



Infinitely many solutions for fractional Kirchhoff–Sobolev–Hardy critical problems

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Abstract. We investigate a class of critical stationary Kirchhoff fractional p -Laplacian problems in presence of a Hardy potential. By using a suitable version of the symmetric mountain-pass lemma due to Kajikiya, we obtain the existence of a sequence of infinitely many arbitrarily small solutions converging to zero.

Keywords: fractional p -Laplacian, Kirchhoff coefficient, Hardy potentials, critical Sobolev exponent, variational methods.

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1 Introduction


In this paper we consider the following fractional problem

$$\begin{cases} M([u]_{s,p}^p)(-\Delta)_p^s u - \gamma \frac{|u|^{p-2}u}{|x|^{sp}} = \lambda w(x)|u|^{q-2}u + \frac{|u|^{p_s^*(\alpha)-2}u}{|x|^\alpha}, & \text{in } \Omega, \\ u = 0 & \text{in } \mathbb{R}^N \setminus \Omega, \end{cases} \quad (1.1)$$

where $0 < s < 1 < p < \infty$, $0 \leq \alpha < sp < N$, $1 < q < p$, $p_s^*(\alpha) = \frac{p(N-\alpha)}{N-sp} \leq p_s^*(0) = p_s^*$ is the critical Hardy–Sobolev exponent, γ and λ are real parameters, w is a positive weight whose assumption will be introduced in the sequel and $\Omega \subseteq \mathbb{R}^N$ is a general open set. Naturally, the condition $u = 0$ in $\mathbb{R}^N \setminus \Omega$ disappears when $\Omega = \mathbb{R}^N$.

Here $(-\Delta)_p^s$ denotes the fractional p -Laplace operator which, up to normalization factors, may be defined by the Riesz potential as

$$(-\Delta)_p^s u(x) = 2 \lim_{\varepsilon \rightarrow 0^+} \int_{\mathbb{R}^N \setminus B_\varepsilon(x)} \frac{|u(x) - u(y)|^{p-2}(u(x) - u(y))}{|x - y|^{N+sp}} dy, \quad x \in \mathbb{R}^N,$$

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along any $u \in C_0^\infty(\mathbb{R}^N)$, where $B_\varepsilon(x) = \{y \in \mathbb{R}^N : |x - y| < \varepsilon\}$. See [11, 23] and the references therein for further details on the fractional Sobolev space $W^{s,p}(\Omega)$ and some recent results on the fractional p -Laplacian.

Problem (1.1) is fairly delicate due to the intrinsic lack of compactness, which arise from the Hardy term and the nonlinearity with critical exponent $p_s^*(\alpha)$. For this reason, we strongly need that the Kirchhoff coefficient M is non-degenerate, namely $M(t) > 0$ for any $t \geq 0$. Hence, along the paper, we suppose that *the Kirchhoff function $M : \mathbb{R}_0^+ \rightarrow \mathbb{R}_0^+$ is continuous and satisfies*

$$(M_1) \quad \inf_{t \in \mathbb{R}_0^+} M(t) = a > 0;$$

$$(M_2) \quad \text{there exists } \theta \in [1, p_s^*(\alpha)/p], \text{ such that } M(t)t \leq \theta \mathcal{M}(t) \text{ for all } t \in \mathbb{R}_0^+, \text{ where } \mathcal{M}(t) = \int_0^t M(\tau) d\tau.$$

Concerning the *positive weight w* , we assume that

$$(w) \quad w(x)|x|^{\frac{q\alpha}{p_s^*(\alpha)}} \in L^r(\mathbb{R}^N), \text{ with } r = \frac{p_s^*(\alpha)}{p_s^*(\alpha) - q}.$$

Condition (w) is necessary, since it guaranties that the embedding $Z(\Omega) \hookrightarrow L^q(\Omega, w)$ is compact, even when Ω is the entire space \mathbb{R}^N . Indeed, the natural solution space for problem (1.1) is the fractional density space $Z(\Omega)$, that is the closure of $C_0^\infty(\Omega)$ with respect to the norm $[\cdot]_{s,p}$, given by

$$[u]_{s,p} = \left(\iint_{\mathbb{R}^{2N}} \frac{|u(x) - u(y)|^p}{|x - y|^{N+sp}} dx dy \right)^{1/p}.$$

Thus, by arguing similarly to Lemma 4.1 of [15], we have that the embedding $Z(\Omega) \hookrightarrow L^q(\Omega, w)$ is compact with

$$\|u\|_{q,w} \leq C_w [u]_{s,p} \quad \text{for any } u \in Z(\Omega), \quad (1.2)$$

where the weighted norm is set by

$$\|u\|_{q,w} = \left(\int_{\Omega} w(x) |u(x)|^q dx \right)^{1/q}$$

and $C_w = H_\alpha^{-1/p} \left(\int_{\mathbb{R}^N} w^r(x) |x|^{\frac{q\alpha}{p_s^*(\alpha) - q}} dx \right)^{1/qr}$ is a positive constant. Here $H_\alpha = H(N, p, s, \alpha)$ denotes the best fractional critical Hardy–Sobolev constant, given by

$$H_\alpha = \inf_{u \in Z(\Omega) \setminus \{0\}} \frac{[u]_{s,p}^p}{\|u\|_{H_\alpha}^p}, \quad \|u\|_{H_\alpha}^{p_s^*(\alpha)} = \int_{\Omega} |u(x)|^{p_s^*(\alpha)} \frac{dx}{|x|^\alpha}. \quad (1.3)$$

Of course number H_α is well-defined and strictly positive for any $\alpha \in [0, ps]$, since Lemma 2.1 of [15]. We observe that when $\alpha = 0$ then H_0 coincides with the critical Sobolev constant, while when $\alpha = sp$ then H_{sp} is the true critical Hardy constant. In order to simplify the notation, throughout the paper we denote the true fractional Hardy constant and the true fractional Hardy norm with $H = H_{sp}$ and $\|\cdot\|_H = \|\cdot\|_{H_{sp}}$, in (1.3) when $\alpha = sp$.

