Research Article

# A Study on the Coordination of Urban Traffic Control and Traffic Assignment

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Received 20 August 2012; Accepted 15 October 2012

Academic Editor: Wuhong Wang

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The interactions between signal setting and traffic assignment can directly affect the urban road network efficiency. In order to improve the coordination of signal setting with traffic assignment, this paper created a traffic control algorithm considering traffic assignment; meanwhile, the link impedance function and the route choice function were introduced into this paper to study the user's route choice and the road network flow distribution. Then based on the above research, we created a system utility value model. Finally through the VISSIM software to simulate the test network, we verified the superiority of the coordination algorithm and the model and gave the optimal flow of the road network.

# **1. Introduction**

In urban transportation systems, the equilibrium of the road network supply and demand is an important problem, which can directly impact the road network efficiency. For a fixed road structure and traffic flow, signal setting is the primary influencing factor to traffic supply, and traffic assignment is the primary influencing factor to traffic demand [1, 2]. In order to balance the traffic demand and supply of urban road network and maximize the efficiency of transportation resources, experts and scholars from allover the world have done a lot of research and made great progress in the coordination of signal setting with traffic assignment aspects.

Firstly, Allsop [3] and Gartner [4] researched the relationship between signal setting and traffic assignment, and held that signal setting strategy can directly affect user's route choice and traffic flow distribution. Then they proposed a solution program to traffic equilibrium problem by alternately updating green split signal settings for fixed flows. On these bases, in order to achieve the global optimization, Tan et al. [5] and Smith [6, 7] converted the signal setting and traffic assignment into a hybrid optimization problem. Their research showed that in some actual situation traffic setting even had deteriorated travel time by 30%; thus, they proposed a new signal setting policy under the constraint of stable traffic supply and traffic demand. Subsequently, Gartner et al. [8] and Fisk [9] proposed that we may take the signal setting and traffic assignment as a game problem of Stackberg, which regards traffic managers as superior decision-makers and travelers as subordinate decision-makers. Then, Yang and Yagar [10] proposed a bilevel programming model based on the above method; the model indicated that we can get queuing delay of saturated sections by the network equilibrium parameters instead of conventional delay calculation formula.

Since some traffic control systems and algorithms often require the real-time traffic flow distributed information to make traffic management strategy, Gartner et al. [11, 12] presented a combined framework about the real-time signal setting and traffic assignment, which can make appropriate dynamic control strategies and avoid traffic congestion according to dynamic traffic assignment model. With the research continued, Lee and Machemehl [13] studied the stochastic heuristic algorithms in coordination with signal setting and traffic assignment and applied the genetic algorithms in it. Chen and Ben-Akiva [14] regarded dynamic traffic control and traffic assignment as a noncooperation game problem; we could get the optimal signal setting and traffic assignment by iteration.

In recent years, many new methods emerged on the study of signal setting and traffic assignment relationship, for example, Chiou [15] introduced derivative information of the signal control variables into the TRANSYT system and then estimated the average vehicle delay in the road network based on sensitivity algorithm. Cipriani and Fusco [16] discussed the global optimization problem of signal setting and traffic assignment based on gradient projection method. Cascetta et al. [17] studied the optimization models and algorithms for urban signal settings with a small-scale real network based on stochastic traffic assignments. In the study of Gao et al. [18] and Chiou [19], the problem of signal setting and traffic assignment relationship was formulated as a nonlinear mathematical program with equilibrium constraints, in which the optimal signal settings were determined while trip rates and network flow are in equilibrium.

By summarizing the above research experience, this paper proposed a synergetic algorithm of dynamic signal setting and traffic assignment. In the algorithm, traffic control parameters can be updated in real time with the change of traffic demand. The basic idea of the algorithm is to change the road impedance by adjusting the split of the signal setting scheme and then cause the traffic volume transfer to realize the aim of the road network flow redistribution and the systems' efficiency optimization. Therefore, in order to further clarify the mechanism of signal setting and traffic assignment, this paper creates a simple and practical integrated model by introducing the link impedance function and the route choice function. Finally, a system utility value calculation method is given, by which we can get the optimal flow and optimal path impedance and then compare the real flow and path impedance with the optimal flow and optimal path impedance to determine whether the road network is in equilibrium. We use the above discrimination method to optimize the efficiency of the road network.

