# Schur elements for the Ariki–Koike algebra and applications

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**Abstract** We study the Schur elements associated to the simple modules of the Ariki–Koike algebra. We first give a cancellation-free formula for them so that their factors can be easily read and programmed. We then study direct applications of this result. We also complete the determination of the canonical basic sets for cyclotomic Hecke algebras of type G(l, p, n) in characteristic 0.

Keywords Hecke algebras  $\cdot$  Complex reflection groups  $\cdot$  Schur elements  $\cdot$  Blocks  $\cdot$  Basic sets

## 1 Introduction

Schur elements play a powerful role in the representation theory of symmetric algebras. In the case of the Ariki–Koike algebra, that is, the Hecke algebra of the complex reflection group G(l, 1, n), they are Laurent polynomials whose factors determine when Specht modules are projective irreducible and whether the algebra is semisimple.

Formulas for the Schur elements of the Ariki–Koike algebra have been obtained independently, first by Geck, Iancu and Malle [13], and later by Mathas [18]. The first aim of this paper is to give a cancellation-free formula for these polynomials (Theorem 3.2), so that their factors can be easily read and programmed. We then present a number of direct applications. These include a new formula for Lusztig's *a*-function, as well as a simple classification of the projective irreducible modules for Ariki–Koike algebras (that is, the blocks of defect 0).

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The second part of the paper is devoted to another aspect of the representation theory of these algebras in connection with these Schur elements: the theory of canonical basic sets. The main aim here is to obtain a classification of the simple modules for specializations of cyclotomic Hecke algebras in characteristic 0. In [6], we studied mainly the case of finite Weyl groups. In this paper, we focus on cyclotomic Hecke algebras of type G(l, p, n). Using Lusztig's *a*-function, defined from the Schur elements, the theory of canonical basic sets provides a natural and efficient way to parametrize the simple modules of these algebras.

The existence and explicit determination of the canonical basic sets is already known in the case of Hecke algebras of finite Weyl groups (see [11] and [6]). The case of cyclotomic Hecke algebras of type G(l, p, n) has been partially studied in [11, 14], and recently in [7] using the theory of Cherednik algebras. Answering a question raised in [7], the goal of the last part of this paper is to complete the determination of the canonical basic sets in this case.

#### 2 Preliminaries

In this section, we introduce the necessary definitions and notation.

**2.1** A partition  $\lambda = (\lambda_1, \lambda_2, \lambda_3, ...)$  is a decreasing sequence of non-negative integers. We define the length of  $\lambda$  to be the smallest integer  $\ell(\lambda)$  such that  $\lambda_i = 0$  for all  $i > \ell(\lambda)$ . We write  $|\lambda| := \sum_{i \ge 1} \lambda_i$  and we say that  $\lambda$  is a partition of *m*, for some  $m \in \mathbb{Z}_{>0}$ , if  $m = |\lambda|$ . We set  $n(\lambda) := \sum_{i \ge 1} (i - 1)\lambda_i$ .

We define the set of nodes  $[\lambda]$  of  $\lambda$  to be the set

$$[\lambda] := \{ (i, j) \mid i \ge 1, \ 1 \le j \le \lambda_i \}.$$

A node x = (i, j) is called removable if  $[\lambda] \setminus \{(i, j)\}$  is still the set of nodes of a partition. Note that if (i, j) is removable, then  $j = \lambda_i$ .

