

# COEFFICIENT ESTIMATES IN SUBCLASSES OF THE CARATHÉODORY CLASS RELATED TO CONICAL DOMAINS

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**ABSTRACT.** We study some properties of subclasses of the Carathéodory class of functions, related to conic sections, and denoted by  $\mathcal{P}(p_k)$ . Coefficients bounds, estimates of some functionals are given.

## 1. INTRODUCTION

We denote by  $\mathcal{P}$  the class of Carathéodory functions analytic in the unit disk  $\mathcal{U} = \{z : |z| < 1\}$ , e.g.

$$(1.1) \quad \mathcal{P} = \{p : p \text{ analytic in } \mathcal{U}, p(0) = 1, \operatorname{Re} p(z) > 0\}.$$

Some special subclasses of  $\mathcal{P}$  play an important role in geometric function theory because of their relations with subclasses of univalent functions. Many such classes have been introduced and studied; some became the well-known, for instance, the class of analytic functions  $p$  in the unit disk  $\mathcal{U}$  such that  $p(0) = 1$  and  $p \prec (1+Az)/(1+Bz)$ , that is the class of functions for which  $p(\mathcal{U})$  is a subset of a disk, or a half-plane. The other choice is the class of all  $p$  such that  $p \prec [(1+z)/(1-z)]^\gamma$ . In this case  $p(\mathcal{U})$  is a subset of a sector, contained in a right half-plane with a vertex at the origin and symmetric about the real axis. Here the symbol “ $\prec$ ” denotes the subordinations (cf. e.g. [7]).

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Let  $k \in [0, \infty)$ . For arbitrarily chosen  $k$  let  $\Omega_k$  denote the following domain

$$(1.2) \quad \Omega_k = \{u + iv : u^2 > k^2(u-1)^2 + k^2v^2\}.$$

Note that  $\Omega_k$  is convex and symmetric in the real axis and  $1 \in \Omega_k$  for all  $k$ .  $\Omega_0$  is nothing but the right half-plane and when  $0 < k < 1$ ,  $\Omega_k$  is an unbounded domain enclosed by the right branch of the hyperbola

$$(1.3) \quad \left(\frac{(1-k^2)u+k^2}{k}\right)^2 - \left(\frac{(1-k^2)v}{\sqrt{1-k^2}}\right)^2 = 1$$

with foci at 1 and  $-(1+k^2)/(1-k^2)$ . When  $k = 1$ , the domain  $\Omega_1$  is still unbounded domain enclosed by the parabola

$$2u = v^2 + 1$$

with the focus at 1. When  $k > 1$ , the domain  $\Omega_k$  becomes bounded domain being the interior of the ellipse

$$\left(\frac{(k^2-1)u-k^2}{k}\right)^2 - \left(\frac{(k^2-1)v}{\sqrt{k^2-1}}\right)^2 = 1$$

with foci at 1 and  $(k^2+1)/(k^2-1)$ . It should be noted that, for no choice of parameter  $k$ ,  $\Omega_k$  reduce to a disk.  $\{\Omega_k, k \in [0, \infty)\}$  forms the family of domains bounded by conic sections, convergent in the sense of the kernel convergence.

Let  $p_k$  denote the conformal mapping of  $\mathcal{U}$  onto  $\Omega_k$  determined by conditions  $p_k(0) = 1$ ,  $p_k'(0) > 0$ . The concrete form of  $p_k$  was given in [7], [8], [11] and in [5].

**Theorem 1.1.** *Let  $k \in [0, \infty)$ . The conformal mapping of  $\mathcal{U}$  onto  $\Omega_k$  is of the form*

$$(1.4) \quad p_k(z) = \begin{cases} \frac{1+z}{1-z} & \text{for } k = 0, \\ 1 + \frac{2}{1-k^2} \sinh^2(A(k)\operatorname{arctanh}\sqrt{z}) & \text{for } k \in (0, 1), \\ 1 + \frac{8}{\pi^2} (\operatorname{arctanh}\sqrt{z})^2 & \text{for } k = 1, \\ 1 + \frac{2}{k^2-1} \sin^2\left(\frac{\pi}{2\mathcal{K}(t)}\mathcal{F}(\sqrt{z/t}, t)\right) & \text{for } k > 1, \end{cases}$$

where  $A(k) = (2/\pi) \arccos k$ ,  $\mathcal{F}(w, t)$  is the Jacobi elliptic integral of the first kind

$$(1.5) \quad \mathcal{F}(w, t) = \int_0^w \frac{dx}{\sqrt{(1-x^2)(1-t^2x^2)}},$$

and  $k = \cosh \mu(t) = \cosh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)$ ,  $t \in (0, 1)$ .

By  $\mathcal{P}(p_k)$  we denote the subclass of the Carathéodory class  $\mathcal{P}$ , consisting of functions  $p$ , analytic in  $\mathcal{U}$ ,  $p(0) = 1$ ,  $\operatorname{Re} p(z) > 0$  in  $\mathcal{U}$ , and such that  $p \prec p_k$  in  $\mathcal{U}$ . Observe that when  $k$  varies,  $\mathcal{P}(p_k)$  generate a number of subclasses of the class  $\mathcal{P}$ .