When $s = 1$ and $p = 2$, our problem (1.1) is related to the celebrated Kirchhoff equation

$$\rho u_{tt} - \left(\frac{P_0}{h} + \frac{E}{2L} \int_0^L |u_x|^2 dx \right) u_{xx} = 0, \quad (1.4)$$

proposed by Kirchhoff [21] in 1883 as a nonlinear generalization of D’Alembert’s wave equation for free vibrations of elastic strings. This model describes a vibrating string, taking into account the changes in the length of the string during vibrations. In the equation (1.4) $u = u(x, t)$ is the transverse string displacement at the space coordinate x and time t , L is the length of the string, h is the area of the cross section, E is Young’s modulus of the material, ρ is the mass density, and P_0 is the initial tension. The early studies devoted to the Kirchhoff model were given by Bernstein [6], Lions [22] and Pohozaev [26].

In the nonlocal setting, Fiscella and Valdinoci [17] proposed a stationary Kirchhoff variational model in smooth bounded domains of \mathbb{R}^N , which takes into account the nonlocal aspect of the tension arising from nonlocal measurements of the fractional length of the string, given by Caffarelli et al. in [8]. In other words, the problem studied in [17] is the fractional version of the Kirchhoff equation (1.4). Starting from [17], a great attention has been devoted to the study of fractional Kirchhoff problems; see for example [1–3, 9, 13–16, 24, 27].

The true local version of problem (1.1), namely when $M \equiv 1$ and $s = 1$, given by

$$\begin{cases} -\Delta_p u - \gamma \frac{|u|^{p-2}u}{|x|^p} = \lambda w(x)|u|^{q-2}u + \frac{|u|^{p^*(\alpha)-2}u}{|x|^\alpha}, & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (1.5)$$

has been widely studied in [10, 12, 18, 19]. In these works, the authors proved the existence of infinitely many solutions of (1.5), when the parameter λ is controlled by a suitable threshold depending on the following Sobolev–Hardy constant

$$S_\gamma = \inf_{W_0^{1,p}(\Omega) \setminus \{0\}} \frac{\int_\Omega \left(|\nabla u(x)|^p - \gamma \frac{|u(x)|^p}{|x|^p} \right) dx}{\left(\int_\Omega \frac{|u(x)|^{p^*(\alpha)}}{|x|^\alpha} dx \right)^{\frac{p}{p^*(\alpha)}}}.$$

In order to overcome the lack of compactness, due to the presence of two Hardy potentials in (1.5), they exploit a concentration compactness principle, applied to the combined norm $\int_\Omega (|\nabla u|^p - \gamma \frac{|u|^p}{|x|^p}) dx$ and to the critical norm $\int_\Omega \frac{|u|^{p^*(\alpha)}}{|x|^\alpha} dx$. Because of the bi–nonlocal nature of the problem (1.1), the same approach of [10, 12, 18, 19] can not work in our case. Indeed, due to the presence of a Kirchhoff coefficient M , for which the equation in (1.1) is no longer a pointwise identity, we have difficulties in considering a combined norm. Since Ω could be unbounded, we can not apply a concentration compactness argument because of the nonlocal nature of $(-\Delta)_s^p$, as well explained in Section 2.3 of [25]. For these reasons, we use a tricky analysis of the energy functional which allows us to handle the two Hardy potentials in (1.1); see Sections 2 and 3.

Thus, we get the next multiplicity result for (1.1), which involves the main geometrical parameter $\kappa_\sigma = \kappa(\sigma)$ defined by

$$\kappa_\sigma = \frac{a(\sigma - \theta p)}{\theta(\sigma - p)}, \quad (1.6)$$

for any $\sigma \in (p\theta, p_s^*(\alpha))$. A parameter similar to (1.6) already appeared in [9]. Clearly $\kappa_\sigma \leq a$, since $\theta \geq 1$ and $p\theta \leq \sigma$. When $\theta = 1$ in (M_2) , we observe that parameter $\kappa_\sigma = a$ does not depend by the choice of σ . As shown in Section 2 of [9], the situation $\theta = 1$ holds true in other cases, besides the obvious one $M \equiv a$.

Now, we are ready to state the main result of the present paper.

Theorem 1.1. *Let $N > ps > \alpha \geq 0$, $q \in (1, p)$, with $s \in (0, 1)$ and $p \in (1, \infty)$. Assume that M and w satisfy assumptions (M_1) – (M_2) and (w) .*

Then, for any $\sigma \in (p\theta, p_s^(\alpha))$ and for any $\gamma \in (-\infty, \kappa_\sigma H)$, there exists $\bar{\lambda} = \bar{\lambda}(\sigma, \gamma) > 0$ such that for any $\lambda \in (0, \bar{\lambda})$ problem (1.1) admits a sequence of solutions $\{u_n\}_n$ in $Z(\Omega)$ with the energy functional $\mathcal{J}_{\gamma, \lambda}(u_n) < 0$, $\mathcal{J}_{\gamma, \lambda}(u_n) \rightarrow 0$ and $\{u_n\}_n$ converges to zero as $n \rightarrow \infty$.*

The proof of Theorem 1.1 is obtained by applying suitable variational methods and consists of several steps. In Section 2 we study the compactness property of the Euler-Lagrange functional associated with (1.1). After that, in Section 3, we introduce a truncated functional which allows us to apply the symmetric mountain pass lemma in [20]. Finally, we prove that the critical points of the truncated functional are indeed solutions of the original problem (1.1).

2 The Palais–Smale condition

Throughout the paper we assume that $N > ps > \alpha \geq 0$, $s \in (0, 1)$, $p \in (1, \infty)$, $q \in (1, p)$, (M_1) – (M_2) and (w) , without further mentioning.

