Univalence Criterion for Analytic Functions

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Abstract

In this paper, we obtain a new univalence criterion for analytic functions defined outside of the unit disk. Relevant connections of the results, which are presented in this paper with various known results are also considered.

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1 Introduction

We denote by U_r the disk $\{z \in \mathbb{C} : |z| < r\}$, where $0 < r \le 1$, by $U = U_1$ the open unit disk of the complex plane and by I the interval $[0, \infty)$.

Let A denote the class of analytic functions in the open unit disk U which satisfy the usual normalization condition:

$$g(0) = g'(0) - 1 = 0.$$

We denote by S the subclass of A consisting of functions which are also univalent in U.

Closely related to S is the class Σ_0 of the functions

(1)
$$f(z) = z + \sum_{k=0}^{\infty} b_k z^{-k}$$

analytic in the domain $U' := \{\xi \in \mathbb{C} : |\xi| > 1\}$ exterior to U, except for a simple pole at the infinity residue 1.

2 Preliminary results

In proving our results, we will need the following theorem due to Ch. Pommerenke [6,7].

Theorem 1 Let $L(z,t) = a_1(t)z + a_2(t)z^2 + ..., a_1(t) \neq 0$ be analytic in U_r for all $t \in I$, locally absolutely continuous in I, and locally uniform with respect to U_r . For almost all $t \in I$, suppose that

$$z\frac{\partial L(z,t)}{\partial z} = p(z,t)\frac{\partial L(z,t)}{\partial t}, \forall z \in U_r,$$

where p(z,t) is analytic in U and satisfies the condition $\Re(p(z,t)) > 0$ for all $z \in U$, $t \in I$. If $|a_1(t)| \to \infty$ for $t \to \infty$ and $\{L(z,t) \neq a_1(t)\}$ forms a normal family in U_r , then for each $t \in I$, the function L(z,t) has an analytic and univalent extension to the whole disk U.

The following univalence criterion is due to Aksentév [1]. Later, Krzyz [4] gave quasiconformal extension for the functions. **Theorem 2** (Aksentév, Krzyz). Let $0 \le k \le 1$. If $f \in \sum_0$ satisfies the inequality

$$|f'(\xi) - 1| \le k, \quad \xi \in U',$$

then f univalent. Furthermore, if k < 1, then f extends to a k-quasiconformal mapping of the extended complex plane. The radii 1 and k are best possible.

In this paper we shall consider univalence conditions for functions $f \in \Sigma_0$ analytic in the domain $U' := \{\xi \in \mathbb{C} : |\xi| > 1\}.$

3 Main results

Making use of the Theorem 1 we can prove now, our main results.

Theorem 3 Let $s = \alpha + i\beta$ and c be complex numbers such that $\alpha > 0$ and $c \neq 1$, |c| < 1, respectively. Suppose that $f \in \Sigma_0$, $f'(\xi) \neq 0$ and $g(\xi) = 1 + c_2\xi^{-2} + \dots$ are two analytic in U'. If the following inequalities

(2)
$$\left| (1-c)\left(\frac{\xi f'(\xi)}{f(\xi)}\frac{1}{g(\xi)}\right) - \frac{s}{\alpha} \right| < \frac{|s|}{\alpha}$$

and

(3)
$$\frac{\left(|\xi|^{2/\alpha} - c\right)^2}{|\xi|^{2/\alpha} (1 - c)} \frac{\xi f'(\xi)}{f(\xi)} \frac{1}{g(\xi)}$$

$$-\frac{(|\xi|^{2/\alpha} - c)(|\xi|^{2/\alpha} - 1)}{|\xi|^{2/\alpha} (1 - c)} \left[\frac{\xi f'(\xi)}{f(\xi)} + s\frac{\xi g'(\xi)}{g(\xi)}\right] - \frac{s}{\alpha} \le \frac{|s|}{\alpha}$$

are satisfied for all $\xi \in U'$, then the function f is univalent in U'.