The aim of this paper is to present some properties of the class  $\mathcal{P}(p_k)$ . In Section 2 we prove the continuity of functions “extremal” in  $\mathcal{P}(p_k)$  as regards the parameter  $k$ . Some coefficients problems are treated in Section 3, in particular we obtain the sharp bound on the coefficient functional  $|b_2 - \mu b_1^2|$  ( $-\infty < \mu < \infty$ ).

## 2. GENERAL PROPERTIES OF THE FAMILY $\mathcal{P}(p_k)$

We recall some notation and properties of Jacobi elliptic functions which will be used in next theorems (cf. e.g. [1], [4]).

The *elliptic integral* (or *normal elliptic integral*) of the first kind has been defined at (1.5). By  $\mathcal{K}(t)$  we denote the *complete elliptic integral of the first kind*

$$\mathcal{K}(t) = \mathcal{F}(1, t), \quad \text{and let } \mathcal{K}'(t) = \mathcal{K}(t'), \quad t' = \sqrt{1-t^2}, \quad t \in (0, 1).$$

Let  $\mathcal{E}(w, t)$  denote the *elliptic integral of the second kind*, e.g.

$$(2.1) \quad \mathcal{E}(w, t) = \int_0^w \sqrt{\frac{1-t^2x^2}{1-t^2}} dx,$$

and let  $\mathcal{E}(t) = \mathcal{E}(1, t)$  be the *complete elliptic integral of the second kind*,  $t \in (0, 1)$ . Also, set  $\mathcal{E}'(t) = \mathcal{E}(t')$ . Changing the variable by  $x = \sin \theta$  integrals (1.5) and (2.1) reduce to the Legendre form

$$\mathcal{F}(\varphi, t) = \int_0^\varphi (1-t^2 \sin^2 \theta)^{-1/2} d\theta,$$

$$\mathcal{E}(\varphi, t) = \int_0^\varphi \sqrt{1-t^2 \sin^2 \theta} d\theta.$$

The equation  $z = \mathcal{F}(\varphi, t)$ , where  $z$  is assumed to be real, defines  $\varphi$  as a function of  $z$  which has been called by Jacobi the *amplitude* of  $z$  and denoted  $\varphi = \text{am}(z, t)$ . Further Jacobi introduced  $\sin(\text{am}z)$ , and  $\cos(\text{am}z)$  (*sinus* and *cosinus amplitudinus*) that have several applications in geometry and mechanics. Among numerous interesting properties of elliptic functions, the following will be used in the proof:

$$(2.2) \quad \lim_{t \rightarrow 0^+} \mathcal{K}(t) = \lim_{t \rightarrow 0^+} \mathcal{E}(t) = \lim_{t \rightarrow 1^-} \mathcal{K}'(t) = \frac{\pi}{2},$$

$$(2.3) \quad \lim_{t \rightarrow 0^+} \mathcal{K}'(t) = \infty, \quad \lim_{t \rightarrow 0^+} \mathcal{E}'(t) = 1,$$

$$(2.4) \quad \begin{aligned} \lim_{t \rightarrow 1^-} \mathcal{K}(t) &= \infty, & \lim_{t \rightarrow 1^-} \mathcal{E}(t) &= 1, \\ \lim_{t \rightarrow 1^-} (1 - t^2)\mathcal{K}(t) &= 0, & \lim_{t \rightarrow 1^-} \frac{\mathcal{K}'(t)}{\mathcal{K}(t)} &= 0, \end{aligned}$$

$$(2.5) \quad \lim_{t \rightarrow 0^+} \frac{\mathcal{K}'(t)}{\mathcal{K}(t)} = \infty, \quad \text{so that} \quad \lim_{t \rightarrow 0^+} \sinh\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) = \infty.$$

Functions  $\mathcal{K}, \mathcal{K}', \mathcal{E}, \mathcal{E}'$  are continuous and differentiable on  $(0, 1)$ , and

$$(2.6) \quad \frac{d\mathcal{K}(t)}{dt} = \frac{\mathcal{E}(t) - (1 - t^2)\mathcal{K}(t)}{t(1 - t^2)}, \quad \frac{d\mathcal{E}(t)}{dt} = \frac{\mathcal{E}(t) - \mathcal{K}(t)}{t}, \quad \frac{d\mathcal{K}'(t)}{dt} = \frac{t^2\mathcal{K}'(t) - \mathcal{E}(t)}{t(1 - t^2)},$$

from which the Legendre identity can be derived (cf. [1, p. 112])

$$\mathcal{E}(t)\mathcal{K}'(t) + \mathcal{E}'(t)\mathcal{K}(t) - \mathcal{K}(t)\mathcal{K}'(t) = \frac{\pi}{2}.$$

Further, (2.6) and the above identity yields the result

$$(2.7) \quad \begin{aligned} \frac{d[\mathcal{K}'(t)/\mathcal{K}(t)]}{dt} &= \frac{\mathcal{K}(t)\mathcal{K}'(t) - \mathcal{E}(t)\mathcal{K}'(t) - \mathcal{E}'(t)\mathcal{K}(t)}{t(1 - t^2)\mathcal{K}^2(t)} \\ &= -\frac{\pi}{2t(1 - t^2)\mathcal{K}^2(t)}. \end{aligned}$$

Moreover

$$(2.8) \quad \frac{\pi}{1 + \sqrt{1 - t^2}} \leq \mathcal{K}(t) \leq \frac{\pi}{2\sqrt{1 - t^2}},$$

(c.f. [2], see also [3]).