According to the variational nature, (weak) solutions of (1.1) correspond to critical points of the Euler–Lagrange functional $\mathcal{J}_{\gamma, \lambda} : Z(\Omega) \rightarrow \mathbb{R}$, defined by

$$\mathcal{J}_{\gamma, \lambda}(u) = \frac{1}{p} \mathcal{M}([u]_{s,p}^p) - \frac{\gamma}{p} \|u\|_H^p - \frac{\lambda}{q} \|u\|_{q,w}^q - \frac{1}{p_s^*(\alpha)} \|u\|_{H_\alpha}^{p_s^*(\alpha)}.$$

Note that $\mathcal{J}_{\gamma, \lambda}$ is a $C^1(Z(\Omega))$ functional and for any $u, \varphi \in Z(\Omega)$

$$\langle \mathcal{J}'_{\gamma, \lambda}(u), \varphi \rangle = M([u]_{s,p}^p) \langle u, \varphi \rangle_{s,p} - \gamma \langle u, \varphi \rangle_H - \lambda \langle u, \varphi \rangle_{q,w} - \langle u, \varphi \rangle_{H_\alpha}, \quad (2.1)$$

where

$$\begin{aligned} \langle u, \varphi \rangle_{s,p} &= \iint_{\mathbb{R}^{2N}} \frac{|u(x) - u(y)|^{p-2} [u(x) - u(y)] \cdot [\varphi(x) - \varphi(y)]}{|x - y|^{N+sp}} dx dy, \\ \langle u, \varphi \rangle_{q,w} &= \int_{\Omega} w(x) |u(x)|^{q-2} u(x) \varphi(x) dx, \\ \langle u, \varphi \rangle_H &= \int_{\Omega} |u(x)|^{p-2} u(x) \varphi(x) \frac{dx}{|x|^{sp}}, \quad \langle u, \varphi \rangle_{H_\alpha} = \int_{\Omega} |u(x)|^{p_s^*(\alpha)-2} u(x) \varphi(x) \frac{dx}{|x|^\alpha}. \end{aligned}$$

Now, we discuss the compactness property for the functional $\mathcal{J}_{\gamma, \lambda}$, given by the Palais–Smale condition. We recall that $\{u_n\}_n \subset Z(\Omega)$ is a Palais–Smale sequence for $\mathcal{J}_{\gamma, \lambda}$ at level $c \in \mathbb{R}$ if

$$\mathcal{J}_{\gamma, \lambda}(u_n) \rightarrow c \quad \text{and} \quad \mathcal{J}'_{\gamma, \lambda}(u_n) \rightarrow 0 \quad \text{in } (Z(\Omega))' \quad \text{as } n \rightarrow \infty. \quad (2.2)$$

We say that $\mathcal{J}_{\gamma, \lambda}$ satisfies the Palais–Smale condition at level c if any Palais–Smale sequence $\{u_n\}_n$ at level c admits a convergent subsequence in $Z(\Omega)$.

Lemma 2.1. *Let $c < 0$.*

Then, for any $\sigma \in (p\theta, p_s^(\alpha))$ and any $\gamma \in (-\infty, \kappa_\sigma H)$ there exists $\lambda_0 = \lambda_0(\sigma, \gamma) > 0$ such that for any $\lambda \in (0, \lambda_0)$, the functional $\mathcal{J}_{\gamma, \lambda}$ satisfies the Palais–Smale condition at level c .*

Proof. Fix $\sigma \in (p\theta, p_s^*(\alpha))$ and $\gamma \in (-\infty, \kappa_\sigma H)$. Since $\gamma < \kappa_\sigma H \leq aH$, there exists a number $\tilde{c} \in [0, 1)$ such that $\gamma^+ = \tilde{c}aH$. Thus, let us consider $\lambda_0 = \lambda_0(\sigma, \gamma) > 0$ sufficiently small such that

$$\left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right)^{-\frac{p_s^*(\alpha)}{p_s^*(\alpha)-q}} \left[\lambda_0 \left(\frac{1}{q} - \frac{1}{\sigma} \right) \|w\|_r \right]^{\frac{p_s^*(\alpha)}{p_s^*(\alpha)-q}} < [(1 - \tilde{c})aH_\alpha]^{\frac{p_s^*(\alpha)}{p_s^*(\alpha)-p}} \quad (2.3)$$

where $q < p < p_s^*(\alpha)$, a is set in (M_1) , while H_α is given in (1.3).

Fix $\lambda \in (0, \lambda_0)$. Let $\{u_n\}_n$ be a $(PS)_c$ sequence in $Z(\Omega)$. We first show that $\{u_n\}_n$ is bounded. By using the assumptions (M_1) and (M_2) , and the inequalities (1.2) and (1.3), we get

$$\begin{aligned} \mathcal{J}_{\gamma,\lambda}(u_n) - \frac{1}{\sigma} \langle \mathcal{J}'_{\gamma,\lambda}(u_n), u_n \rangle &\geq \left(\frac{1}{p\theta} - \frac{1}{\sigma} \right) M([u_n]_{s,p}^p) [u_n]_{s,p}^p - \frac{\gamma^+}{H} \left(\frac{1}{p} - \frac{1}{\sigma} \right) [u_n]_{s,p}^p \\ &\quad - \lambda \left(\frac{1}{q} - \frac{1}{\sigma} \right) C_w^q [u_n]_{s,p}^q - \left(\frac{1}{p_s^*(\alpha)} - \frac{1}{\sigma} \right) \|u_n\|_{H_\alpha}^{p_s^*(\alpha)} \\ &\geq v [u_n]_{s,p}^p - \lambda \left(\frac{1}{q} - \frac{1}{\sigma} \right) C_w^q [u_n]_{s,p}^q \\ &\quad - \left(\frac{1}{p_s^*(\alpha)} - \frac{1}{\sigma} \right) \|u_n\|_{H_\alpha}^{p_s^*(\alpha)}, \end{aligned} \quad (2.4)$$

where

$$v = \left(\frac{1}{p\theta} - \frac{1}{\sigma} \right) a - \frac{\gamma^+}{H} \left(\frac{1}{p} - \frac{1}{\sigma} \right) > 0 \quad (2.5)$$

in view of (1.6) and the fact that $\sigma > p\theta \geq p$ and $\gamma \in (-\infty, \kappa_\sigma H)$. Thus, by (2.2) there exists $\beta > 0$ such that as $n \rightarrow \infty$

$$c + \beta [u_n]_{s,p}^q + o(1) \geq v [u_n]_{s,p}^p,$$

which implies at once that $\{u_n\}_n$ is bounded in $Z(\Omega)$, being $q < p$.

