SUBCLASSES OF CLOSE-TO-CONVEX FUNCTIONS

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(Received January 24, 1983)

<u>ABSTRACT.</u> Let $\chi[C,D]$, $-1 \leq D < C \leq 1$, denote the class of functions g(z), g(0) = g'(0) - 1 = 0, analytic in the unit disk U = {z: |z| < 1} such that 1 + (zg''(z)/g'(z)) is subordinate to (1+Cz)/(1+Dz), z & U. We investigate the subclasses of close-to-convex functions f(z), f(0) = f'(0) - 1 = 0, for which there exists g & $\chi[C,D]$ such that f'/g' is subordinate to (1+Az)/(1+Bz), $-1 \leq B < A \leq 1$. Distortion and rotation theorems and coefficient bounds are obtained. It is also shown that these classes are preserved under certain integral operators.

<u>KEY WORDS AND PHRASES.</u> Univalent, convex, starlike, subordination, convolution.

AMS (MOS) SUBJECT CLASSIFICATION (1980) CODES: 30C45, 30C55.

1. INTRODUCTION.

Let S denote the class of functions $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ analytic and univalent in the unit disk U = {z: |z| < 1}. For functions g and G analytic in U we say that g is subordinate to G, denoted g < G, if there exists a Schwarz function w(z), w(z) analytic in U with w(0) = 0 and |w(z)| < 1 in U, such that g(z) = G(w(z)). If G is univalent in U then g < G if and only if g(0) = G(0) and $g(U) \subset$ G(U). For A and B, $-1 \leq B \leq A \leq 1$, a function p analytic in U with p(0) = 1 is in the class P[A,B] if p(z) < (1+Az)/(1+Bz). This class was introduced by Janowski [4]. Given C and D, $-1 \leq D <$ C ≤ 1 , $\chi[C,D]$ and $\mathscr{A}^{*}[C,D]$ denote the classes of functions f analytic in U with f(0) = f'(0) -1 = 0 such that $1 + zf''(z)/f'(z) \in P[C,D]$ and $zf'(z)/f(z) \in P[C,D]$, respectively. The classes $\mathscr{A}^{*}[C,D]$ were introduced by Janowski [4] and studied further by Goel and Mehrok ([1] and [3]). For C = 1 and D = -1, $\chi[1,-1] = \chi(\mathscr{A}^{*}[1,-1] = \mathscr{A}^{*})$, the well-known subclass of convex (starlike) functions.

A function $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ analytic in U is said to be in the class C[A,B;C,D], $-1 \leq B \leq A \leq 1$, $-1 \leq D \leq C \leq 1$, if there exists g & K[C,D] such that $f'/g' \in O[A,B]$. The well-known (Kaplan [5]) class of close-to-convex functions is C[1,-1;1,-1] = C while $H[C,D] \subseteq H$ and $O[A,B] \subseteq O[1,-1]$ shows C[A,B;C,D] $\subseteq C \subseteq S$. Since g $\in \int_{0}^{0} [C,D]$ if and only if $\int_{0}^{z} g(\zeta) \zeta^{-1} d\zeta \in H[C,D]$, we also note that C[1,-1;C,D] was studied by Goel and Mehrok ([2] and [3]).

In Section 2 of this paper we obtain distortion and rotation theorems for f'(z) whenever $f \in C[A,B;C,D]$ and a subordination result relating C[A,B;C,D] and P[A,B]. In Section 3, it is shown that the class C[A,B;C,D] is preserved under certain integral operators. We conclude with coefficient inequalities.

2. DISTORTION AND ROTATION THEOREMS.

Unless otherwise mentioned in the sequel, the only restrictions on the real constants A, B, C and D are that $-1 \leq D \leq C \leq 1$ and $-1 \leq B \leq A \leq 1$.

THEOREM 1. For f & C[A,B;C,D], $|z| \leq r < 1$,

$$\frac{(1-Ar)(1-Dr)(C-D)/D}{1-Br} \leq |f'(z)| \leq \frac{(1+Ar)(1+Dr)(C-D)/D}{1+Br} , D \neq 0$$

$$\frac{\text{and}}{(1-Ar)\exp(-Cr)} \leq |f'(z)| \leq \frac{(1+Ar)\exp(Cr)}{1+Br} , D = 0.$$

The bounds are sharp.