Finally, by a simple computation we arrive at

$$(2.9) \quad \lim_{t \rightarrow 1^-} \mathcal{F}(\sqrt{z/t}, t) = \operatorname{arctanh}\sqrt{z}.$$

We now return to functions “extremal” in the class  $\mathcal{P}(p_k)$ .

**Theorem 2.1.** *Functions  $p_k$  is continuous as regards the parameter  $k \in [0, \infty)$ .*

*Proof.* First we observe that

$$\begin{aligned} \lim_{k \rightarrow 0^+} p_k(z) &= \lim_{k \rightarrow 0^+} \frac{2}{1-k^2} \sinh^2(A(k) \operatorname{arctanh} \sqrt{z}) + 1 \\ &= 2 \sinh^2 \left( \operatorname{arcsinh} \frac{\sqrt{z}}{\sqrt{1-z}} \right) + 1 = p_0(z), \end{aligned}$$

since  $\lim_{k \rightarrow 0^+} A(k) = \lim_{k \rightarrow 0^+} (2/\pi) \arccos k = 1$ .

Simultaneously, by (1.4) and setting  $t = 2 \operatorname{arctanh} \sqrt{z}$  one gets

$$\begin{aligned} \lim_{k \rightarrow 1^-} p_k(z) &= \lim_{k \rightarrow 1^-} \left( 1 + \frac{2}{1-k^2} \sinh^2(A(k) \operatorname{arctanh} \sqrt{z}) \right) \\ &= 1 + 2 \lim_{k \rightarrow 1^-} \frac{\sinh^2 \frac{A(k)t}{2}}{1-k^2} \\ &= 1 + \frac{t^2}{2} \lim_{k \rightarrow 1^-} \left( \frac{A(k)}{\sqrt{1-k^2}} \right)^2 \left( \frac{\sinh \frac{A(k)t}{2}}{\frac{A(k)t}{2}} \right)^2 \\ &= 1 + \frac{t^2}{2} \left( \lim_{k \rightarrow 1^-} \frac{\frac{-2}{\pi \sqrt{1-k^2}}}{\frac{-k}{\sqrt{1-k^2}}} \right)^2 \left( \lim_{k \rightarrow 1^-} \frac{\sinh \frac{A(k)t}{2}}{\frac{A(k)t}{2}} \right)^2 \\ &= 1 + \frac{2}{\pi^2} t^2 = p_1(z). \end{aligned}$$

Here,  $A(k) = (2/\pi) \arccos k \rightarrow 0^+$  as  $k \rightarrow 1^-$ .

Finally, we will prove the right-hand continuity of  $p_k$  at  $k = 1$  if we show that

$$(2.10) \quad \lim_{k \rightarrow 1^+} \frac{\sin\left(\frac{\pi}{2\mathcal{K}(t)} \mathcal{F}(\sqrt{z/t}, t)\right)}{\sqrt{k^2 - 1}} = \frac{2}{\pi} \operatorname{arctanh} \sqrt{z}.$$

Note that  $k = \cosh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)$ , so that  $\sqrt{k^2 - 1} = \sinh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)$  and if  $k \rightarrow 1^+$  then  $t \rightarrow 1^-$ , thus (2.10) is equivalent to

$$(2.11) \quad \lim_{t \rightarrow 1^-} \frac{\sin\left(\frac{\pi}{4\mathcal{K}(t)} \mathcal{F}(\sqrt{z/t}, t)\right)}{\sinh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)} = \frac{2}{\pi} \operatorname{arctanh} \sqrt{z}.$$

Since by (2.4) and (2.9) both, the numerator and the denominator tend to 0 we need to prove, by the l'Hospital rule, that there exists the limit of the quotient of derivatives of (2.11), or equivalently

$$(2.12) \quad \lim_{t \rightarrow 1^-} \left( \cos\left(\frac{\pi}{2\mathcal{K}(t)} \mathcal{F}(\sqrt{z/t}, t)\right) \cdot \frac{\frac{\pi}{2} \left[ \frac{\mathcal{E}(t) - (1-t^2)\mathcal{K}(t)}{t(1-t^2)\mathcal{K}^2(t)} \mathcal{F}(\sqrt{z/t}, t) - \frac{1}{\mathcal{K}(t)} \frac{d[\mathcal{F}(\sqrt{z/t}, t)]}{dt} \right]}{\cosh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right) \frac{\pi^2}{4} \frac{1}{t(1-t^2)\mathcal{K}^2(t)}} \right).$$

Set

$$D(z, t) = (\mathcal{E}(t) - (1-t^2)\mathcal{K}(t)) \mathcal{F}(\sqrt{z/t}, t) - t(1-t^2)\mathcal{K}(t) \frac{d[\mathcal{F}(\sqrt{z/t}, t)]}{dt}.$$

Then (2.12) reduces to

$$\frac{2}{\pi} \lim_{t \rightarrow 1^-} \frac{\cos\left(\frac{\pi}{2\mathcal{K}(t)} \mathcal{F}(\sqrt{z/t}, t)\right)}{\cosh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)} D(z, t).$$