Therefore, using arguments similar to Lemma 4.1 of [15], there exists a subsequence, still denoted by $\{u_n\}_n$, and a function $u \in Z(\Omega)$ such that

$$\begin{aligned} u_n &\rightharpoonup u \quad \text{in } Z(\Omega), & [u_n]_{s,p} &\rightarrow d, \\ u_n &\rightharpoonup u \quad \text{in } L^p(\Omega, |x|^{-sp}), & \|u_n - u\|_H &\rightarrow \iota, \\ u_n &\rightharpoonup u \quad \text{in } L^{p_s^*(\alpha)}(\Omega, |x|^{-\alpha}), & \|u_n - u\|_{H_\alpha} &\rightarrow \ell, \\ u_n &\rightarrow u \quad \text{in } L^q(\Omega, w), & u_n &\rightarrow u \text{ a.e. in } \Omega \end{aligned} \quad (2.6)$$

as $n \rightarrow \infty$.

Furthermore, as shown in the proof of Lemma 2.4 of [9], by (2.6) the sequence $\{\mathcal{U}_n\}_n$, defined in $\mathbb{R}^{2N} \setminus \text{Diag } \mathbb{R}^{2N}$ by

$$(x, y) \mapsto \mathcal{U}_n(x, y) = \frac{|u_n(x) - u_n(y)|^{p-2} (u_n(x) - u_n(y))}{|x - y|^{\frac{N+sp}{p'}}},$$

is bounded in $L^{p'}(\mathbb{R}^{2N})$ as well as $\mathcal{U}_n \rightarrow \mathcal{U}$ a.e. in \mathbb{R}^{2N} , where

$$\mathcal{U}(x, y) = \frac{|u(x) - u(y)|^{p-2} (u(x) - u(y))}{|x - y|^{\frac{N+sp}{p'}}}.$$

Thus, up to a subsequence, we get $\mathcal{U}_n \rightarrow \mathcal{U}$ in $L^{p'}(\mathbb{R}^{2N})$, and so as $n \rightarrow \infty$

$$\langle u_n, \varphi \rangle_{s,p} \rightarrow \langle u, \varphi \rangle_{s,p} \quad (2.7)$$

for any $\varphi \in Z(\Omega)$, since $|\varphi(x) - \varphi(y)| \cdot |x - y|^{-\frac{N+sp}{p}} \in L^p(\mathbb{R}^{2N})$. Similarly, (2.6) and Proposition A.8 of [4] imply that $|u_n|^{p-2} u_n \rightharpoonup |u|^{p-2} u$ in $L^{p'}(\Omega, |x|^{-sp})$ and $|u_n|^{p_s^*(\alpha)-2} u_n \rightharpoonup |u|^{p_s^*(\alpha)-2} u$ in $L^{p_s^*(\alpha)' }(\Omega, |x|^{-\alpha})$, from which as $n \rightarrow \infty$

$$\langle u_n, \varphi \rangle_H \rightarrow \langle u, \varphi \rangle_H, \quad \langle u_n, \varphi \rangle_{H_\alpha} \rightarrow \langle u, \varphi \rangle_{H_\alpha}, \quad (2.8)$$

for any $\varphi \in Z(\Omega)$.

Thanks to (2.6), by using Hölder inequality it results

$$\lim_{n \rightarrow \infty} \int_{\Omega} w(x) |u_n(x)|^{q-2} u_n(x) (u_n(x) - u(x)) dx = 0. \quad (2.9)$$

Consequently, from (2.2), (2.6)–(2.9) we deduce that, as $n \rightarrow \infty$

$$\begin{aligned} o(1) &= \langle \mathcal{J}'_{\gamma, \lambda}(u_n), u_n - u \rangle = M([u_n]_{s,p}^p) [u_n]_{s,p}^p - M([u_n]_{s,p}^p) \langle u_n, u \rangle_{s,p} \\ &\quad - \gamma \int_{\Omega} |u_n(x)|^{p-2} u_n(x) (u_n(x) - u(x)) \frac{dx}{|x|^{sp}} \\ &\quad - \lambda \int_{\Omega} w(x) |u_n(x)|^{q-2} (u_n(x) - u(x)) dx \\ &\quad - \int_{\Omega} |u_n(x)|^{p_s^*(\alpha)-2} u_n(x) (u_n(x) - u(x)) \frac{dx}{|x|^{\alpha}} \\ &= M([u_n]_{s,p}^p) ([u_n]_{s,p}^p - [u]_{s,p}^p) - \gamma (\|u_n\|_H^p - \|u\|_H^p) \\ &\quad - \|u_n\|_{H_{\alpha}}^{p_s^*(\alpha)} + \|u\|_{H_{\alpha}}^{p_s^*(\alpha)} + o(1). \end{aligned} \quad (2.10)$$

Furthermore, by using (2.6) and the celebrated Brézis and Lieb Lemma in [7], we have

$$\begin{aligned} \|u_n\|_H^p &= \|u_n - u\|_H^p + \|u\|_H^p + o(1), \\ \|u_n\|_{H_{\alpha}}^{p_s^*(\alpha)} &= \|u_n - u\|_{H_{\alpha}}^{p_s^*(\alpha)} + \|u\|_{H_{\alpha}}^{p_s^*(\alpha)} + o(1), \end{aligned} \quad (2.11)$$

as $n \rightarrow \infty$. By applying again the Brézis and Lieb Lemma [7] to

$$\frac{(u_n - u)(x) - (u_n - u)(y)}{|x - y|^{\frac{N+sp}{p}}} \in L^p(\mathbb{R}^{2N})$$

we can see that

$$[u_n]_{s,p}^p = [u_n - u]_{s,p}^p + [u]_{s,p}^p + o(1) \quad \text{as } n \rightarrow \infty. \quad (2.12)$$