### 2. Problem Formulation

The coordination of signal setting and traffic assignment problem can be formulated as the problem of finding out the relationship between traffic demand and traffic supply, which is the problem of finding out the relationship between signal control parameters and user's

route choice. Firstly, we consider a traffic network G(P, L) consisting of a set of nodes P and connected by a set of directed arcs L. Since traffic supply mainly includes traffic control and basic road information, the initial traffic control program setup is based on historical flows from road network, which adjusts the traffic flow of road network by signal parameters, such as signal cycle and green time. Thus, we can describe the relationship between the above items in the following equation:

$$U = f(C_i, g_i) = f_i(q_{k0}, c_{k0}),$$
(2.1)

where *U* is traffic signal control program;  $C_j$  is signal cycle of junction *j*;  $g_j$  is green time of junction *j*;  $q_{k0}$  is the initial traffic flow of link *k*;  $c_{k0}$  is traffic capacity of link *k*; *i* is the signal phase of junction.

Meanwhile, the user's route choice is usually affected by the signal control program, which is an important factor in restricting the road network flow distribution; another important restrictive factor is the origin-destination (O-D) Thus, we can describe the relationship between signal control program, user's route choice, and O-D in the following equation:

$$F_k = f\left(P_k^{rs}, U_i, L_i^{O,D}\right),\tag{2.2}$$

where  $F_k$  is the actual traffic flow of link k;  $P_k^{rs}$  is the probability of path k being selected from origin (r) to destination (s);  $L_i^{O,D}$  are the origin location and the destination location of traffic flow.

Since the effects of signal control program and traffic flow are reflected in traffic participant's travel time, we can analyze the traffic supply and traffic demand to determine the optimal flow of road network and then compare the difference between the actual travel time and the optimal travel time to discriminate whether the road network is in equilibrium.

On the basis of the above statement, two questions can be summed up from the above representations. First, a coordination algorithm of traffic demand and traffic supply should be established if you want to achieve the mutual feedback traffic control and flow distribution. Second, we should create some appropriate model about traffic control and traffic assignment to implement the algorithm.

# 3. Algorithm Establishments

The algorithm establishment consists of two parts in this paper: the first part of the algorithm mainly analyzes the relationship between signal setting and flow distribution. Before we establish the algorithm, a stable state of the road network flow is needed to realize the objective of traffic flow equilibrium, which means that the difference between road network inflow ( $q_{ui}$ ) and road network outflow ( $q_{vi}$ ) should be less than a fixed value ( $\varepsilon$ ),  $q_{ui} - q_{vi} < \varepsilon$ , where,  $q_{ui}$  is the total vehicles enter the fixed road network;  $q_{vi}$  is the total vehicles leave the fixed road network. Meanwhile, the similarity degree of the path impedance needs to be known; we can describe it as follows:  $t_k^{r-s}/t_{k+1}^{r-s} \approx 1$ , where  $t_k^{r-s}$  is the impedance of path (k) from origin (r) to destination (s). The relationship between signal setting and flow distribution is shown in Figure 1.



**Figure 1:** The relationship between signal setting and flow distribution.

The process of algorithm analysis is showed in Figure 1, which is summarized as follows.

Step 1. Obtain the initial traffic flow information based on real-time detection.

Step 2. Determine a set of signal setting parameters based on the initial traffic flow.

*Step 3.* Adjust the impedance of each path in the road network by traffic control, thereby affecting travelers' route choice.

*Step 4.* Calculate the flow after superimposed according to the travelers' route choice and the initial traffic flow.

Step 5. Adjust the signal setting parameters and traffic assignment again.

Step 6. Through the system utility value, determine the equilibrium situation.

The second part of the algorithm is to decide the signal setting parameters in order to balance the traffic flow under the influence of travelers' route rechoice. The main idea of this part is to determine the maximum green  $(g_{max})$  time or the minimum green time  $(g_{min})$  of each signal phase and then to extend or shorten the signal cycle (*C*) and green time (*g*) to ensure that the flow ratio  $(y_i)$  and the split  $(\lambda_i)$  are approximately equal. The signal control method under the impact of changing flow is shown in Figure 2.

The process of algorithm analysis is shown in Figure 2, which is summarized as follows.



Figure 2: Signal control method under the impact of changing flow.

Step 1. Obtain the initial traffic flow information based on real-time detection.

*Step 2.* Calculate the initial signal cycle  $C_0$  and the initial green time  $g_0$  according to the following equation:

$$C_{0} = \frac{1.5t_{L} + 5}{1 - Y},$$

$$g_{0} = (C_{0} - t_{L}) \cdot \frac{y_{i}}{Y},$$
(3.1)

where  $t_L$  is the total lost time of intersection; Y is the flow ratio of intersection.