Differentiating with respect to  $t$  we obtain from (1.5)

$$\frac{d[\mathcal{F}(\sqrt{z/t}, t)]}{dt} = \int_0^{\sqrt{z/t}} \frac{tx^2}{\sqrt{(1-x^2)(1-t^2x^2)^3}} dx - \frac{\sqrt{z}}{2t\sqrt{t-z}\sqrt{1-tz}}$$

so that

$$\lim_{t \rightarrow 1^-} \frac{d[\mathcal{F}(\sqrt{z/t}, t)]}{dt} = \frac{1}{4} \log \frac{1-\sqrt{z}}{1+\sqrt{z}} - \frac{1}{2(1-\sqrt{z})}.$$

Since, by (2.4)

$$\lim_{t \rightarrow 1^-} t(1-t^2)\mathcal{K}(t) = 0, \quad \text{and} \quad \lim_{t \rightarrow 1^-} \mathcal{E}(t) = 1$$

then using (2.9) we obtain

$$\lim_{t \rightarrow 1^-} D(z, t) = \operatorname{arctanh}\sqrt{z}.$$

Therefore, the above and first and fourth relation from (2.4) finally yield

$$\frac{2}{\pi} \lim_{t \rightarrow 1^-} \frac{\cos\left(\frac{\pi}{2\mathcal{K}(t)} \mathcal{F}(\sqrt{z/t}, t)\right)}{\cosh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)} D(z, t) = \frac{2}{\pi} \operatorname{arctanh}\sqrt{z},$$

that is equivalent to (2.11). It completes the proof. □

**Theorem 2.2.** *Let  $k \in [0, \infty)$  be fixed. The function  $p_k(z)$  has the positive Taylor coefficients around the origin.*

The proof of Theorem 2.2 appeared complicated for the case  $k \in (1, \infty)$  and has been proved in [11] using the theory of continued fractions. We quote it here for the sake of completeness. Applying Theorem 2.2 estimates of the modulus and the real part of  $p \in \mathcal{P}(p_k)$  were derived [11].



### 3. COEFFICIENT BOUNDS

Now, we find some bounds in the family  $\mathcal{P}(p_k)$ . The first problem, we discuss, is the Fekete-Szegő-Goluzin's problem in the class  $\mathcal{P}(p_k)$ . We begin by proving the theorem that is itself interesting, since it improves the Livingston result in  $\mathcal{P}(p_k)$  [12]. For fixed  $k$ , set

$$p_k(z) = 1 + P_1(k)z + P_2(k)z^2 + \cdots, \quad z \in \mathcal{U}.$$

**Theorem 3.1.** *Let  $0 \leq k < \infty$  be fixed. Then*

$$(3.1) \quad |P_1^2(k) - P_2(k)| \leq P_1(k).$$

*Proof.* The inequality (3.1) is obvious for  $k = 0$ , by Livingston result [12], therefore we assume  $k > 0$ . We consider separately cases:

$$k \in (0, 1), \quad k = 1, \quad k > 1.$$

*Case 1.* By virtue of (1.4) a precise form of coefficients of  $p_k$  were derived (cf. [5], [9])

$$P_1(k) = \frac{2A^2(k)}{1 - k^2}, \quad P_2(k) = \frac{2A^2(k)(A^2(k) + 2)}{3(1 - k^2)} = P_1(k) \frac{A^2(k) + 2}{3},$$

$$A(k) = \frac{2}{\pi} \arccos k.$$

Since  $P_1(k)$  is positive for  $k \in (0, 1)$ , the inequality (3.1) reduces to proving  $|P_1(k) - (A^2(k) + 2)/3| \leq 1$ . Note that

$$P_1(k) - \frac{A^2(k) + 2}{3} = \frac{A^2(k)(5 + k^2) + 1 - k^2}{3(1 - k^2)} > 0$$

for  $k \in (0, 1)$ , then it suffices to show the inequality  $P_1(k) - (A^2(k) + 2)/3 \leq 1$ , or equivalently

$$\frac{5(1 - k^2)}{k^2 + 5} - \frac{4}{\pi^2} \arccos^2 k \geq 0.$$

Set

$$h(k) := \sqrt{\frac{5(1 - k^2)}{k^2 + 5}} - \frac{2}{\pi} \arccos k.$$

Then functions  $h$  is well defined on a closed interval  $[0, 1]$  and

$$h'(k) = \frac{2}{\pi\sqrt{1 - k^2}} \left[ 1 - \frac{3\sqrt{5}\pi k}{(k^2 + 5)^{3/2}} \right].$$

Note that  $h'(0) > 0, h'(1^-) < 0$ . Since  $g(k) = \frac{3\sqrt{5}\pi k}{(k^2 + 5)^{3/2}}$  is monotone then there exists the only point  $k_0 \in (0, 1)$  such that  $h'(k_0) = 0$ . Then  $h'(k) > 0$  in  $0 < k < k_0$  and  $h'(k) < 0$  in  $0 < k_0 < k < 1$ . Therefore  $h(k) \geq \min\{h(0), h(1)\} = 0$  in  $0 \leq k < 1$ , so that the proof of the case 1. is complete.

*Case 2.* In this instance  $P_1(k) = 8/\pi^2$  and  $P_2(k) = 16/(3\pi^2) = 2P_1(k)/3$  (cf. [14], [15]). The inequality (3.1) now follows immediately by means of the relation  $-1/3 < P_1(k) < 5/3$ .