PROOF. For f e C[A,B;C,D], there exists a g e M[C,D] and p e P[A,B] such that

$$f'(z) = g'(z)p(z)$$
. (2.1)

Since g $\epsilon \ \chi[C,D]$ if and only if $zg' \epsilon \checkmark^{*}[C,D]$, for $|z| \leq r < 1$ [4]

$$(1-Dr)^{(C-D)/D} \leq |g'(z)| \leq (1+Dr)^{(C-D)/D}$$
, $D \neq 0$, and
(2.2)
 $exp(-Cr) \leq |g'(z)| \leq exp(Cr)$, $D = 0$.

For p $\epsilon P[A,B]$, $|z| \leq r$, the univalence of (1+Az)/(1+Bz) gives

$$\frac{1-Ar}{1-Br} \leq |\mathbf{p}(\mathbf{z})| \leq \frac{1+Ar}{1+Br} . \tag{2.3}$$

The result follows immediately upon applying (2.3) and (2.2) to (2.1). Equality is obtained for f ε C[A,B;C,D] satisfying

$$f'(z) = \begin{cases} \frac{(1+Az)(1+Dz)^{(C-D)}/D}{1+Bz} , D \neq 0 \\ \frac{(1+Az)exp(Cz)}{1+Bz} , D = 0 \end{cases}$$
(2.4)

and $z = \pm r$.

REMARK. For A = 1 and B = -1, Theorem 1 agrees with Theorem 3 of Goel and Mehrok [2].

THEOREM 2. For $f \in C[A,B;C,D]$, $|z| \leq r < 1$,

$$\left|\arg f'(z)\right| \leq \begin{cases} \frac{C-D}{D} \arcsin(Dr) + \arcsin\frac{(A-B)r}{1-ABr^2} , D \neq 0\\\\ \arccos in(Cr) + \arcsin\frac{(A-B)r}{1-ABr^2} , D = 0. \end{cases}$$

PROOF. From (2.1) we have

$$|\arg f'(z)| \leq |\arg g'(z)| + |\arg p(z)|.$$
 (2.5)

Since zg' $e d^{\bullet}[C,D]$, we know [2] that for $|z| \leq r < 1$

$$|\arg g'(z)| \leq \begin{cases} \frac{C-D}{D} \arccos (Dr) , D \neq 0 \\ Cr , D = 0. \end{cases}$$
 (2.6)

For
$$p \in P[A,B]$$
, $p(|z| < r)$ is contained in the disk
 $\left| w - \frac{1-ABr^2}{1-Br^2} \right| < \frac{(A-B)r}{1-B^2r^2}$ from which it follows that
 $\left| \arg p(z) \right| \leq \arcsin \frac{(A-B)r}{1-ABr^2}.$ (2.7)

Substituting (2.6) and (2.7) into (2.5) gives the result.

REMARKS 1. For A = 1, B = -1, Theorem 2 agrees with Theorem 4 of Goel and Mehrok [2].

2. For A = C = 1, B = D = -1, Theorem 2 reduces to the result of Krzyz [7] that $|\arg f'(z)| \leq 2(\arcsin r + \arctan r), |z| \leq r < 1.$

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The <u>convolution</u> or <u>Hadamard product</u> of two power series $f(z) = \sum_{n=0}^{\infty} a_n z^n \text{ and } g(z) = \sum_{n=0}^{\infty} b_n z^n \text{ is defined as the power series}$ $(f^*g)(z) = \sum_{n=0}^{\infty} a_n b_n z^n.$ In order to obtain a subordination result linking C[A,B;C,D] and P[A,B] we need the following

LEMMA A (Ruscheweyh and Sheil-Small, [11]). Let φ and ψ be convex in U and suppose $f \prec \psi$. Then $\varphi \ast f \prec \varphi \ast \psi$.

THEOREM 3. If f $\varepsilon C[A,B;C,D]$ then there exists $p \varepsilon P[A,B]$ such that for all s and t with $|s| \leq 1$, $|t| \leq 1$,

$$\frac{f'(sz)p(tz)}{f'(tz)p(sz)} \prec \begin{cases} (\frac{1+Dsz}{1+Dtz})'D & , D \neq 0\\ \\ exp[C(s-t)z] & , D = 0. \end{cases}$$
(2.8)

PROOF. We will use an approach due to Ruscheweyh [10]. From (2.1) we have $\frac{zf''(z)}{f'(z)} = \frac{zg''(z)}{g'(z)} + \frac{zp'(z)}{p(z)}$ for g $\in \mathcal{K}[C,D]$ and p $\in \mathcal{P}[A,B]$. Therefore,

$$\frac{zf''(z)}{f'(z)} - \frac{zp'(z)}{p(z)} = (1 + \frac{zg''(z)}{g'(z)}) - 1 < \frac{(C-D)z}{1 + Dz}.$$
(2.9)