Proof. We prove that there exists a real number $r \in (0, 1]$ such that the function $L: U_r \times I \to \mathbb{C}$, defined formally by

(4)
$$L(z,t) = \frac{1}{f(e^{st} \not z)} \left\{ 1 - \frac{(e^{2t} - 1)}{(e^{2t} - c)} g(e^{st} \not z) \right\}^{-s}$$

is analytic in U_r for all $t \in I$.

Let us consider the function $\varphi_1(z,t)$ given by

(5)
$$\varphi_1(z,t) = g(e^{st} \not z).$$

For all $t \in I$ and $z \in U$, the function $\varphi_1(z, t)$ is analytic in U and $\varphi_1(0, t) = 1$. Then there exist a disc U_{r_1} , $0 < r_1 < 1$, in which $\varphi_1(z, t) \neq 0$ for all $t \in I$ and $z \in U_{r_1}$.

For the function

(6)
$$\varphi_2(z,t) = \left\{ 1 - \frac{(e^{2t} - 1)}{(e^{2t} - c)} \varphi_1(z,t) \right\}^{-s}$$

it can be easily shown that $\varphi_2(z,t)$ is analytic in U_{r_1} and $\varphi_2(0,t) = e^{2st} \left\{ \frac{1-ce^{-2t}}{1-c} \right\}^s$ for all $t \in I$. From these considerations it follows that the function

(7)
$$L(z,t) = \frac{1}{f(e^{st} \not z)} \varphi_2(z,t)$$

is analytic in U_{r_1} for all $t \in I$ and has an following form

$$L(z,t) = a_1(t)z + \dots$$

Furthermore $|L'(0,t)| = \left| e^{st} \left\{ \frac{1-ce^{-2t}}{1-c} \right\}^s \right| = e^{\alpha t} \left| \left\{ \frac{1-ce^{-2t}}{1-c} \right\}^s \right|$ which is nonvanishing in I and tends to infinity for $t \to \infty$ once we have chosen a fixed branch for these numbers.

Thus $\left\{\frac{L(z,t)}{a_1(t)}\right\}_{t\in I}$ forms a normal family of analytic functions in U_{r_2} , $0 < r_2 < r_1$. From the analyticity of $\frac{\partial L(z,t)}{\partial t}$, we obtain that for all fixed numbers T > 0 and r_3 , $0 < r_3 < r_2$, there exists a constant K > 0 (that depends on T and r_3) such that

$$\left|\frac{\partial L(z,t)}{\partial t}\right| < K, \forall z \in U_{r_3}, \ t \in [0,T].$$

Therefore, the function L(z,t) is locally absolutely continuous in I, locally uniform with respect to U_{r_3} .

The function p(z,t) defined by

$$p(z,t) = z \frac{\partial L(z,t)}{\partial z} \swarrow \frac{\partial L(z,t)}{\partial t}$$

is analytic in a disk U_r , $0 < r < r_3$, for all $t \in I$.

In order to prove that the function p(z,t) has an analytic extension in Uand $\Re p(z,t) > 0$ for all $t \in I$, we will show that the function w(z,t) given by

(8)
$$w(z,t) = \frac{p(z,t) - 1}{p(z,t) + 1}$$

has an analytic extension in U and |w(z,t)| < 1, for all $z \in U$ and $t \in I$. From equality (8) we have

(9)
$$w(z,t) = \frac{(1+s)\Omega(\xi,t) - 2}{(1-s)\Omega(\xi,t) + 2}$$

where $\xi = \frac{1}{z}$ and

(10)
$$\Omega(\xi,t) = \frac{1}{s} \frac{(e^{2t} - c)^2}{e^{2t}(1-c)} \frac{e^{st}\xi f'(e^{st}\xi)}{f(e^{st}\xi)} \frac{1}{g(e^{st}\xi)}$$

$$-\frac{(e^{2t}-c)(e^{2t}-1)}{e^{2t}(1-c)}\left(\frac{1}{s}\frac{e^{st}\xi f'(e^{st}\xi)}{f(e^{st}\xi)} + \frac{e^{st}\xi g'(e^{st}\xi)}{g(e^{st}\xi)}\right)$$

for $\xi \in U'$ and $t \in I$.