Therefore, combining (2.6), the continuity of M and relations (2.10)–(2.12), we have proved the crucial formula

$$M(d^p) \lim_{n \rightarrow \infty} [u_n - u]_{s,p}^p = \gamma \lim_{n \rightarrow \infty} \|u_n - u\|_H^p + \lim_{n \rightarrow \infty} \|u_n - u\|_{H_{\alpha}}^{p_s^*(\alpha)} = \gamma \iota^p + \ell^{p_s^*(\alpha)}. \quad (2.13)$$

Now, let us rewrite the formula (2.13) as

$$(1 - \tilde{c})M(d^p) \lim_{n \rightarrow \infty} [u_n - u]_{s,p}^p + \tilde{c}M(d^p) \lim_{n \rightarrow \infty} [u_n - u]_{s,p}^p = \gamma \iota^p + \ell^{p_s^*(\alpha)},$$

with $\tilde{c} \in [0, 1)$ fixed at the beginning of the proof. By (M_1) and (1.3), we have

$$\begin{aligned} (1 - \tilde{c})a H_{\alpha} \ell^p + \tilde{c}a H \iota^p &\leq (1 - \tilde{c})M(d^p) \lim_{n \rightarrow \infty} [u_n - u]_{s,p}^p + \tilde{c}M(d^p) \lim_{n \rightarrow \infty} [u_n - u]_{s,p}^p \\ &\leq \gamma^+ \iota^p + \ell^{p_s^*(\alpha)}. \end{aligned}$$

Therefore, since $\gamma^+ = \tilde{c}a H$, we obtain

$$\ell^{p_s^*(\alpha)} \geq (1 - \tilde{c})a H_{\alpha} \ell^p,$$

from which, assuming by contradiction that $\ell > 0$, we get

$$\ell^{p_s^*(\alpha)} \geq [(1 - \tilde{c})a H_{\alpha}]^{\frac{p_s^*(\alpha)}{p_s^*(\alpha) - p}}. \quad (2.14)$$

Exploiting (2.4) and (2.5), taking the limit as $n \rightarrow \infty$, and by using (2.2), (2.6), (2.10), assumption (w), Hölder inequality and Young inequality, we can infer

$$\begin{aligned} c &\geq \left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right) \left(\ell p_s^*(\alpha) + \|u\|_{H_\alpha}^{p_s^*(\alpha)} \right) - \lambda \left(\frac{1}{q} - \frac{1}{\sigma} \right) \|u\|_{q,w}^q \\ &\geq \left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right) \left(\ell p_s^*(\alpha) + \|u\|_{H_\alpha}^{p_s^*(\alpha)} \right) - \lambda \left(\frac{1}{q} - \frac{1}{\sigma} \right) \|w\|_r \|u\|_{H_\alpha}^q \\ &\geq \left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right) \left(\ell p_s^*(\alpha) + \|u\|_{H_\alpha}^{p_s^*(\alpha)} \right) - \left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right) \|u\|_{H_\alpha}^{p_s^*(\alpha)} \\ &\quad - \left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right)^{-\frac{q}{p_s^*(\alpha)-q}} \left[\lambda \left(\frac{1}{q} - \frac{1}{\sigma} \right) \|w\|_r \right]^{\frac{p_s^*(\alpha)}{p_s^*(\alpha)-q}}. \end{aligned}$$

Finally, by (2.14) we get

$$\begin{aligned} 0 > c &\geq \left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right) [(1 - \tilde{c})a H_\alpha]^{\frac{p_s^*(\alpha)}{p_s^*(\alpha)-p}} \\ &\quad - \left(\frac{1}{\sigma} - \frac{1}{p_s^*(\alpha)} \right)^{-\frac{q}{p_s^*(\alpha)-q}} \left[\lambda \left(\frac{1}{q} - \frac{1}{\sigma} \right) \|w\|_r \right]^{\frac{p_s^*(\alpha)}{p_s^*(\alpha)-q}} > 0, \end{aligned}$$

where the last inequality follows from (2.3). This is impossible, so $\ell = 0$.

Now, let us assume by contradiction that $\iota > 0$. Then, from (M_1) , (1.3) and (2.13) we have

$$\begin{aligned} M(d^p) \lim_{n \rightarrow \infty} [u_n - u]_{s,p}^p &= \gamma \lim_{n \rightarrow \infty} \|u_n - u\|_H^p \\ &< a H \lim_{n \rightarrow \infty} \|u_n - u\|_H^p \leq M(d^p) \lim_{n \rightarrow \infty} [u_n - u]_{s,p}^p, \end{aligned}$$

which gives a contradiction. Therefore, $\iota = 0$ and by using again (M_1) and (2.13) it follows that $u_n \rightarrow u$ in $Z(\Omega)$ as $n \rightarrow \infty$, as claimed. \square

3 The truncated functional

In this section we prove that problem (1.1) admits a sequence of solutions which goes to zero. Firstly, we recall the definition of genus and some its fundamental properties; see [29] for more details.

Let E be a Banach space and A a subset of E . We say that A is symmetric if $u \in A$ implies that $-u \in A$. For a closed symmetric set A which does not contain the origin, we define the genus $\mu(A)$ of A as the smallest integer k such that there exists an odd continuous mapping from A to $\mathbb{R}^k \setminus \{0\}$. If there does not exist such a k , we put $\mu(A) = \infty$. Moreover, we set $\mu(\emptyset) = 0$.

Let us denote by Σ_k the family of closed symmetric subsets A of E such that $0 \notin A$ and $\mu(A) \geq k$. Then we have the following result.

Proposition 3.1. *Let A and B be closed symmetric subsets of E which do not contain the origin. Then we have*

- (i) *If there exists an odd continuous mapping from A to B , then $\mu(A) \leq \mu(B)$.*
- (ii) *If there is an odd homeomorphism from A onto B , then $\mu(A) = \mu(B)$.*
- (iii) *If $\mu(B) < \infty$, then $\mu(A \setminus B) \geq \mu(A) - \mu(B)$.*

(iv) The n -dimensional sphere S^n has a genus of $n + 1$ by the Borsuk–Ulam Theorem.

(v) If A is compact, then $\mu(A) < \infty$ and there exist $\delta > 0$ and a closed and symmetric neighborhood $N_\delta(A) = \{x \in E : \|x - A\| \leq \delta\}$ of A such that $\mu(N_\delta(A)) = \mu(A)$.

