

SOME INEQUALITIES IN $B(H)$

C. DUYAR and H. SEFEROGLU

(Received 1 December 1998 and in revised form 15 November 1999)

ABSTRACT. Let H denote a separable Hilbert space and let $B(H)$ be the space of bounded and linear operators from H to H . We define a subspace $\Delta(A, B)$ of $B(H)$, and prove two inequalities between the distance to $\Delta(A, B)$ of each operator T in $B(H)$, and the value $\sup\{\|A^n T B^n - T\| : n = 1, 2, \dots\}$.

2000 Mathematics Subject Classification. Primary 43-XX.

1. Notations. Throughout this paper H denotes a separable Hilbert space and $\{e_n\}_{n=1}^\infty$ an orthonormal basis. Let L_A and R_B be left and right translation operators on $B(H)$ for $A, B \in B(H)$, satisfying $\|A\| \leq 1$ and $\|B\| \leq 1$. Then the set $\Delta(A, B)$ is defined by

$$\Delta(A, B) = \{T \in B(H) : ATB = T\} = \{T \in B(H) : ST = T\}, \quad (1.1)$$

where $S = L_A R_B$.

An operator $C \in B(H)$ is called positive, if $\langle Cx, x \rangle \geq 0$ for all $x \in H$. Then for any positive operator $C \in B(H)$ we define $\text{tr} C = \sum_{n=1}^\infty \langle e_n, C e_n \rangle$. The number $\text{tr} C$ is called the trace of C and is independent of the orthonormal basis chosen. An operator $C \in B(H)$ is called trace class if and only if $\text{tr} |C| < \infty$ for $|C| = (C^* C)^{1/2}$, where C^* is adjoint of C . The family of all trace class operators is denoted by $L_1(H)$. The basic properties of $L_1(H)$ and the functional $\text{tr}(\cdot)$ are the following:

(i) Let $\|\cdot\|_1$ be defined in $L_1(H)$ by $\|C\|_1 = \text{tr} |C|$. Then $L_1(H)$ is a Banach space with the norm $\|\cdot\|_1$ and $\|C\| \leq \|C\|_1$.

(ii) $L_1(H)$ is $*$ -ideal, that is,

(a) $L_1(H)$ is a linear space,

(b) if $C \in L_1(H)$ and $D \in B(H)$, then $CD \in L_1(H)$ and $DC \in L_1(H)$,

(c) if $C \in L_1(H)$, then $C^* \in L_1(H)$.

(iii) $\text{tr}(\cdot)$ is linear.

(iv) $\text{tr}(CD) = \text{tr}(DC)$ if $C \in L_1(H)$ and $D \in B(H)$.

(v) $B(H) = L_1(H)^*$, that is, the map $T \rightarrow \text{tr}(T)$ is an isometric isomorphism of $B(H)$ onto $L_1(H)^*$, (see [3]).

Let X be a Banach space. If $M \subset X$, then

$$M^\perp = \{x^* \in X^* : \langle x, x^* \rangle = 0, x \in M\} \quad (1.2)$$

is called the annihilator of M . If $N \subset X^*$, then

$${}^\perp N = \{x \in X : \langle x, x^* \rangle = 0, x^* \in N\} \quad (1.3)$$

is called the preannihilator of N . Rudin [4] proved for these subspaces:

- (i) ${}^\perp(M^\perp)$ is the norm closure of M in X .
- (ii) $({}^\perp N)^\perp$ is the weak- $*$ closure of N in X^* .

2. Main results

LEMMA 2.1. *Let X be a Banach space. If P is a continuous operator in the weak- $*$ topology on the dual space X^* , then there exists an operator T on X such that $P = T^*$.*

PROOF. If $P : X^* \rightarrow X^*$, then $P^* : X^{**} \rightarrow X^{**}$. We know that the continuous functionals in the weak- $*$ topology on X^* are simply elements of X , (see [4]). Then we must show that P^*x is continuous in the weak- $*$ topology on X^* for all $x \in X$. Let (x'_n) be a sequence in X^* such that $x'_n \rightarrow x'$, $x' \in X^*$. Then we have

$$\langle P^*x, x'_n \rangle = \langle x, Px'_n \rangle \rightarrow \langle x, Px' \rangle = \langle P^*x, x' \rangle. \quad (2.1)$$

Hence P^*x is continuous in the weak- $*$ topology on X^* for all $x \in X$, so $P^*x \in X$. If T is the restriction to X of P^* , then we have

$$\langle x, T^*x' \rangle = \langle Tx, x' \rangle = \langle P^*x, x' \rangle = \langle x, Px' \rangle \quad (2.2)$$

for all $x \in X$ and $x' \in X^*$. Hence $P = T^*$. \square

DEFINITION 2.2. If P_* is the operator T in Lemma 2.1, then P_* is called the preadjoint operator of P .