The conjugate partition of  $\lambda$  is the partition  $\lambda'$  defined by

$$\lambda'_k := \#\{i \mid i \ge 1 \text{ such that } \lambda_i \ge k\}.$$

Obviously,  $\lambda'_1 = \ell(\lambda)$ . The set of nodes of  $\lambda'$  satisfies

$$(i, j) \in [\lambda'] \Leftrightarrow (j, i) \in [\lambda].$$

Note that if  $(i, \lambda_i)$  is a removable node of  $\lambda$ , then  $\lambda'_{\lambda_i} = i$ . Moreover, we have

$$n(\lambda) = \sum_{i \ge 1} (i-1)\lambda_i = \frac{1}{2} \sum_{i \ge 1} (\lambda'_i - 1)\lambda'_i = \sum_{i \ge 1} {\lambda'_i \choose 2}.$$

If  $x = (i, j) \in [\lambda]$  and  $\mu$  is another partition, we define the *generalized hook length* of *x* with respect to  $(\lambda, \mu)$  to be the integer:

$$h_{i,j}^{\lambda,\mu} := \lambda_i - i + \mu'_j - j + 1.$$

For  $\mu = \lambda$ , the above formula becomes the classical hook length formula (giving the length of the hook of  $\lambda$  that *x* belongs to). Moreover, we define the *content of x* to be the difference j - i. The following lemma, whose proof is an easy combinatorial exercise (with the use of Young diagrams), relates the contents of the nodes of (the "right rim" of)  $\lambda$  with the contents of the nodes of (the "lower rim" of)  $\lambda'$ .

**Lemma 2.2** Let  $\lambda = (\lambda_1, \lambda_2, ...)$  be a partition and let k be an integer such that  $1 \le k \le \lambda_1$ . Let q and y be two indeterminates. Then we have

$$\frac{1}{(q^{\lambda_1}y-1)} \cdot \left(\prod_{1 \le i \le \lambda'_k} \frac{q^{\lambda_i - i + 1}y - 1}{q^{\lambda_i - i}y - 1}\right)$$
$$= \frac{1}{(q^{-\lambda'_k + k - 1}y - 1)} \cdot \left(\prod_{k \le j \le \lambda_1} \frac{q^{-\lambda'_j + j - 1}y - 1}{q^{-\lambda'_j + j}y - 1}\right).$$

**2.3** Let *l* and *n* be positive integers. An *l*-partition (or multipartition) of *n* is an ordered *l*-tuple  $\lambda = (\lambda^0, \lambda^1, \dots, \lambda^{l-1})$  of partitions such that  $\sum_{0 \le s \le l-1} |\lambda^s| = n$ . We denote by  $\Pi_n^l$  the set of *l*-partitions of *n*. The empty multipartition, denoted by  $\emptyset$ , is an *l*-tuple of empty partitions. If  $\lambda = (\lambda^0, \lambda^1, \dots, \lambda^{l-1}) \in \Pi_n^l$ , we denote by  $\lambda'$  the *l*-partition  $(\lambda^{0'}, \lambda^{1'}, \dots, \lambda^{l-1'})$ .

**2.4** Let *R* be a commutative domain with 1. Fix elements q,  $Q_0$ , ...,  $Q_{l-1}$  of *R*, and assume that q is invertible in *R*. Set  $\mathbf{q} := (Q_0, \ldots, Q_{l-1}; q)$ . The *Ariki–Koike algebra*  $\mathcal{H}_n^{\mathbf{q}}$  is the unital associative *R*-algebra with generators  $T_0, T_1, \ldots, T_{n-1}$  and relations:

$$\begin{aligned} (T_0 - Q_0)(T_0 - Q_1) &\cdots (T_0 - Q_{l-1}) = 0, \\ (T_i - q)(T_i + 1) = 0 & \text{for } 1 \le i \le n - 1, \\ T_0 T_1 T_0 T_1 = T_1 T_0 T_1 T_0, \\ T_i T_{i+1} T_i = T_{i+1} T_i T_{i+1} & \text{for } 1 \le i \le n - 2, \\ T_i T_j = T_j T_i & \text{for } 0 \le i < j \le n - 1 \text{ with } j - i > 1. \end{aligned}$$

The last three relations are the *braid relations* satisfied by  $T_0, T_1, \ldots, T_{n-1}$ .