Now, we state the following variant of symmetric mountain pass lemma due to Kajikija [20].

Lemma 3.2. *Let E be an infinite-dimensional Banach space and let $I \in C^1(E, \mathbb{R})$ be a functional satisfying the conditions below:*

(h₁) *$I(u)$ is even, bounded from below, $I(0) = 0$ and $I(u)$ satisfies the local Palais–Smale condition; that is, for some $c^* > 0$, in the case when every sequence $\{u_n\}_n$ in E satisfying $I(u_n) \rightarrow c < c^*$ and $I'(u_n) \rightarrow 0$ in E^* has a convergent subsequence;*

(h₂) *For each $n \in \mathbb{N}$, there exists an $A_n \in \Sigma_n$ such that $\sup_{u \in A_n} I(u) < 0$.*

Then either (i) or (ii) below holds.

(i) *There exists a sequence $\{u_n\}_n$ such that $I'(u_n) = 0$, $I(u_n) < 0$ and $\{u_n\}_n$ converges to zero.*

(ii) *There exist two sequences $\{u_n\}_n$ and $\{v_n\}_n$ such that $I'(u_n) = 0$, $I(u_n) = 0$, $u_n \neq 0$, $\lim_{n \rightarrow \infty} u_n = 0$, $I'(v_n) = 0$, $I(v_n) < 0$, $\lim_{n \rightarrow \infty} I(v_n) = 0$ and $\{v_n\}_n$ converges to a non-zero limit.*

Remark 3.3. It is worth to point out that in [20] the functional I verifies the Palais–Smale condition in global. Anyway, a careful analysis of the proof of Theorem 1 in [20], allows us to deduce that the result in [20] holds again if I satisfies the local Palais–Smale condition with the critical levels below zero.

Let us note that the functional $\mathcal{J}_{\gamma, \lambda}$ is not bounded from below in $Z(\Omega)$. Indeed, assumption (M_1) implies that $M(t) > 0$ for any $t \in \mathbb{R}_0^+$ and consequently by (M_2) we have $\frac{M(t)}{\mathcal{M}(t)} \leq \frac{\theta}{t}$. Thus, integrating on $[1, t]$, with $t > 1$, we get

$$\mathcal{M}(t) \leq \mathcal{M}(1)t^\theta \quad \text{for any } t \geq 1.$$

From this, by using (1.2) and (1.3), for any $u \in Z(\Omega)$ we have

$$\begin{aligned} \mathcal{J}_{\gamma, \lambda}(tu) &\leq t^{p\theta} \frac{\mathcal{M}(1)}{p} [u]_{s,p}^{p\theta} - t^p \frac{\gamma}{p} \|u\|_H^p - t^q \frac{\lambda}{q} \|u\|_{q,w}^q \\ &\quad - t^{p_s^*(\alpha)} \frac{1}{p_s^*(\alpha)} \|u\|_{H_\alpha}^{p_s^*(\alpha)} \rightarrow -\infty \quad \text{as } t \rightarrow \infty. \end{aligned}$$

Now, fix $\gamma \in (-\infty, aH)$ and $\lambda > 0$ and let us consider the function

$$\mathcal{Q}_{\gamma, \lambda}(t) = \frac{1}{p} \left(a - \frac{\gamma^+}{H} \right) t^p - \frac{\lambda C_w}{q} t^q - \frac{1}{p_s^*(\alpha) H_\alpha} t^{p_s^*(\alpha)}.$$

Choose $R_1 > 0$ such that

$$\frac{1}{p} \left(a - \frac{\gamma^+}{H} \right) R_1^p > \frac{1}{p_s^*(\alpha) H_\alpha} R_1^{p_s^*(\alpha)} \quad (3.1)$$

and define

$$\lambda^* = \frac{C_w}{2qR_1^q} \left[\left(a - \frac{\gamma^+}{H} \right) R_1^p - \frac{1}{p_s^*(\alpha) H_\alpha} R_1^{p_s^*(\alpha)} \right] \quad (3.2)$$

such that $\mathcal{Q}_{\gamma,\lambda^*}(R_1) > 0$. Let us set

$$R_0 = \max\{t \in (0, R_1) : \mathcal{Q}_{\gamma,\lambda^*}(t) \leq 0\}. \quad (3.3)$$

Taking in mind the fact that $\mathcal{Q}_{\gamma,\lambda}(t) \leq 0$ for t near zero, since $q < p < p_s^*(\alpha)$, and $\mathcal{Q}_{\gamma,\lambda^*}(R_1) > 0$, we can infer that $\mathcal{Q}_{\gamma,\lambda^*}(R_0) = 0$.

Choose $\phi \in C_0^\infty([0, \infty))$ such that $0 \leq \phi(t) \leq 1$, $\phi(t) = 1$ for $t \in [0, R_0]$ and $\phi(t) = 0$ for $t \in [R_1, \infty)$. Thus, we consider the truncated functional

$$\tilde{\mathcal{J}}_{\gamma,\lambda}(u) = \frac{1}{p} \mathcal{M}([u]_{s,p}^p) - \frac{\gamma}{p} \|u\|_H^p - \frac{\lambda}{q} \|u\|_{w,q}^q - \frac{\phi([u]_{s,p})}{p_s^*(\alpha)} \|u\|_{H_\alpha}^{p_s^*(\alpha)}.$$

It immediately follows that $\tilde{\mathcal{J}}_{\gamma,\lambda}(u) \rightarrow \infty$ as $[u]_{s,p} \rightarrow \infty$, by (M_1) , since $\gamma \in (-\infty, aH)$ and $q < p$. Hence, $\tilde{\mathcal{J}}_{\gamma,\lambda}$ is coercive and bounded from below. Now, we prove a local Palais–Smale result for the truncated functional $\tilde{\mathcal{J}}_{\gamma,\lambda}$.