The inequality |w(z,t)| < 1 for all $z \in U$ and $t \in I$, where w(z,t) is defined by (9), is equivalent to

(11)
$$\left| \Omega(\xi, t) - \frac{1}{\alpha} \right| < \frac{1}{\alpha}, \quad \alpha = \Re(s), \ \forall \xi \in U', \ t \in I.$$

Define:

$$B(\xi,t) = \Omega(\xi,t) - \frac{1}{\alpha}, \quad \forall \xi \in U', \ t \in I.$$

From (2) and (10) we have

(12)
$$|B(\xi,0)| = \left| (1-c) \left(\frac{\xi f'(\xi)}{f(\xi)} \frac{1}{g(\xi)} \right) - \frac{s}{\alpha} \right| < \frac{|s|}{\alpha}.$$

Inequality (2) from the hypothesis, yields

$$|w(z,0)| < 1 \qquad (z \in U).$$

Let t > 0. Since $\left|\frac{e^{st}}{z}\right| \ge |e^{st}| = e^{\alpha t} > 1$ for all $z \in \overline{U} = \{z \in \mathbb{C} : |z| \le 1\}$ and t > 0, it follows that $B(\xi, t)$ is an analytic function in $\overline{U'}$. Making use of the maximum modulus principle we obtain that for each t > 0 arbitrarily fixed there exists $\theta = \theta(t) \in \mathbb{R}$ such that:

(13)
$$|B(\xi,t)| < \max_{|\xi|=1} |B(\xi,t)| = |B(e^{i\theta},t)|,$$

for all $\xi \in U'$ and $t \in I$.

Denote $u = e^{st}e^{-i\theta}$. Then $|u| = e^{\alpha t}$, $e^{2t} = |u|^{2\nearrow \alpha}$ and from (10) we have

$$|B(e^{i\theta}, t)| = \frac{1}{|s|} \left| \frac{(|u|^{2/\alpha} - c)^2}{|u|^{2/\alpha} (1 - c)} \frac{uf'(u)}{f(u)} \frac{1}{g(u)} \right|$$

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$$-\frac{(|u|^{2/\alpha} - c)(|u|^{2/\alpha} - 1)}{|u|^{2/\alpha} (1 - c)} \left[\frac{uf'(u)}{f(u)} + s\frac{ug'(u)}{g(u)}\right] - \frac{s}{\alpha}$$

Because $u \in U'$, the inequality (3) implies that

$$\left|B(e^{i\theta},t)\right| \le \frac{1}{\alpha},$$

and from (12) and (13), we conclude that

$$|B(\xi,t)| = \left|\Omega(\xi,t) - \frac{1}{\alpha}\right| < \frac{1}{\alpha}$$

for all $\xi \in U'$ and $t \in I$. Therefore |w(z,t)| < 1 for all $z \in U$ and $t \in I$.

Since all the conditions of Theorem 1 are satisfied, we obtain that the function L(z,t) has an analytic and univalent extension to the whole unit disk U, for all $t \in I$ and so is f because $L(z,0) = \frac{1}{f(z^{-1})}$ is analytic and univalent in U'. The proof of Theorem 3 has been completed.

The univalence criteria obtained by Becker and Ruscheweyh are contained in their expressions $|\xi|^2$, it is important that from Theorem 3 we obtain new results with $|\xi|^2$ instead of $|\xi|^{2/\alpha}$. If we set $\alpha \ge 1$ in Theorem 3, we obtain following theorem.

Theorem 4 Let $s = \alpha + i\beta$ and c be complex numbers such that $\alpha \ge 1$ and $c \ne 1$, |c| < 1, respectively. Suppose that $f \in \Sigma_0$, $f'(\xi) \ne 0$ and $g(\xi) = 1 + c_2 \xi^{-2} + ...$ are two analytic in U'. If the following inequalities

(14)
$$\left| (1-c)\left(\frac{\xi f'(\xi)}{f(\xi)}\frac{1}{g(\xi)}\right) - \frac{s}{\alpha} \right| < \frac{|s|}{\alpha}$$

and

(15)
$$\frac{\left(\left|\xi\right|^2 - c\right)^2}{\left|\xi\right|^2 (1 - c)} \frac{\xi f'(\xi)}{f(\xi)} \frac{1}{g(\xi)}$$

$$-\frac{(|\xi|^2 - c)(|\xi|^2 - 1)}{|\xi|^2 (1 - c)} \left[\frac{\xi f'(\xi)}{f(\xi)} + s\frac{\xi g'(\xi)}{g(\xi)}\right] - \frac{s}{\alpha} \le \frac{|s|}{\alpha}$$

are satisfied for all $\xi \in U'$, then the function f is univalent in U'.