The Ariki–Koike algebra  $\mathcal{H}_n^{\mathbf{q}}$  is a deformation of the group algebra of the complex reflection group  $G(l, 1, n) = (\mathbb{Z}/l\mathbb{Z}) \wr \mathfrak{S}_n$ . Ariki and Koike [3] have proved that  $\mathcal{H}_n^{\mathbf{q}}$  is a free *R*-module of rank  $l^n n! = |G(l, 1, n)|$  (see [2, Proposition 13.11]). In addition, when *R* is a field, they have constructed a simple  $\mathcal{H}_n^{\mathbf{q}}$ -module  $V^{\lambda}$ , with character  $\chi^{\lambda}$ , for each *l*-partition  $\lambda$  of *n* (see [2, Theorem 13.6]). These modules form a complete set of non-isomorphic simple modules in the case where  $\mathcal{H}_n^{\mathbf{q}}$  is split semisimple (see [2, Corollary 13.9]).

**2.5** There is a useful semisimplicity criterion for Ariki–Koike algebras which has been given by Ariki in [1]. This criterion will be recovered from our results later (see Theorem 4.2), so let us simply assume from now on that  $\mathcal{H}_n^{\mathbf{q}}$  is split semisimple. This happens, for example, when  $q, Q_0, \ldots, Q_{l-1}$  are indeterminates and  $R = \mathbb{Q}(q, Q_0, \ldots, Q_{l-1})$ .

Now, there exists a linear form  $\tau : \mathcal{H}_n^{\mathbf{q}} \to R$  which was introduced by Bremke and Malle in [4], and was proved to be symmetrizing by Malle and Mathas in [16] whenever all  $Q_i$ 's are invertible in R. An explicit description of this form can be found in any of these two articles. Following Geck's results on symmetrizing forms (see [12, Theorem 7.2.6]), we obtain the following definition for the Schur elements associated to the irreducible representations of  $\mathcal{H}_n^{\mathbf{q}}$ .

**Definition 2.6** Suppose that R is a field and that  $\mathcal{H}_n^{\mathbf{q}}$  is split semisimple. The Schur elements of  $\mathcal{H}_n^{\mathbf{q}}$  are the elements  $s_{\boldsymbol{\lambda}}(\mathbf{q})$  of R such that

$$\tau = \sum_{\boldsymbol{\lambda} \in \Pi_n^l} \frac{1}{s_{\boldsymbol{\lambda}}(\mathbf{q})} \chi^{\boldsymbol{\lambda}}.$$

**2.7** The Schur elements of the Ariki–Koike algebra  $\mathcal{H}_n^{\mathbf{q}}$  have been independently calculated by Geck, Iancu and Malle [13], and by Mathas [18]. From now on, for all  $m \in \mathbb{Z}_{>0}$ , let  $[m]_q := (q^m - 1)/(q - 1) = q^{m-1} + q^{m-2} + \cdots + q + 1$ . The formula given by Mathas does not demand extra notation and is the following:

**Theorem 2.8** Let  $\lambda = (\lambda^0, \lambda^1, \dots, \lambda^{l-1})$  be an *l*-partition of *n*. Then

$$s_{\lambda}(\mathbf{q}) = (-1)^{n(l-1)} (Q_0 Q_1 \cdots Q_{l-1})^{-n} q^{-\alpha(\lambda)}$$
$$\cdot \prod_{0 \le s \le l-1} \prod_{(i,j) \in [\lambda^s]} Q_s [h_{i,j}^{\lambda^s, \lambda^s}]_q \cdot \prod_{0 \le s < t \le l-1} X_{st}^{\lambda}$$

where

$$\alpha(\boldsymbol{\lambda}) = \sum_{0 \le s \le l-1} n(\boldsymbol{\lambda}^s)$$

and

$$\begin{aligned} X_{st}^{\lambda} &= \prod_{(i,j)\in[\lambda^{t}]} \left( q^{j-i}\mathcal{Q}_{t} - \mathcal{Q}_{s} \right) \\ &\cdot \prod_{(i,j)\in[\lambda^{s}]} \left( \left( q^{j-i}\mathcal{Q}_{s} - q^{\lambda_{1}^{t}}\mathcal{Q}_{t} \right) \prod_{1\leq k\leq\lambda_{1}^{t}} \frac{q^{j-i}\mathcal{Q}_{s} - q^{k-1-\lambda_{k}^{t'}}\mathcal{Q}_{t}}{q^{j-i}\mathcal{Q}_{s} - q^{k-\lambda_{k}^{t'}}\mathcal{Q}_{t}} \right) \end{aligned}$$