*Case 3.* To this purpose we use the following form of  $p_k$  for  $k > 1$

$$(3.2) \quad p_k(z) = \frac{1}{k^2 - 1} \sin \left( \frac{\pi}{2\mathcal{K}(t)} \mathcal{F}(u(z)/\sqrt{t}, t) \right) + \frac{k^2}{k^2 - 1},$$

(cf. [5, p. 20]), where  $u(z) = (z - \sqrt{t})/(1 - \sqrt{t}z)$  and  $k = \cosh(\mu(t)/2)$  for  $t \in (0, 1)$ . In view of [5]

$$P_1(k) = \frac{\pi^2}{4(k^2 - 1)\mathcal{K}^2(t)\sqrt{t}(1 + t)},$$

$$P_2(k) = P_1(k) \frac{4\mathcal{K}^2(t)(t^2 + 6t + 1) - \pi^2}{24\mathcal{K}^2(t)\sqrt{t}(1+t)} =: P_1(k)D(k),$$

see also [9]. Since  $P_1(k)$  is positive for all  $t \in (0, 1)$ , the inequality (3.1) will hold if  $|P_1(k) - D(k)| \leq 1$ , equivalently  $P_1(k) \leq D(k) + 1$  and  $D(k) \leq P_1(k) + 1$ . Now, we will show that the inequality  $D(k) \leq P_1(k) + 1$  holds. We rewrite the inequality  $D(k) \leq P_1(k) + 1$  into the form

$$(3.3) \quad \frac{4\mathcal{K}^2(t)(t^2 + 6t + 1) - \pi^2}{4\mathcal{K}^2(t)} \leq \frac{3\pi^2}{2(k^2 - 1)\mathcal{K}^2(t)} + 6\sqrt{t}(1+t).$$

Observing that  $k^2 - 1 = \sinh^2(\pi\mathcal{K}'(t)/(4\mathcal{K}(t)))$ , the relation (3.3) becomes

$$(3.4) \quad t^2 - 6t\sqrt{t} + 6t - 6\sqrt{t} + 1 - \frac{\pi^2}{4\mathcal{K}^2(t)} \leq \frac{3\pi^2}{2\mathcal{K}^2(t) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)}.$$

Observe next, that if  $k \rightarrow 1^+$  then  $t \rightarrow 1^-$  and the case  $k \rightarrow \infty$  corresponds to the case  $t \rightarrow 0^+$ . Thus, we may study the inequality (3.4) as regards  $t \in (0, 1)$ . The left-hand side function satisfies

$$(3.5) \quad t^2 - 6t\sqrt{t} + 6t - 6\sqrt{t} + 1 - \frac{\pi^2}{4\mathcal{K}^2(t)} \leq t^2 - 6t\sqrt{t} + 6t - 6\sqrt{t} + 1 - (1 - t^2).$$

by means of the right-hand estimation in (2.8). Set

$$w(t) := t^2 - 6t\sqrt{t} + 6t - 6\sqrt{t} + 1 - (1 - t^2) = 2t^2 - 6t\sqrt{t} + 6t - 6\sqrt{t}.$$

The function  $w(t)$  is defined on the closed interval  $[0, 1]$  and it decreases continuously from  $w(0) = 0$  to  $w(1) = -4$ . Indeed  $w'(t) = 4t - 9\sqrt{t} + 6 - 3/\sqrt{t} = (t - 3) + 3(\sqrt{t} - 1)^3/\sqrt{t} < 0$  on  $(0, 1)$ . Therefore  $w(t) < 0$  on  $(0, 1)$ .

Now we show that the right-hand function of (3.4) is positive in  $(0, 1)$  and increases from 0 to  $96/\pi^2$ . Let

$$W(t) := \frac{3\pi^2}{2\mathcal{K}^2(t) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)}.$$

Making use the first relation in (2.2) and the last relation in (2.5) we find that

$$\lim_{t \rightarrow 0^+} W(t) = 0.$$

Also, after necessary transformations, we obtain

$$\lim_{t \rightarrow 1^-} W(t) = \lim_{t \rightarrow 1^-} \frac{24 \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right)^2}{(\mathcal{K}')^2(t) \sinh^2 \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right)} = \frac{96}{\pi^2},$$

because of the last formula in (2.4) and the fact that  $\lim_{x \rightarrow 0} \sinh x/x = 1$ . Now, we will show that  $W(t)$  is increasing. Differentiating  $W(t)$  and using (2.6) and (2.7) one gets

$$W'(t) = - \frac{3\pi^2 \left[ (\mathcal{E}(t) - (1-t^2)\mathcal{K}(t)) \sinh \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right) - \frac{\pi^2}{8\mathcal{K}(t)} \cosh \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right) \right]}{t(1-t^2)\mathcal{K}^3(t) \sinh^3 \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right)}.$$

In order to show that  $W'(t) > 0$  it suffices to prove that the expression in the square brackets of  $W'(t)$  is negative for  $t \in (0, 1)$ . Such relation may be rewritten in the form

$$(\mathcal{E}(t) - (1-t^2)\mathcal{K}(t)) \sinh \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right) < \frac{\pi^2}{8\mathcal{K}(t)} \cosh \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right)$$

or

$$(3.6) \quad \frac{8}{\pi^2} \left[ \frac{\mathcal{E}(t) - (1-t^2)\mathcal{K}(t)}{\mathcal{K}(t)} \right] < \coth \left( \frac{\pi \mathcal{K}'(t)}{4\mathcal{K}(t)} \right).$$