For s and t such that $|s| \leq 1$, $|t| \leq 1$, the function

$$h(z) = \int_0^z (\frac{s}{1-su} - \frac{t}{1-tu}) du \text{ is convex in } U. \text{ Applying Lemma A to (2.9)}$$

with this h, we have

$$\left(\frac{zf'(z)}{f'(z)} - \frac{zp'(z)}{p(z)}\right) + h(z) < \frac{(C-D)z}{1+Dz} + h(z).$$
 (2.10)

Given any function l(z) analytic in U with l(0) = 0, we have

$$(\ell^{\pm}h)(z) = \int_{tz}^{sz} \ell(u) \frac{du}{u}$$
, $z \in U$, so that (2.10) reduces to

$$\log\left(\frac{f'(sz)p(tz)}{p(sz)f'(tz)}\right) \prec (C-D) \int_{tz}^{sz} \frac{du}{1+Du} . \qquad (2.11)$$

Integrating the righthand side of (2.11) and exponentiating both sides leads to (2.8).

COROLLARY 1. If $f \in C[A,B;C,D]$ then there exists a $p \in P[A,B]$ and a Schwarz function w(z) such that

$$f'(z) = \begin{cases} p(z)(1 + Dw(z))^{(C-D)/D} , D \neq 0 \\ \\ \\ p(z)exp(Cw(z)) , D = 0. \end{cases}$$

PROOF. The result follows directly upon substituting s = 1 and t = 0 into Theorem 3.

COROLLARY 2. If
$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in C[A, B; C, D]$$
 then
 $|a_2| \leq \frac{(C-D) + (A-B)}{2}$.

PROOF. If g < F then $|g'(0)| \leq |F'(0)|$ [8]. From Corollary 1, we take g(z) = f'(z)/p(z) and

$$F(z) = \begin{cases} (1+Dz)^{(C-D)/D} , D \neq 0 \\ \\ exp(Cz) , D = 0 \end{cases}$$

Then $g'(0) = 2a_2 - c_1$ for $p(z) = 1 + \sum_{n=1}^{\infty} c_n z^n$ and F'(0) = C - D. Therefore $2|a_2| - |c_1| \leq |C-D|$ and $|a_2| \leq \frac{(C-D) + |c_1|}{2} \leq \frac{(C-D) + (A-B)}{2}$ as claimed. 3. INVARIANCE PROPERTIES.

We will need the following lemmas.

LEMMA B (Ruscheweyh and Sheil-Small, [11]). Let φ be convex and g starlike in U. Then for F analytic in U with F(0) = 1, $\frac{\varphi * F_g}{\varphi *_g}(U)$ is contained in the convex hull of F(U).

LEMMA C (Silverman and Silvia, [12]). If g $\epsilon J^{*}[C,D]$ then for $\varphi \in X$, $\varphi \ast g \epsilon J^{*}[C,D]$.

THEOREM 4. If $\varphi \in \mathcal{K}$, and f $\varepsilon C[A,B;C,D]$ then $\varphi * f \in C[A,B;C,D]$.

PROOF. For f ε C[A,B;C,D] there exists g $\varepsilon \checkmark^{\bullet}$ [C,D] and F ε P[A,B] such that zf'(z) = g(z)F(z). Since (1+Az)/(1+Bz) is convex in U, by Lemma B,

$$\frac{z\left(\phi \ast f\right)'}{\phi \ast g} = \frac{\phi \ast Fg}{\phi \ast g} < \frac{1+Az}{1+Bz} \qquad (z \in U) \qquad (3.1)$$

for $\varphi \in \mathbb{H}$. From Lemma C, $\varphi * g \in \mathscr{I}^*[C,D]$ so that (3.1) is equivalent to $\varphi * f \in C[A,B;C,D]$.

REMARK. For A = C = 1, B = D = -1, Theorem 4 was proved by Ruscheweyh and Sheil-Small [11].