The formula by Geck, Iancu and Malle is more symmetric, and describes the Schur elements in terms of *beta numbers*. If  $\lambda = (\lambda^0, \lambda^1, ..., \lambda^{l-1})$  is an *l*-partition of *n*, then the *length of*  $\lambda$  is  $\ell(\lambda) = \max\{\ell(\lambda^s) | 0 \le s \le l-1\}$ . Fix an integer *L* such that  $L \ge \ell(\lambda)$ . The *L*-beta numbers for  $\lambda^s$  are the integers  $\beta_i^s = \lambda_i^s + L - i$  for i =1, ..., L. Set  $B^s = \{\beta_1^s, ..., \beta_L^s\}$  for s = 0, ..., l-1. The matrix  $\mathbf{B} = (B^s)_{0 \le s \le l-1}$  is called the *L*-symbol of  $\lambda$ .

**Theorem 2.9** Let  $\lambda = (\lambda^0, ..., \lambda^{l-1})$  be an *l*-partition of *n* with *L*-symbol **B** =  $(B^s)_{0 \le s \le l-1}$ , where  $L \ge \ell(\lambda)$ . Let  $a_L := n(l-1) + {l \choose 2} {L \choose 2}$  and  $b_L := lL(L-1)(2lL-l-3)/12$ . Then

$$s_{\lambda}(\mathbf{q}) = (-1)^{a_L} q^{b_L} (q-1)^{-n} (Q_0 Q_1 \dots Q_{l-1})^{-n} \nu_{\lambda} / \delta_{\lambda},$$

where

$$\nu_{\lambda} = \prod_{0 \le s < t \le l-1} (Q_s - Q_t)^L \prod_{0 \le s, t \le l-1} \prod_{b_s \in B^s} \prod_{1 \le k \le b_s} (q^k Q_s - Q_t)$$

and

$$\delta_{\boldsymbol{\lambda}} = \prod_{0 \le s < t \le l-1} \prod_{(b_s, b_t) \in B^s \times B^t} (q^{b_s} \mathcal{Q}_s - q^{b_t} \mathcal{Q}_t) \prod_{0 \le s \le l-1} \prod_{1 \le i < j \le L} (q^{\beta_i^s} \mathcal{Q}_s - q^{\beta_j^s} \mathcal{Q}_s).$$

As the reader may see, in both formulas above, the factors of  $s_{\lambda}(\mathbf{q})$  are not obvious. Hence, it is not obvious for which values of  $\mathbf{q}$  the Schur element  $s_{\lambda}(\mathbf{q})$  becomes zero.

#### 3 A cancellation-free formula for the Schur elements

In this section, we will give a cancellation-free formula for the Schur elements of  $\mathcal{H}_n^{\mathbf{q}}$ . This formula is also symmetric.

**3.1** Let *X* and *Y* be multisets of rational numbers ordered so that their elements form decreasing sequences. We will write  $X \sqcup Y$  for the (ordered) multiset consisting of all the elements of *X* and *Y* together and such that the elements of  $X \sqcup Y$  form a decreasing sequence. We have  $|X \sqcup Y| = |X| + |Y|$ .

