb{C}}(X, 1)$ . Assume that  $X_{\mathbb{C}}$  has exactly  $2n + 1$  distinct  $g^1_d$ ,  $n \in \mathbb{N}$ . Let  $D'_i$ ,  $i = 1, \dots, 2n + 1$ , some effective divisors on  $X_{\mathbb{C}}$  such that the linear systems  $|D'_i|$  are the  $2n + 1$  distinct  $g^1_d$ . We may clearly assume that, for every  $i$ ,  $D'_i = P + D_i$ , with  $P$  a closed point of  $X_{\mathbb{C}}$  satisfying  $\bar{P} = P$  and with  $D_i \in \text{Div}(X_{\mathbb{C}})$  an effective divisor of degree  $d - 1$ . Since  $X$  is real, the linear systems  $|\bar{D}'_i|$  are also  $g^1_d$ . Consequently, there exists  $k \in \{1, \dots, 2n + 1\}$  such that  $|D'_k| = |\bar{D}'_k|$ , since an involution acting on a finite set with an odd number of elements has a fixed point. Hence  $P + D_k$  is linearly equivalent to  $P + \bar{D}_k$ . Conse-

quently, either  $D_k = \bar{D}_k$  and we have the claim, or  $|D_k|$  is a  $g_{d-1}^1$ , but then  $d$  is not minimal. □

**Corollary 5.10.** *Let  $X$  be a general real curve of genus 6. Then  $\rho_{\mathbb{C}}(X, 1) = \rho_{\mathbb{R}}(X, 1) = 4$ .*

*Proof.* Since  $X_{\mathbb{C}}$  has five  $g_4^1$  (see [3] p. 299), the proof follows from the above proposition. □

**5.3 A real Brill–Noether number.** In the context, a natural question one can ask is about the existence of a real curve  $X$  of genus  $g \geq 2$  with  $\rho_{\mathbb{R}}(X, 1) = g$ . Such an existence, for any  $g \geq 2$ , would show that  $\rho_{\mathbb{R}}(g, 1) = g$ .

If  $d < g$  and  $X$  is a real curve of genus  $g$  having a  $g_d^1$ , then, adding  $(g - 1 - d)$  general real points to this  $g_d^1$ , we get a complete  $g_{g-1}^1$ . By [1] Corollary 4.5, p. 190, the singularities of  $W_{g-1} = \varphi_{g-1}(S^{g-1}X_{\mathbb{C}})$  are the complete  $g_{g-1}^k$  with  $k > 0$ , where  $\varphi_{g-1} : S^{g-1}X_{\mathbb{C}} \rightarrow \text{Pic}^{g-1}(X_{\mathbb{C}}); (P_1, \dots, P_{g-1}) \mapsto [P_1 + \dots + P_{g-1}]$  is the natural map. By Riemann’s singularity theorem (see [1] p. 226), the singular part of the theta divisor  $\theta \subseteq J(\mathbb{C})$  is a translation (by a theta-characteristic) of the singular part of  $W_{g-1}$ . Recall that a real curve always admits real theta-characteristics [4]. Hence we may reformulate the previous question asking if there exist real curves of genus  $g \geq 2$  with  $\theta(\mathbb{R})$  non-singular. We state the following conjecture:

**Conjecture 1.** *Let  $g \geq 2$  be an integer. There exists a real curve  $X$  of genus  $g$  such that the singularities of the theta divisor  $\theta \subseteq J(\mathbb{C})$  are not real.*

**Proposition 5.11.** *The above conjecture holds for  $2 \leq g \leq 4$ .*

*Proof.* The conjecture holds trivially for genus 2 curves, and genus 3 curves since there exist non-hyperelliptic real curves of genus 3.