The operator $x \otimes y \in B(H)$ for each $x, y \in H$ is defined by $(x \otimes y)z = \langle z, y \rangle x$ for all $z \in H$. It is easy to see that this operator has the following properties:

- (i) $T(x \otimes y) = Tx \otimes y$.
- (ii) $(x \otimes y)T = x \otimes T^*y$.
- (iii) $\text{tr}(x \otimes y) = \langle y, x \rangle$.

The following lemma is an easy application of some properties of the operator $x \otimes y$ ($x, y \in H$) and the functional $\text{tr}(\cdot)$.

LEMMA 2.3. (i) *Suppose K is a closed subset in the weak- $*$ topology of $B(H)$. Then K is closed in the weak- $*$ topology of $B(H)$.*

(ii) *$S = L_A R_B$ is continuous in the weak- $*$ topology of $B(H)$ for all $A, B \in B(H)$, satisfying $\|A\| \leq 1$ and $\|B\| \leq 1$.*

LEMMA 2.4. *There exists a linear subspace M of $L_1(H)$ such that $\Delta(H) = M^\perp$ and M is closed linear span of $\{S_*X - X : X \in L_1(H)\}$, where S_* is the preadjoint operator of S .*

PROOF. Note that

$${}^\perp \Delta(A, B) = \{U \in L_1(H) : \langle U, U^* \rangle = 0, U^* \in \Delta(A, B)\}. \quad (2.3)$$

It is known that $({}^\perp \Delta(A, B)^\perp)$ is the weak- $*$ closure of $\Delta(A, B)$ (see [4]). Then we can write $({}^\perp \Delta(A, B)^\perp)^\perp = \Delta(A, B)$, since $\Delta(A, B)$ is a closed set in the weak- $*$ topology of $B(H)$. We say ${}^\perp \Delta(A, B) = M$. Now we show that M is the closed linear span of $\{S_*U - U : U \in L_1(H)\}$. For this, it is sufficient to prove that $\langle S_*U - U, T \rangle = 0$ for all $T \in \Delta(A, B)$.

Indeed since $ST = T$, we have

$$\langle S_*X - X, T \rangle = \langle (S_* - I)X, T \rangle = \langle X, (S_* - I)^*T \rangle = \langle X, (S - I)T \rangle = 0. \quad (2.4)$$

□

LEMMA 2.5. *Let $K(T)$ be the closed convex hull of $\{S^n T : n = 1, 2, \dots\}$ in the weak operator topology, for a fixed $T \in B(H)$. Then we have*

$$K(T) \cap \Delta(A, B) \neq 0. \quad (2.5)$$

PROOF. Assume $K(T) \cap \Delta(A, B) = 0$. By Lemma 2.3, $K(T)$ is closed in the weak-* topology. It is easy to see that $K(T)$ is bounded. Then $K(T)$ is compact in the weak-* topology by Alaoglu, [1]. Since S is continuous in the weak-* topology, if $U_\alpha \rightarrow U$ for $(U_\alpha)_{\alpha \in I} \subset \Delta(A, B)$, then $SU_\alpha = U_\alpha \rightarrow SU$. Hence $\Delta(A, B)$ is closed in the weak-* topology. This shows that $U \in \Delta(A, B)$.

Since $K(T)$ is compact and convex in the weak-* topology, and $\Delta(A, B)$ is closed in the weak-* topology, and $K(T) \cap \Delta(A, B) = 0$, there exist some $U_0 \in M$ and $\sigma > 0$ such that

$$|\operatorname{tr}(TU_0)| \geq \sigma \quad (2.6)$$

for all $T \in \Delta(A, B)$, (see [2]). Now we define the operators $T_n \sum_{k=1}^n S^k T$ for all positive integer n . These operators are clearly in $K(T)$. It is easy to show that the operators T_n is bounded. Also by Lemma 2.4, there is a $U \in L_1(H)$ such that $U_0 = S_*U - U$. Then we have

$$\begin{aligned} |\langle T_n, U_0 \rangle| &= |\langle T_n, S_*U - U \rangle| = |\langle ST_n, U \rangle - \langle T_n, U \rangle| \\ &= \left| \left\langle S \left(\frac{1}{n} \sum_{k=1}^n A^k T B^k \right), U \right\rangle - \left\langle \frac{1}{n} \sum_{k=1}^n A^k T B^k, U \right\rangle \right| \\ &= \left| \left\langle \frac{1}{n} \sum_{k=1}^n A^{k+1} T B^{k+1}, U \right\rangle - \left\langle \frac{1}{n} \sum_{k=1}^n A^k T B^k, U \right\rangle \right| \\ &= \frac{1}{n} |\langle A^{n+1} T B^{n+1} - A T B, U \rangle| \\ &\leq \frac{1}{n} 2 \|T\| \cdot \|U\|. \end{aligned} \quad (2.7)$$

This implies that $|\langle T_n, U_0 \rangle| \rightarrow 0$, which is a glaring contradiction to (2.6). □

THEOREM 2.6. *Let H be separable Hilbert space and $T \in B(H)$. Then we have*

- (i) $d(T, \Delta(A, B)) \geq (1/2) \sup_n \|S^n T - T\|$,
- (ii) $d(T, \Delta(A, B)) \leq \sup_n \|S^n T - T\|$.