Set

$$\phi(t) = \mathcal{E}(t) - (1-t^2)\mathcal{K}(t).$$

Then, in view of (2.6) we have

$$\frac{d\phi(t)}{dt} = \frac{\mathcal{E}(t) - \mathcal{K}(t)}{t} - \frac{-2t^2\mathcal{K}(t) + \mathcal{E}(t) - \mathcal{K}(t) + t^2\mathcal{K}(t)}{t} = t\mathcal{K}(t) > 0$$

in  $(0, 1)$ . Moreover  $\phi(0^+) = 0$  and  $\phi(1^-) = 1$  by the second and third relation in (2.4). Thus  $0 < \phi(t) < 1$  in  $(0, 1)$ . Note also that  $\mathcal{K}(t)$  is increasing from  $\pi/2$  to  $\infty$ , when  $t \in (0, 1)$ . Therefore

$$\frac{8}{\pi^2} \left[ \frac{\mathcal{E}(t) - (1 - t^2)\mathcal{K}(t)}{\mathcal{K}(t)} \right] < \frac{8}{\pi^2} \frac{1}{\pi/2} = \frac{16}{\pi^3} < 1,$$

whereas the right-hand side of (3.6) is greater than 1 since  $\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) > 0$ . Then the inequality (3.6) holds, equivalently  $W'(t) > 0$  on  $(0, 1)$  so that  $W$  increases on  $(0, 1)$ . Thus, having in view properties of  $w(t)$  and  $W(t)$  we conclude that  $w(t) \leq W(t)$  for all  $t \in (0, 1)$ , so that (3.4) is satisfied.

Next, we will show that  $P_1(k) \leq D(k) + 1$  holds for  $t \in (0, 1)$ , or equivalently

$$\frac{3\pi^2}{2\mathcal{K}^2(t) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)} \leq t^2 + 6t\sqrt{t} + 6t + 6\sqrt{t} + 1 - \frac{\pi^2}{2\mathcal{K}^2(t)},$$

by reversing the inequality in (3.4). Since  $\mathcal{K}(t) > \frac{\pi}{2}$  we have  $-\frac{\pi^2}{4\mathcal{K}^2(t)} > -1$  so that it suffices to show that

$$(3.7) \quad \frac{3\pi^2}{2\mathcal{K}^2(t) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)} \leq t^2 + 6t\sqrt{t} + 6t + 6\sqrt{t}.$$

Set

$$r(t) := t^2 + 6t\sqrt{t} + 6t + 6\sqrt{t}.$$

Then  $r'(t) = 2t + 9\sqrt{t} + 6 + 3/\sqrt{t} > 0$  on  $(0, 1)$  and  $r(0) = 0$ ,  $r(1) = 19$ . Moreover  $r(1/\sqrt{2}) \approx 14.158379 > \frac{96}{\pi^2}$  whereas the value  $\frac{96}{\pi^2}$  is the supremum of the left hand side of (3.7) as was shown in the first part of the proof of

that case. Thus, it suffices to show (3.7) for  $t \in (0, 1/\sqrt{2})$ . Since  $\mathcal{K}(t) > \frac{\pi}{2}$  then

$$\frac{3\pi^2}{2\mathcal{K}^2(t) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)} < \frac{6}{\sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)}.$$

Now, we will show that

$$\frac{6}{\sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)} \leq 6(t + \sqrt{t}) \leq r(t)$$

for  $t \in (0, 1/\sqrt{2}]$ , which concludes the desired result. The last inequality is obvious therefore it suffices to show that

$$(3.8) \quad (t + \sqrt{t}) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) - 1 \geq 0.$$

Let

$$s(t) := (t + \sqrt{t}) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) - 1.$$

Now we prove that  $s(t)$  decreases in  $(0, 1/\sqrt{2})$  to  $s(1/\sqrt{2}) > 0$ . Differentiating, we obtain

$$s'(t) = \frac{1}{2} \sinh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right) \left[ \left(1 + \frac{1}{2\sqrt{t}}\right) \tanh\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) - \frac{\pi^2(\sqrt{t} + 1)}{4\sqrt{t}(1 - t^2)\mathcal{K}^2(t)} \right].$$

Since  $\sinh\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)$  is positive for  $t \in (0, 1/\sqrt{2}]$  then  $s'(t) < 0$  if and only if the expression in square brackets of  $s'(t)$  is negative, or equivalently

$$\left(1 + \frac{1}{2\sqrt{t}}\right) \tanh\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) - \frac{\pi^2(\sqrt{t} + 1)}{4\sqrt{t}(1 - t^2)\mathcal{K}^2(t)} < 0.$$