Next we will give another Theorem which contain some results.

If we take $g(\xi) = \frac{\xi f'(\xi)}{f(\xi)}$, in Theorem 4, then we have the following result.

Theorem 5 Let $s = \alpha + i\beta$ and c be complex numbers such that $\alpha \ge 1$ and $c \ne 1$, |c| < 1, respectively. Suppose that $f \in \Sigma_0$, $f'(\xi) \ne 0$ be analytic in U'. If the following inequalities

$$(16) |c\alpha + i\beta| < |s|$$

and

(17)
$$i\beta + \alpha \left(1 - \frac{(|\xi|^2 - c)^2}{|\xi|^2 (1 - c)}\right)$$

$$+ \alpha \frac{(|\xi|^2 - c)(|\xi|^2 - 1)}{|\xi|^2 (1 - c)} \left[(1 - s) \frac{\xi f'(\xi)}{f(\xi)} + s \left(1 + \frac{\xi f''(\xi)}{f'(\xi)}\right) \right] \le |s|$$

are satisfied for all $\xi \in U'$, then the function f is univalent in U'.

Now we will give important results which are obtained by earlier authors.

For c = 0, $(f \in \Sigma_0, b_0 = 0)$ in Theorem 5, we obtain closely related to Ruscheweyh's univalence criterion [5].

Corollary 1 Let $s = \alpha + i\beta$ be complex number such that $\alpha \ge 1$. Suppose that $f \in \Sigma_0$ be analytic in U'. If the following inequality

$$\left|i\beta + \alpha(1 - |\xi|^2) \left[(1 - s)(1 - \frac{\xi f'(\xi)}{f(\xi)}) - s(\frac{\xi f''(\xi)}{f'(\xi)}) \right] \right| \le |s|$$

is satisfied for all $\xi \in U'$, then the function f is univalent in U'.

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For s = 1 in Theorem 5 we obtain Becker's univalence criterion [3].

Corollary 2 Suppose that $f(\xi) \in \Sigma_0$ is analytic in U' and for some $c \neq 1$, |c| < 1, it satisfies the condition

$$\left|\frac{(|\xi|^2 - c)(|\xi|^2 - 1)}{(1 - c)}\frac{\xi f''(\xi)}{f'(\xi)} + c\right| \le |\xi|^2$$

then the function f is univalent in U'.

For s = 1 and c = 0 in Theorem 5 we obtain Becker's another univalence criterion [2].

Corollary 3 Let $f(\xi) \in \Sigma_0$ be analytic in U'. If the following inequality

$$(|\xi|^2 - 1) \left| \frac{\xi f''(\xi)}{f'(\xi)} \right| \le 1$$

is satisfied for all $\xi \in U'$, then the function f is univalent in U'.

For s = 1, c = 0 and $g(\xi) = \frac{\xi}{f(\xi)}$ in Theorem 4 we obtain Theorem 2 (for k = 1)

Corollary 4 Let $f \in \Sigma_0$ be analytic in U'. If the following inequality

$$|f'(\xi) - 1| < 1$$

is satisfied for all $\xi \in U'$, then the function f is univalent in U'.

For c = 0 and $g(\xi) = 1$ in Theorem 4 then we obtain a simple univalence condition.

Corollary 5 Let $s = \alpha + i\beta$ be a complex number such that $\alpha \ge 1$. Let $f \in \Sigma_0$ be analytic in U'. If the following inequality

$$\left|\frac{\xi f'(\xi)}{f(\xi)} - \frac{s}{\alpha}\right| \le \frac{|s|}{\alpha}$$

is satisfied for all $\xi \in U'$, then the function f is univalent in U'.

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