*Step 3.* Combined with the redistribution flow of road network to judge whether  $y_i/\lambda_i$  is approximate to 1, we can calculate the  $y_i/\lambda_i$  according to the following equation [20]:

$$\frac{y_i}{\lambda_i} = \frac{V_i(t_{ri} + g_i)}{V_{Si}g_i},\tag{3.2}$$

where  $V_i$  is the average flow of phase *i* in critical lane;  $t_{ri}$  is the time interval of phase *i* from green time end to green time start;  $V_{Si}$  is the saturation flow of phase *i* in critical lane.

*Step 4.* Determine the  $C_{\text{max}}$ ,  $C_{\text{min}}$ ,  $g_{\text{max}}$ , and  $g_{\text{min}}$  for Step 5 to determine the suitable *C* and *g*. Generally, the cycle and the green time should not increase too much or too little; for this part we determine the  $C_{\text{max}}$  and  $C_{\text{min}}$  based on the following equation:

$$C_{\max} = \frac{4C_0}{3} = \frac{6t_L + 20}{3(1 - Y)},$$
  

$$C_{\min} = \frac{0.9t_L}{(0.9 - Y)}.$$
(3.3)

Then we determine the  $g_{max}$ ,  $g_{min}$  under the constraints of  $C_{max}$ ,  $C_{min}$ ; the specific calculation method can be expressed as follows:

$$g_{i\max} = g_{i0} + g_{y\max} = C_{\max} - T_L - \sum_{j=1}^n g_{ir},$$
(9)

where  $g_{ir}$  is the real green time of phase *i*;  $g_{y \max}$  is the maximum green time of phase *i* which can be increased:

$$g_{i\min} = g_{ir} - g_{ic} = \frac{(C_0 - L)y_{ir}}{\gamma},$$
(3.4)

where  $g_{ic}$  is the compression time of phase *i*;  $y_{ir}$  is the real green time of phase *i*.

*Step 5.* Increase or reduce the signal cycle and green time according to traffic demand under the constrains of  $C_{\text{max}}$ ,  $C_{\text{min}}$ .

Step 6. Feed back the determined signal cycle and green time to Step 2.

### 4. Algorithm Implementation

### 4.1. Supply and Demand Balance Analysis

The supply of the road network mainly refers to the maximum capacity that can be provide under fixed road conditions, and the demand of road network refers to the number of users in traffic network. The traffic supply and demand can be expressed as follows:

$$Q_{s} = \min \sum_{i \in p, l \in L} \delta_{k}^{rs} \lambda_{i} c_{i},$$

$$Q_{d} = Q_{0} + \sum_{l \in L} \int_{0}^{t} [r_{ul}(t) - r_{vl}(t)] dt,$$
s.t.  $Q_{0} \ge 0, r_{uk}(t) \ge 0, r_{vk}(t) \ge 0, t \in [0, T], 0 \le \delta_{k}^{rs} \le 1,$ 

$$(4.1)$$

where  $Q_s$ ,  $Q_d$  are traffic supply and traffic demand;  $\delta_l^{rs}$  is the intersection correlation coefficient of path *k* from *r* to *s*;  $Q_0$  is the initial traffic flow of road network;  $r_{uk}(t)$ ,  $r_{vk}(t)$  are inflow and outflow rate of road network:

$$dd(t) = \frac{Q_d(t)}{Q_s(t)},\tag{4.2}$$

where dd(t) is equalization index.

When the relationship between supply and demand meets dd(t) < 0.95, traffic managers should shorten part of the signal cycle length in order to reduce the delay time. When the relationship between supply and demand meets  $0.95 \le dd(t) < 1.05$ , we think that it is equilibrium of supply and demand. When the relationship between supply and demand meets  $dd(t) \ge 1.05$ , traffic managers should adjust the signal setting parameters in order to change the relationship between supply and demand [21].

### 4.2. User's Route Choice Model

For most users prefer the small impedance path to travel, based on this, we use user's route choice model. The conventional path impedance mainly includes link travel time and intersection delay. This paper uses an empirical formula considering the mixed traffic running characteristic based on BPR road impedance function to calculate link travel time and uses a simplified formula, Webster's delay function, to calculate intersection delay. The specific calculation model can be expressed as follows:

$$t_{l} = t_{0} \left[ 1 + \alpha_{1} \left( \frac{V_{1}}{C_{1}} \right)^{\beta_{1}} \right] \left[ 1 + \alpha_{2} \left( \frac{V_{2}}{C_{2}} \right)^{\beta_{2}} \right],$$

$$t_{j} = \frac{c(1-\lambda)^{2}}{2(1-\lambda X)},$$
(4.3)

where  $t_l$  is travel time between adjacent intersections;  $t_j$  is the delay of intersection;  $t_0$  is travel time under free speed between adjacent intersection;  $V_1$ ,  $V_2$  are the volumes of vehicle and nonmotorized vehicle;  $C_1$ ,  $C_2$  are the capacities of vehicle and nonmotorized vehicle; c,  $\lambda$  are the signal cycle and split of the intersection; X is intersection saturation.