The above will be fulfilled if

$$\frac{2\sqrt{t}+1}{2(\sqrt{t}+1)} \tanh\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) - \frac{\pi^2}{4(1-t^2)\mathcal{K}^2(t)} < 0,$$

or, by means of the relation  $\tanh x < 1$ , when the inequality

$$\frac{2\sqrt{t}+1}{2(\sqrt{t}+1)} - \frac{\pi^2}{4(1-t^2)\mathcal{K}^2(t)} < 0$$

holds. It is easy to see that  $b(t) = \frac{2\sqrt{t}+1}{2(1+\sqrt{t})}$  is increasing on  $(0, 1/\sqrt{2})$  with the maximal value  $b(1/\sqrt{2}) \approx 0.73$ . Let

$$c(t) := \frac{\pi^2}{4(1-t^2)\mathcal{K}^2(t)}.$$

Since, by (2.6),

$$c'(t) = \frac{\pi^2}{2} \frac{\mathcal{K}(t) - \mathcal{E}(t)}{t(1-t^2)^2\mathcal{K}^3(t)}$$

and  $\mathcal{K}(t) > \mathcal{E}(t)$  on  $(0, 1)$ , then  $c'(t) > 0$  on  $(0, 1)$  so does on  $(0, 1/\sqrt{2})$  and therefore  $c(t) > c(0^+) = 1$  for all  $t \in (0, 1/\sqrt{2})$ . Thus  $b(t) - c(t) < 0$  for all  $t \in (0, 1/\sqrt{2})$  and hence  $s(t)$  decreases on  $(0, 1/\sqrt{2})$ .

Next, we show that  $s(0^+) = \infty$ . Note that

$$\sinh^2\left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right) = \left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)^2 \left[1 + \frac{1}{3!} \left(\frac{\pi\mathcal{K}'(t)}{4\mathcal{K}(t)}\right)^2 + \dots\right]^2.$$

Then

$$\lim_{t \rightarrow 0^+} (t + \sqrt{t}) \sinh^2\left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right) = \lim_{t \rightarrow 0^+} \frac{\pi^2(1 + \sqrt{t})}{4\mathcal{K}^2(t)} \sqrt{t} (\mathcal{K}'(t))^2 \left[1 + \frac{1}{3!} \left(\frac{\pi\mathcal{K}'(t)}{2\mathcal{K}(t)}\right)^2 + \dots\right]^2.$$

By properties of  $\mathcal{K}(t)$  at  $0^+$  (the relation (2.2)) we have

$$\lim_{t \rightarrow 0^+} \frac{\pi^2(1 + \sqrt{t})}{4\mathcal{K}^2(t)} = 1,$$

so that we need to calculate the limit

$$\lim_{t \rightarrow 0^+} \sqrt{t} (\mathcal{K}'(t))^2.$$

Applying (2.8) to the value  $\sqrt{1-t^2}$  we obtain

$$(3.9) \quad \frac{\pi}{1+t} \leq \mathcal{K}(\sqrt{1-t^2}) = \mathcal{K}'(t) \leq \frac{\pi}{2t},$$

and since  $\sqrt{t}/(1+t)^2$  and  $\sqrt{t}/t^2$  tend to  $\infty$ , as  $t \rightarrow 0^+$ , we conclude that

$$(3.10) \quad \lim_{t \rightarrow 0^+} \sqrt{t} (\mathcal{K}'(t))^2 = \infty.$$

Thus also  $\lim_{t \rightarrow 0^+} s(t) = \infty$ . Moreover  $s(1/\sqrt{2}) \approx 0.9614 > 0$  so that we obtain the desired result. Hence the proof of the case 3. is complete.  $\square$

**Theorem 3.2.** *Let  $0 \leq k < \infty$  be fixed, and let a function  $p \in \mathcal{P}(p_k)$  be such that  $p(z) = 1 + b_1z + b_2z^2 + \dots$ . Then*

$$(3.11) \quad |b_1^2 - b_2| \leq P_1(k).$$

*The equality holds if  $p(z)$  is  $p_k(z^2)$  or one of its rotation.*

*Proof.* Since  $p \prec p_k$  then, in view of a definition of the subordination, there exists a function  $\omega(z) = \alpha_1z + \alpha_2z^2 + \dots$ ,  $|\omega(z)| < 1$  such that  $p(z) = p_k(\omega(z))$ , therefore

$$1 + b_1z + b_2z^2 + \dots = 1 + P_1(k)\alpha_1z + z^2(P_1(k)\alpha_2 + P_2(k)\alpha_1^2) + \dots.$$



Comparing the coefficients of  $z$  and  $z^2$  we have  $b_1 = P_1(k)\alpha_1$  and  $b_2 = P_1(k)\alpha_2 + P_2(k)\alpha_1^2$ , thus

$$\begin{aligned} |b_1^2 - b_2| &= |P_1^2(k)\alpha_1^2 - P_1(k)\alpha_2 - P_2(k)\alpha_1^2| \\ &= |\alpha_1^2(P_1^2(k) - P_2(k)) - P_1(k)\alpha_2| \\ &\leq |\alpha_1|^2|P_1^2(k) - P_2(k)| + |P_1(k)||\alpha_2|. \end{aligned}$$

For the Schwarz' function  $\omega$  the classical inequality  $|\alpha_2| \leq 1 - |\alpha_1|^2$  holds then, on account (3.1), we conclude

$$\begin{aligned} |b_1^2 - b_2| &\leq |\alpha_1|^2|P_1^2(k) - P_2(k)| + |P_1(k)|(1 - |\alpha_1|^2) \\ &= |\alpha_1|^2 [|P_1^2(k) - P_2(k)| - P_1(k)] + P_1(k) \\ &\leq P_1(k), \end{aligned}$$

and the proof of the inequality of (3.11) is complete.