Lemma 3.4. *For any $\gamma \in (-\infty, aH)$, there exists $\bar{\lambda} > 0$ such that, for any $\lambda \in (0, \bar{\lambda})$*

- (i) *if $\tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq 0$ then $[u]_{s,p} \leq R_0$, and for any v in a small neighborhood of u we have $\mathcal{J}_{\gamma,\lambda}(v) = \tilde{\mathcal{J}}_{\gamma,\lambda}(v)$;*
- (ii) *$\tilde{\mathcal{J}}_{\gamma,\lambda}$ satisfies a local Palais–Smale condition for $c < 0$.*

Proof. Let us choose $\bar{\lambda}$ sufficiently small such that $\bar{\lambda} \leq \min\{\lambda_0, \lambda^*\}$, where λ_0 is defined in Lemma 2.1 and λ^* in (3.2). Fix $\lambda < \bar{\lambda}$.

(i) Let us assume that $\tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq 0$.

If $[u]_{s,p} \geq R_1$, then by using (M_1) , (1.2), (1.3), the definition of $\phi(t)$ and the fact that $\lambda < \lambda^*$, we obtain

$$\tilde{\mathcal{J}}_{\gamma,\lambda}(u) \geq \frac{1}{p} \left(a - \frac{\gamma^+}{H} \right) [u]_{s,p}^p - \frac{\lambda^* C_w}{q} t^q [u]_{s,p}^q > 0,$$

where the last inequality follows from $q < p$ and $\mathcal{Q}_{\gamma,\lambda^*}(R_1) > 0$. Thus we get a contradiction because of $0 \geq \tilde{\mathcal{J}}_{\gamma,\lambda}(u) > 0$.

When $[u]_{s,p} < R_1$, by using (M_1) , (1.2), (1.3), $\lambda < \lambda^*$, the definition of $\phi(t)$, we can infer

$$0 \geq \tilde{\mathcal{J}}_{\gamma,\lambda}(u) \geq \mathcal{Q}_{\gamma,\lambda}([u]_{s,p}) \geq \mathcal{Q}_{\gamma,\lambda^*}([u]_{s,p}).$$

From the definition of R_0 we deduce that $[u]_{s,p} \leq R_0$. Moreover, for any $u \in B_{\frac{R_0}{2}}(0)$ we have that $\mathcal{J}_{\gamma,\lambda}(u) = \tilde{\mathcal{J}}_{\gamma,\lambda}(u)$.

(ii) Being $\tilde{\mathcal{J}}_{\gamma,\lambda}$ a coercive functional, every Palais–Smale sequence for $\tilde{\mathcal{J}}_{\gamma,\lambda}$ is bounded. Thus, since $\lambda < \lambda_0$, by Lemma 2.1 we deduce a local Palais–Smale condition for $\mathcal{J}_{\gamma,\lambda} \equiv \tilde{\mathcal{J}}_{\gamma,\lambda}$ at any level $c < 0$. \square

Taking into account that $Z(\Omega)$ is reflexive and separable (see Appendix A in [28]), we can find a sequence $\{\varphi_n\}_n \subset Z(\Omega)$ such that $Z(\Omega) = \overline{\text{span}\{\varphi_n : n \in \mathbb{N}\}}$. For any $n \in \mathbb{N}$ we can set $X_n = \text{span}\{\varphi_n\}$ and $Y_n = \bigoplus_{i=1}^n X_i$.

Lemma 3.5. *For any $\gamma \in (-\infty, aH)$, $\lambda > 0$ and $k \in \mathbb{N}$, there exists $\varepsilon = \varepsilon(\gamma, \lambda, k) > 0$ such that*

$$\mu(\tilde{\mathcal{J}}_{\gamma,\lambda}^{-\varepsilon}) \geq k,$$

where $\tilde{\mathcal{J}}_{\gamma,\lambda}^{-\varepsilon} = \{u \in Z(\Omega) : \tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq -\varepsilon\}$.

Proof. Fix $\gamma \in (-\infty, aH)$, $\lambda > 0$ and $k \in \mathbb{N}$. Since Y_k is finite dimensional, there exist two positive constants $c_1(k)$ and $c_2(k)$ such that for any $u \in Y_k$

$$c_1(k)[u]_{s,p}^p \leq \|u\|_H^p \quad \text{and} \quad c_2(k)[u]_{s,p}^q \leq \|u\|_{q,w}^q. \quad (3.4)$$

By using (3.4), for any $u \in Y_k$ such that $[u]_{s,p} \leq R_0$, we can infer

$$\tilde{\mathcal{J}}_{\gamma,\lambda}(u) = \mathcal{J}_{\gamma,\lambda}(u) \leq \frac{M^*}{p}[u]_{s,p}^p + \frac{\gamma^-}{p}c_1(k)[u]_{s,p}^p - \frac{\lambda}{q}c_2(k)[u]_{s,p}^q, \quad (3.5)$$

with $M^* = \max_{\tau \in [0, R_0]} M(\tau) < \infty$, by continuity of M . Now, let ϱ be a positive constant such that

$$\varrho < \min \left\{ R_0, \left[\frac{\lambda c_2(k)p}{q(M^* + \gamma^- c_1(k))} \right]^{\frac{1}{p-q}} \right\}. \quad (3.6)$$

Then, for any $u \in Y_k$ such that $[u]_{s,p} = \varrho$, by (3.5) we get

$$\tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq \varrho^q \left[\frac{M^* + \gamma^- c_1(k)}{p} \varrho^{p-q} - \frac{\lambda c_2(k)}{q} \right] < 0, \quad (3.7)$$

where the last inequality follows from (3.6). Hence we can find a constant $\varepsilon = \varepsilon(\gamma, \lambda, k) > 0$ such that $\tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq -\varepsilon$ for any $u \in Y_k$ such that $[u]_{s,p} = \varrho$. As a consequence

$$\{u \in Y_k : [u]_{s,p} = \varrho\} \subset \{u \in Z(\Omega) : \tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq -\varepsilon\} \setminus \{0\}.$$