Combined with (3.3), we can get path impedance as follows:

$$t_{ki}^{r-s} = t_0 \left[ 1 + \alpha_1 \left( \frac{V_1}{C_1} \right)^{\beta_1} \right] \left[ 1 + \alpha_2 \left( \frac{V_2}{C_2} \right)^{\beta_2} \right] + \frac{c(1-\lambda)^2}{2(1-\lambda X)}.$$
(4.4)

After determining the calculation method of path impedance, we need to research the relationship between the path impedance and the user's route choice. Dial (1971) proposed a route choice model which assumed that path impedance and route choice are independent of each other and obey the Gumbel probability distribution, but other path impedances are usually affected when one of path impedances changes. Thus, Wang Wei did some research and proved the model. This paper calculates the user's route choice based on the proved model, which is expressed as follows:

$$p_{k}^{rs} = \frac{e^{-\theta \cdot t_{k}^{rs}/\bar{t_{rs}}}}{\sum_{i=1}^{n} e^{-\theta \cdot t_{i}^{rs}/\bar{t_{rs}}}} \quad \forall k, r, s,$$
(4.5)

where  $p_k^{rs}$  is the probability of path k is chosen between origin (r) and destination (s);  $t_i^{rs}$  is the impedance of path k from origin (r) to destination (s);  $\overline{t_i^{rs}}$  is the average impedance of path k from origin (r) to destination (s).

#### **4.3. Utility Functions Establishment**

We can find that speed decreases with increasing flow in unsaturated and flow and speed decrease in saturated from speed-volume graph (Figure 3(a)); meanwhile, we also can find the maximum product of flow and speed from speed-volume graph (Figure 3(b)).



Figure 3: The speed-volume graph.

By analyzing Figure 3, for the realization of the maximum number of vehicles passing per unit of time, we consider the product of the flow and speed as the system utility value function, which can be expressed as follows:

$$t_{k}^{rs} = t_{k1}^{rs} + t_{k2}^{rs} + t_{k3}^{rs} + \dots + t_{kn}^{rs} = \sum_{m=1}^{n} t_{km}^{rs},$$

$$q_{k}^{rs} = q_{u} P_{k}^{rs} = q_{u} \frac{1}{1 + \sum_{i=1, i \neq k} e^{-\theta \cdot (t_{i}^{rs} - t_{k}^{rs} / \overline{t_{rs}})}},$$

$$q_{k}^{rs} = \int_{0}^{t} [r_{uk}(t) - r_{vk}(t)] dt,$$

$$\max J^{rs} = \max \sum (q_{k0}^{rs} + q_{k}^{rs}) u_{k}^{rs} = \sum q_{km}^{*} \frac{l_{k}}{t_{k}^{rs}},$$
(4.6)

where  $J^{rs}$  is the system utility value from origin (r) to destination (s);  $q_u$  is the inflow volume of road network;  $q_k^{rs}$  is the difference between inflow and outflow;  $q_{k0}^{rs}$  is the initial traffic flow of path k;  $l_k$  is the length of path k from origin (r) to destination (s);  $q_{km}^*$  is the optimal flow of path k;  $t_k^{rs*}$  is the optimal impedance of path k.

# 4.4. User's Equilibrium Traffic Assignment Discriminant Model

Since we have already known the path impedance and the user's route choice and have established a utility function, we can summarize the above analysis results to discriminate the user's equilibrium degree of road network based on the following formulas:

$$dd(k) = \frac{t_k^{rs^*}}{t_k^{rs}},$$

$$dd(v) = \frac{dd(k)}{dd(k+i)},$$

$$dd(v) = \begin{cases} 1, & \text{if } |dd(v)| \le \tau, \\ 0, & \text{otherwise}, \end{cases}$$

$$(4.7)$$



Figure 4: The number and the traffic flow of links.

Table 1	: The	links	length.
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Number	1	2	3	4	5	6	7	8	9	10	11	12
Length	532	1118	1244	478	593	616	1494	1566	1609	1428	336	314

where dd(k) is the equilibrium degree of path k; dd(v) is the equilibrium degree between path k and (k + i);  $\tau$  is a fixed value where  $0 \le \tau \le 1$ .