The equality in (3.11) holds if  $|b_1| = 0$  and  $|b_2| = P_1(k)$ , or equivalently,  $p(z)$  is  $p_k(z^2)$  or one of its rotations.  $\square$

**Remark.** Observe that the bound like (3.11) can be used in those subclasses of Carathéodory class for which the inequality similar to (3.1) holds. Let

$$(3.12) \quad q(z) = 1 + c_1z + c_2z^2 + \dots,$$

be such that

$$(3.13) \quad |c_1^2 - c_2| \leq c_1, \quad \text{with } c_1 \geq 0,$$

it means  $q$  satisfies the bounds similar to (3.1). Then, reasoning along the same line as in Theorem 3.2 we may prove that for  $p \in \mathcal{P}(q)$ ,  $p(z) = 1 + b_1z + b_2z^2 + \dots$  the inequality

$$(3.14) \quad |b_1^2 - b_2| \leq c_1,$$

is satisfied.

For instance, if  $0 < \gamma \leq 1$  then the function  $\varphi(z) = [(1+z)/(1-z)]^\gamma$  maps the unit disk onto an angle, symmetric with respect to real axis, of width  $\gamma\pi$  and contained in the right half-plane. Moreover,  $\varphi(z) = 1 + 2\gamma z + 2\gamma^2 z^2 + \dots$ . Then,  $|c_1^2 - c_2| = 2\gamma^2 \leq 2\gamma = c_1$  therefore (3.13) is satisfied, so that (3.14) applies. Concluding, if  $p \in \mathcal{P}(\varphi) = \{q : q \prec \varphi\}$  and  $p(z) = 1 + b_1 z + b_2 z^2 + \dots$ , then  $|b_1^2 - b_2| \leq 2\gamma$ . This inequality remarkable improves the result  $|b_1^2 - b_2| \leq 2$ , due to Ma and Minda [13]. Similarly, the family  $\mathcal{P}((1 + (1 - 2\beta)z)/(1 - z))$  can be treated. In this instance we obtain the inequality  $|b_1^2 - b_2| \leq 2(1 - \beta)$  for  $p \in \mathcal{P}((1 + (1 - 2\beta)z)/(1 - z))$ .

**Theorem 3.3.** *Let  $0 \leq k < \infty$  be fixed, and let a function  $p \in \mathcal{P}(p_k)$  be of the form  $p(z) = 1 + b_1 z + b_2 z^2 + \dots$ . Then*

$$(3.15) \quad |b_2 - \mu b_1^2| \leq \begin{cases} P_1(k) - \mu P_1^2(k) & \mu \leq 0, \\ P_1(k) & \mu \in (0, 1], \\ P_1(k) + (\mu - 1)P_1^2(k) & \mu \geq 1. \end{cases}$$

When  $\mu < 0$  or  $\mu > 1$ , the equality holds if  $p(z)$  is  $p_k(z)$  or one of its rotations. If  $0 < \mu < 1$  then the equality holds if  $p(z) = p_k(z^2)$  or one of its rotation.

*Proof.* Since  $p \prec p_k$  then by Rogosinski Subordination Theorem we have  $|b_n| \leq P_1(k)$  for  $n \geq 1$  and each fixed  $k \in [0, \infty)$  First assume that  $\mu \geq 1$ . In view of Theorem 3.2, we have  $|b_2 - b_1^2| \leq P_1(k)$  therefore we obtain

$$|b_2 - \mu b_1^2| \leq |b_1^2 - b_2| + (\mu - 1)|b_1|^2 \leq P_1(k) + (\mu - 1)P_1^2(k).$$

Next, suppose that  $\mu \leq 0$ . Then

$$|b_2 - \mu b_1^2| \leq |b_2| + (-\mu)|b_1|^2 \leq P_1(k) - \mu P_1^2(k).$$

Finally, if  $0 < \mu \leq 1$  then  $\mu = 1/t$  with  $t \geq 1$ . Hence one gets

$$\begin{aligned} |b_2 - \mu b_1^2| &= \left| b_2 - \frac{1}{t} b_1^2 \right| = \frac{1}{t} |t b_2 - b_1^2| = \frac{1}{t} |(t-1)b_2 + b_2 - b_1^2| \\ &\leq \frac{1}{t} [(t-1)|b_2| + |b_2 - b_1^2|] \\ &\leq \frac{1}{t} [(t-1)P_1(k) + P_1(k)] = P_1(k), \end{aligned}$$

and the proof of all cases of (3.15) is complete.

When  $\mu < 0$  or  $\mu > 1$ , the equality holds if and only if  $|b_1| = P_1(k)$ , that is,  $p(z) = p_k(z)$  or one of its rotation. If  $0 < \mu < 1$  then the equality holds if  $|b_1| = 0$  and  $|b_2| = P_1(k)$ , or equivalently,  $p(z)$  is  $p_k(z^2)$  or one of its rotations.  $\square$

**Remark.** In the paper [13] Ma and Minda proved similar bounds in the class  $\mathcal{P}$ . For instance, when  $\mu \leq 0$  authors obtained the estimate  $|b_2 - \mu b_1^2| \leq 2 - 4\mu$ . Observe that in the case of  $\mathcal{P}(p_k)$  the result is far better; the same it holds in the remaining range of the constant  $\mu$ .

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