Following Gross and Harris [4], we may show that the conjecture holds for genus 4 curves. Let  $X$  be a real trigonal curve (i.e.  $\rho_{\mathbb{C}}(X, 1) \leq 3$ ) of genus 4 which is non-hyperelliptic. Its canonical model lies on a unique real quadric surface  $S \subseteq \mathbb{P}_{\mathbb{R}}^3$ . For a general  $X$ ,  $S$  is smooth and then has two different rulings. For some  $X$ , these two rulings are complex and switched by the complex conjugation. Then  $X_{\mathbb{C}}$  has only two  $g_3^1$  induced by these two rulings and  $\rho_{\mathbb{C}}(X, 1) = 3$ . By this, we conclude that  $\rho_{\mathbb{R}}(X, 1) = 2\rho_{\mathbb{C}}(X, 1) - 2 = 4$ . □

Let  $g, r \in \mathbb{N}$  satisfying  $g \geq 2$  and  $1 \leq r \leq g - 1$ . If  $d - (g + r - 1) \geq 0$ , then Theorem 5.4 says that any real curve of genus  $g$  has a complete  $g_d^r$ . We may wonder if this condition is optimal.

**Conjecture 2.** *Let  $g \geq 2$  and  $1 \leq r \leq g - 1$ . The real Brill–Noether number is  $\rho_{\mathbb{R}}(g, r, d) = d - (g + r - 1)$ , i.e. if  $d - (g + r - 1) < 0$ , then there exists a real curve  $X$  of genus  $g$  such that  $X$  has no  $g_d^r$ .*

Remark that Conjecture 2 implies Conjecture 1.

## 6 Linear systems with base points on real curves

This section is devoted to the problem of finding lower bounds for  $N$ . We prove that this problem is related to the existence of special linear systems of dimension 1 and small degree, i.e. the subject of the previous section.

**Proposition 6.1.** *Let  $X$  be a real curve of genus  $g \geq 2$ . Assume that  $X$  has a complete  $g'_d$ , with  $d \leq g - 1$  and  $r \geq 1$ . Then  $N(X) > 2g - d$ .*

*Proof.* Let  $D \in \text{Div}(X)$  be an effective divisor of degree  $d \leq g - 1$  such that  $\dim|D| = r \geq 1$ . It is a special divisor. Let  $D' \in \text{Div}(X)$  be an effective divisor of degree  $2g - 2 - d$  such that  $D + D'$  is the canonical divisor. Let  $Q$  be a non-real point of  $X$  such that  $\ell(D - Q) = \ell(D) - 2$ . By Riemann–Roch,

$$\ell(D' + Q) = 2g - 2 - d + 2 - g + 1 + \ell(D - Q) = g - d + 1 + r - 1 = \ell(D').$$

Hence  $Q$  is a base point of  $|D' + Q|$  and consequently the divisor  $D' + Q$  of degree  $2g - d$  is not linearly equivalent to a totally real effective divisor. Clearly  $N(X) > 2g - d$ .  $\square$

The existence of linear systems of small degree on real curves is studied in the previous section. One of the results, is that a real curve has always a complete  $g_g^1$ , hence

**Corollary 6.2.** *Let  $X$  be a real curve such that  $g \geq 2$ . Then  $N(X) \geq g + 1$ .*

From the previous section and Proposition 6.1, we obtain

**Corollary 6.3.** *Let  $X$  be a real curve of genus  $g \geq 2$ . If  $\theta \subseteq J(\mathbb{C})$  has a real singularity, then  $N(X) \geq g + 2$ .*

If  $X$  is hyperelliptic, by Lemma 4.2,  $X$  has also a  $g_2^1$  and we may state the following result (use Theorem 3.6):

**Corollary 6.4.** *Let  $X$  be a real hyperelliptic curve of genus  $g \geq 2$ . Then  $N(X) \geq 2g - 1$ . If furthermore  $X$  is an  $M$ -curve or an  $(M - 1)$ -curve, then  $N(X) = 2g - 1$ .*

**Remark 6.5.** Since there exist real hyperelliptic  $M$ -curves of any genus, the previous corollary gives a large family of curves for which the invariant  $N$  is explicitly calculated.

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