PROOF. (i) We can write

$$S^n T - T = S^n(T - T_0) - (T - T_0) + S^n T_0 - T_0 \quad (2.8)$$

for each $T_0 \in \Delta(A, B)$. Hence we have

$$\|S^n T - T\| \leq \|S^n\| \|T - T_0\| + \|T - T_0\| \leq 2 \|T - T_0\|. \quad (2.9)$$

This shows that

$$\frac{1}{2} \sup_n \|S^n T - T\| \leq \inf_{T_0 \in \Delta(A, B)} \|T - T_0\|. \quad (2.10)$$

The inequality (2.10) gives that

$$d(T, \Delta(A, B)) \geq \frac{1}{2} \sup_n \|S^n T - T\|. \quad (2.11)$$

(ii) Let $K(T)$ be as Lemma 2.5. Then we can write

$$K(T) = \text{co}\{S^n T : n = 1, 2, \dots\}. \quad (2.12)$$

Now take any element $U = \sum_{k=1}^n \lambda_k S^k T$ in the set $\text{co}\{S^n T : n = 1, 2, \dots\}$, where $\sum_{k=1}^n \lambda_k = 1$, $\lambda_k \geq 0$. Then

$$\begin{aligned} \|U - T\| &= \left\| \sum_{k=1}^n \lambda_k S^k T - T \right\| \leq \left\| \sum_{k=1}^n \lambda_k S^k T - \sum_{k=1}^n \lambda_k T \right\| \\ &\leq \sum_{k=1}^n \lambda_k \|S^k T - T\| \leq \sum_{k=1}^n \lambda_k \sigma(T) = \sigma(T), \end{aligned} \quad (2.13)$$

where $\sigma(T) = \sup_n \|S^n T - T\|$. That is, for all $U \in \text{co}\{S^n T : n = 1, 2, \dots\}$ is

$$\|U - T\| \leq \sup_n \|S^n T - T\|. \quad (2.14)$$

Since there is a sequence (U_n) in $\text{co}\{S^n T : n = 1, 2, \dots\}$ such that $U_n \rightarrow V$ for all $V \in K(T)$, then we write

$$\|V - T\| \leq \|V - T_n\| + \|T_n - T\|. \quad (2.15)$$

If we use the inequalities (2.14) and (2.15), we easily see that

$$\|V - T\| \leq \sup_n \|S^n T - T\|. \quad (2.16)$$

Also since $K(T) \cap \Delta(A, B) \neq \emptyset$ by Lemma 2.5, then we obtain

$$\|T - T_0\| \leq \sup_n \|S^n T - T\| \quad (2.17)$$

for a $T_0 \in K(T) \cap \Delta(A, B)$. Hence we can write

$$d(T, \Delta(A, B)) = \inf_{U \in \Delta(A, B)} \|T - U\| \leq \|T - T_0\| \leq \sup_n \|S^n T - T\|. \quad (2.18)$$

This completes the proof. \square

REFERENCES

- [1] R. Larsen, *An Introduction to the Theory of Multipliers*, Die Grundlehren der mathematischen Wissenschaften, vol. 175, Springer-Verlag, New York, Heidelberg, 1971. MR 55#8695. Zbl 213.13301.
- [2] ———, *Functional Analysis: an Introduction*, Pure and Applied Mathematics, vol. 15, Marcel Dekker, Inc., New York, 1973. MR 57#1055. Zbl 261.46001.

- [3] M. Reed and B. Simon, *Methods of Modern Mathematical Physics. I. Functional Analysis*, Academic Press, New York, London, 1972. MR 58#12429a. Zbl 242.46001.
- [4] W. Rudin, *Functional Analysis*, McGraw-Hill Series in Higher Mathematics, McGraw-Hill Book Co., New York, D sseldorf, Johannesburg, 1973. MR 51#1315. Zbl 253.46001.

C. DUYAR: UNIVERSITY OF ONDOKUZ MAYIS, FACULTY OF ART AND SCIENCES, DEPARTMENT OF MATHEMATICS, SAMSUN, TURKEY.

E-mail address: bduyar@sams1in.omu.edu.tr

H. SEFEROGLU: 100. YIL UNIVERSITY, FACULTY OF EDUCATION, DEPARTMENT OF MATHEMATICS, VAN, TURKEY