COROLLARY. If f & C[A,B;C,D] then so are

(i)
$$F_1(z) = \frac{1+\gamma}{z^{\gamma}} \int_0^z t^{\gamma-1} f(t) dt$$
, $Re \gamma > 0$

and

(ii)
$$F_2(z) = \int_0^z \frac{f(\zeta) - f(z\zeta)}{\zeta - z\zeta} d\zeta, \qquad |z| \leq 1, \ z \neq 1.$$

PROOF. Observe that $F_j(z) = (h_j * f)(z)$, j = 1, 2, where $h_1(z) = \sum_{n=1}^{\infty} \frac{1+\gamma}{n+\gamma} z^n$, Re $\gamma > 0$, and $h_2(z) = \sum_{n=1}^{\infty} \frac{1-x^n}{(1-x)n} z^n = \frac{1}{1-x} \log[\frac{1-xz}{1-z}]$, $|x| \leq 1$, $x \neq 1$. Since h_1 was shown to be convex, by Ruscheweyh [9] and h_2 is clearly convex, the result follows immediately from Theorem 4.

REMARK. Goel and Mehrok [3] showed that C[1,-1;C,D] was preserved under $F_1(z)$ when $\gamma = 1,2,3,...$ and under $F_2(z)$ when x = -1by a different method.

4. COEFFICIENT INEQUALITIES.

We begin with coefficient inequalities for X[C,D].

LEMMA. For $g(z) = z + \sum_{n=2}^{\infty} b_n z^n \in \mathcal{X}[C,D]$ and μ complex

$$|b_2| \leq \frac{C-D}{2}$$
, and

 $|b_3 - \mu b_2^2| \leq \frac{C-D}{6} \max\{1, |\frac{3}{2} \mu(C-D) - (C-2D)|\}.$

PROOF. For $g(z) = z + \sum_{n=2}^{\infty} b_n z^n \in \chi[C,D]$, there exists a Schwarz function $w(z) = \sum_{n=1}^{\infty} \gamma_n z^n$ such that 1 + (zg''(z)/g'(z)) = (1+Cw(z))/(1+Dw(z)) or zg''(z)/g'(z) = (C-D)w(z)/(1+Dw(z)). Substitution of the series expansions and comparison of the coefficients leads to

$$b_2 = \frac{C-D}{2} \gamma_1$$
 and $b_3 = \frac{C-D}{6} \{\gamma_2 + (C-2D)\gamma_1^2\}$.
Therefore, $|b_2| \leq \frac{C-D}{2}$ and

$$b_{3} - \mu b_{2}^{2} = \frac{C-D}{6} \{\gamma_{2} + [(C-2D) - \frac{3}{2} \mu(C-D)]\gamma_{1}^{2}\}.$$
(4.1)

We know [6] that for s complex

$$|\gamma_2 - s\gamma_1^2| \leq max\{1, |s|\}.$$
 (4.2)

Combining (4.2) and (4.1) yields the result.

REMARK. If we apply the inequality $|\gamma_2| \leq 1 - |\gamma_1|^2$ [8] to (4.1), the same proof shows that

$$|b_{3}-\mu b_{2}^{2}| \leq \frac{C-D}{6} + \frac{2}{3(C-D)} \{ |(C-2D) - \frac{3}{2}\mu(C-D)| - 1 \} |b_{2}|^{2} \}$$

THEOREM 5. For $f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in C[A,B;C,D]$

$$|a_2| \leq \frac{(C-D)+(A-B)}{2}$$
 and

$$|a_{3}| \leq \begin{cases} \frac{C-D}{6} + \frac{(A-B)(C-D+1)}{3} , |C-2D| \leq 1\\ \\ \frac{(C-D)(C-2D)}{6} + \frac{(A-B)(C-D+1)}{3} , |C-2D| > 1. \end{cases}$$

PROOF. There exists a $g(z) = z + \sum_{n=2}^{\infty} b_n z^n \in \mathcal{K}[C,D]$ and a Schwarz function $w(z) = \sum_{n=1}^{\infty} \gamma_n z^n$ such that f'(z)/g'(z) = (1+Aw(z))/(1+Bw(z)), $z \in U$. Comparing series expansions, we see $a_2 = b_2 + \frac{A-B}{2} \gamma_1$ and

$$a_{3} = b_{3} + \frac{2}{3} (A-B)b_{2}\gamma_{1} + \frac{(A-B)}{3} (\gamma_{2}-B\gamma_{1}^{2}).$$
 (4.3)

The bound for $|a_2|$ follows from the Lemma. Applying (4.2) and the Lemma ($\mu = 0$) to (4.3), we have

$$|a_3| \leq \frac{C-D}{6} \max\{1, |C-2D|\} + \frac{(A-B)(C-D)}{3} + \frac{(A-B)}{3} \max\{1, |B|\}$$

= $\frac{C-D}{6} \max\{1, |C-2D|\} + \frac{(A-B)(C-D+1)}{3}$.

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