By using (ii) and (iv) of Proposition 3.1 we have the thesis. \square

For any $c \in \mathbb{R}$ and any $k \in \mathbb{N}$, let us define the set

$$K_c = \{u \in Z(\Omega) : \tilde{\mathcal{J}}'_{\gamma,\lambda}(u) = 0 \text{ and } \tilde{\mathcal{J}}_{\gamma,\lambda}(u) = c\}$$

and the number

$$c_k = \inf_{A \in \Sigma_k} \sup_{u \in A} \tilde{\mathcal{J}}_{\gamma,\lambda}(u). \quad (3.8)$$

Lemma 3.6. *For any $\gamma \in (-\infty, aH)$, $\lambda > 0$ and $k \in \mathbb{N}$, we have that $c_k < 0$.*

Proof. Fix $\gamma \in (-\infty, aH)$, $\lambda > 0$ and $k \in \mathbb{N}$. Then, by using Lemma 3.5 we can find a positive constant ε such that $\mu(\tilde{\mathcal{J}}_{\gamma,\lambda}^{-\varepsilon}) \geq k$. Moreover, $\tilde{\mathcal{J}}_{\gamma,\lambda}^{-\varepsilon} \in \Sigma_k$ since $\tilde{\mathcal{J}}_{\gamma,\lambda}$ is a continuous and even functional. Taking into account that $\tilde{\mathcal{J}}_{\gamma,\lambda}(0) = 0$, we have $0 \notin \tilde{\mathcal{J}}_{\gamma,\lambda}^{-\varepsilon}$ and $\sup_{u \in \tilde{\mathcal{J}}_{\gamma,\lambda}^{-\varepsilon}} \tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq -\varepsilon$. Therefore, recalling that $\tilde{\mathcal{J}}_{\gamma,\lambda}$ is bounded from below, we get

$$-\infty < c_k = \inf_{A \in \Sigma_k} \sup_{u \in A} \tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq \sup_{u \in \tilde{\mathcal{J}}_{\gamma,\lambda}^{-\varepsilon}} \tilde{\mathcal{J}}_{\gamma,\lambda}(u) \leq -\varepsilon < 0. \quad \square$$

Lemma 3.7. *Let $\gamma \in (-\infty, aH)$ and $\lambda \in (0, \bar{\lambda})$, where $\bar{\lambda}$ is given by Lemma 3.4. Then all c_k are critical values for $\tilde{\mathcal{J}}_{\gamma,\lambda}$ and $c_k \rightarrow 0$ as $k \rightarrow \infty$.*

Proof. Fix $\gamma \in (-\infty, aH)$ and $\lambda > 0$. It is easy to see that $c_k \leq c_{k+1}$ for all $k \in \mathbb{N}$. By Lemma 3.6 it follows that $c_k < 0$, so we can assume that $c_k \rightarrow \bar{c} \leq 0$. Since $\tilde{\mathcal{J}}_{\gamma,\lambda}$ satisfies the Palais–Smale condition at level c_k by Lemma 3.4, we can argue as in [29] to see that all c_k are critical value of $\tilde{\mathcal{J}}_{\gamma,\lambda}$.

Now, we prove that $\bar{c} = 0$. We argue by contradiction, and we suppose that $\bar{c} < 0$. In view of Lemma 3.4, we know that $K_{\bar{c}}$ is compact, so, by applying part (v) of Proposition 3.1 we can deduce that $\mu(K_{\bar{c}}) = k_0 < \infty$ and there exists $\delta > 0$ such that $\mu(K_{\bar{c}}) = \mu(N_\delta(K_{\bar{c}})) = k_0$. By Theorem 3.4 of [5], there exists $\varepsilon \in (0, \bar{c})$ and an odd homeomorphism $\eta : Z(\Omega) \rightarrow Z(\Omega)$ such that

$$\eta(\tilde{\mathcal{J}}_{\gamma,\lambda}^{\bar{c}+\varepsilon} \setminus N_\delta(K_{\bar{c}})) \subset \tilde{\mathcal{J}}_{\gamma,\lambda}^{\bar{c}-\varepsilon}.$$

Now, taking into account that c_k is increasing and $c_k \rightarrow \bar{c}$, we can find $k \in \mathbb{N}$ such that $c_k > \bar{c} - \varepsilon$ and $c_{k+k_0} \leq \bar{c}$. Take $A \in \Sigma_{k+k_0}$ such that $\sup_{u \in A} \tilde{\mathcal{J}}_{\gamma,\lambda}(u) < \bar{c} + \varepsilon$. By using part (iii) of Proposition 3.1, we obtain

$$\mu(\overline{A \setminus N_\delta(K_{\bar{c}})}) \geq \mu(A) - \mu(N_\delta(K_{\bar{c}})) \quad \text{and} \quad \mu(\eta(\overline{A \setminus N_\delta(K_{\bar{c}})})) \geq k, \quad (3.9)$$

from which $\eta(\overline{A \setminus N_\delta(K_{\bar{c}})}) \in \Sigma_k$. Thus

$$\sup_{u \in \eta(\overline{A \setminus N_\delta(K_{\bar{c}})})} \tilde{\mathcal{J}}_{\gamma,\lambda}(u) \geq c_k > \bar{c} - \varepsilon. \quad (3.10)$$

However, in view of (3.7) and (3.9) we can see that

$$\eta(\overline{A \setminus N_\delta(K_{\bar{c}})}) \subset \eta(\tilde{\mathcal{J}}_{\gamma,\lambda}^{\bar{c}+\varepsilon} \setminus N_\delta(K_{\bar{c}})) \subset \tilde{\mathcal{J}}_{\gamma,\lambda}^{\bar{c}},$$

which gives a contradiction in virtue of (3.10). Therefore, $\bar{c} = 0$ and $c_k \rightarrow 0$. \square

Proof of Theorem 1.1. Let $\sigma \in (p\theta, p_s^*(\alpha))$, $\gamma \in (-\infty, \kappa_\sigma H)$ and $\lambda \in (0, \bar{\lambda})$. Since $\kappa_\sigma \leq a$, putting together Lemma 3.4, Lemma 3.5, Lemma 3.6 and Lemma 3.7, we can see that $\tilde{\mathcal{J}}_{\gamma,\lambda}$ verifies all the assumptions of Lemma 3.2. Therefore, the thesis follows by point (i) of Lemma 3.4. \square

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