# 5. Numerical Example

The test network is a simplified region of road network from Changchun city which consists of 12 links and 5 intersections. All links of the road network are bidirectional and of four lanes, and the initial data is obtained by manual collection. The number of links in the road network is shown in Figure 4(a), and the road link' traffic flow is shown in Figure 4(b). The links length is shown in Table 1.

We can find four pairs of O-D which, respectively, belong to link 1, link 4, link 11, and link 12. This paper simulated a pair of O-D (from link 1 to link 4) to determine the equilibrium degree of road network and to verify the superiority of the coordination algorithm. There are seven paths from link 1 to link 4, that is, path A (1-2-3-4), path B (1-7-8-4), path C (1-7-6-3-4), path D (1-10-9-4), path E (1-10-5-3-4), path F (1-2-5-9-4), and path G (1-2-6-8-4). We can find that the shortest distance is the path 1-2-3-4, but there is a heavy traffic flow on link 2 and link 3.

In the simulation test process, we set the traffic signal based on Synchro Studio 7 which is a traffic signal design software; we also have embedded the above algorithms into the traffic signal design software. During the inflow increase from 1600 pcu/h to 4400 pcu/h, we first get the path impedance based on VISSIM traffic simulation software which is shown in Table 2.

Then we can get the optimal impedance, the optimal flow, and the optimal utility value based on formula (4.2) as follows (the specific data is shown in Table 3 and the relationship between utility value and flow is shown in Figure 5):

$$J^{1-4} = \max \sum q_{km}^* \frac{l_k}{t_k^{1-4^*}} = 116485.$$
(5.1)



Figure 5: The relationship between utility value and inflow.

				Number			
Volume (pcu/h)		Path in	npedance at	fter applicat	tion of algoi	rithm(s)	
	А	В	С	D	Е	F	G
1600	315	311	340	334	387	352	368
2000	324	333	368	359	422	378	401
2400	356	359	391	385	451	405	425
2800	395	402	436	425	489	443	461
3200	431	426	473	447	536	479	508
3600	488	458	525	486	593	562	547
4000	609	551	646	588	704	625	652
4400	637	654	716	654	729	724	718

Table 2: The path impedance based on simulation data.

Tał	ole	3:	The	op	otima	l f	low	and	S	peed	l.
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	А	В	С	D	Е	F	G
$q_{km}^*$ (pcu/h)	581	538	493	526	507	497	486
$u_k^{1-4^*}$ (km/h)	26	31	35	29	37	35	33
$t_{k}^{1-4^{*}}$ (s)	467	472	449	503	416	446	470

We next verify the superiority of the algorithm by inputting the initial data into the test network. During the simulation, we should mark all vehicles in order to record the different paths' impedance and then obtain the average impedance of each path. The average impedance and the equilibrium degree are shown as follows.

As seen in Tables 4 and 5, the new algorithm achieved the equilibrium of road network and that could save 21 seconds for each vehicle from point 1 to point 4 in the test network. Although this test network demonstrates a better performance of the coordination algorithm, more extensive tests need to be performed to validate the algorithm, for the above test only simulated a pair of O-D and did not consider the impact of distance on the users' route choice.

	А	В	С	D	Е	F	G
Paths impedance	494	423	518	466	502	487	496
Equilibrium degree(s)	0.945126	1.117364	0.866541	1.07808	0.828577	0.914520	0.947947
Average impedance(s)				484			

Table 4: Before application of algorithms.

<b>Table 5:</b> After application of algorit	hms.
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	А	В	С	D	Е	F	G
Paths impedance	483	474	438	506	433	454	456
Equilibrium degree(s)	0.966650	0.997141	1.024814	0.992858	0.960614	0.980994	1.031100
Average impedance(s)				463			

# 6. Conclusions

In this paper, we presented a traffic control algorithm considering traffic assignment of road network, which has been implemented successfully by introducing the link impedance function and the route choice function. In the process of optimizing the coordination algorithms, we also get the optimal flow of path, the optimal impedance, the maximum system utility value, and so forth. Finally, we verified the superiority of the coordination algorithm and the model through a numerical example based on the VISSIM software.

For further issues, we should introduce traffic guidance information into the coordination algorithm of traffic control and traffic assignment and then verify it by the road network with multiorigin and multidestination to be closer to the actual situation.

## Acknowledgments

This work is partly supported by the National Science Foundation of China (Grant nos. 50908100, 51108208), China Postdoctoral Science Foundation funded Project (no. 20110491307), and the Fundamental Research Funds for the Central Universities (no. 201103146). The authors thank the anonymous reviewers for their valuable input and suggestions.

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