**Theorem 3.2** Let  $\lambda = (\lambda^0, \lambda^1, ..., \lambda^{l-1})$  be an *l*-partition of *n*. Set  $\overline{\lambda} := \bigsqcup_{0 \le s \le l-1} \lambda^s$ . *Then* 

$$s_{\lambda}(\mathbf{q}) = (-1)^{n(l-1)} q^{-n(\bar{\lambda})} (q-1)^{-n} \prod_{0 \le s \le l-1} \prod_{(i,j) \in [\lambda^s]} \prod_{0 \le t \le l-1} \left( q^{h_{i,j}^{\lambda^s,\lambda^t}} \mathcal{Q}_s \mathcal{Q}_t^{-1} - 1 \right).$$
(1)

Since the total number of nodes in  $\lambda$  is equal to n, the above formula can be rewritten as follows:

$$s_{\lambda}(\mathbf{q}) = (-1)^{n(l-1)} q^{-n(\tilde{\lambda})} \\ \cdot \prod_{0 \le s \le l-1} \prod_{(i,j) \in [\lambda^s]} \left( \left[ h_{i,j}^{\lambda^s, \lambda^s} \right]_q \prod_{0 \le t \le l-1, t \ne s} \left( q^{h_{i,j}^{\lambda^s, \lambda^t}} \mathcal{Q}_s \mathcal{Q}_t^{-1} - 1 \right) \right).$$
(2)

**3.3** We will now proceed to the proof of the above result using the formula of Theorem 2.8. The following lemma relates the terms  $q^{-n(\bar{\lambda})}$  and  $q^{-\alpha(\lambda)}$ .

**Lemma 3.4** Let  $\lambda$  be an *l*-partition of *n*. We have

$$\alpha(\boldsymbol{\lambda}) + \sum_{0 \le s < t \le l-1} \sum_{i \ge 1} \lambda_i^{s'} \lambda_i^{t'} = n(\bar{\boldsymbol{\lambda}}).$$

*Proof* Following the definition of the conjugate partition, we have  $\bar{\lambda}_{i}^{'} = \sum_{0 \le s \le l-1} \lambda_{i}^{s'}$ , for all  $i \ge 1$ . Therefore,

$$\begin{split} n(\bar{\lambda}) &= \sum_{i \ge 1} \begin{pmatrix} \bar{\lambda}'_i \\ 2 \end{pmatrix} \\ &= \sum_{i \ge 1} \begin{pmatrix} \sum_{0 \le s \le l-1} \lambda_i^{s'} \\ 2 \end{pmatrix} \\ &= \sum_{i \ge 1} \begin{pmatrix} \sum_{0 \le s \le l-1} \begin{pmatrix} \lambda_i^{s'} \\ 2 \end{pmatrix} + \sum_{0 \le s < t \le l-1} \lambda_i^{s'} \lambda_i^{t'} \end{pmatrix} \\ &= \alpha(\lambda) + \sum_{0 \le s < t \le l-1} \sum_{i \ge 1} \lambda_i^{s'} \lambda_i^{t'}. \end{split}$$

Hence, to prove Equality (2), it is enough to show that, for all  $0 \le s < t \le l - 1$ ,

$$X_{st}^{\lambda} = q^{-\sum_{i\geq 1}\lambda_{i}^{s'}\lambda_{i}^{t'}} Q_{s}^{|\lambda^{t}|} Q_{t}^{|\lambda^{s}|} \prod_{(i,j)\in[\lambda^{s}]} \left(q^{h_{i,j}^{\lambda^{s},\lambda^{t}}} Q_{s} Q_{t}^{-1} - 1\right)$$
$$\cdot \prod_{(i,j)\in[\lambda^{t}]} \left(q^{h_{i,j}^{\lambda^{t},\lambda^{s}}} Q_{t} Q_{s}^{-1} - 1\right).$$
(3)

**3.5** We will proceed by induction on the number of nodes of  $\lambda^s$ . We do not need to do the same for  $\lambda^t$ , because the symmetric formula for the Schur elements given by Theorem 2.9 implies the following: if  $\mu$  is the multipartition obtained from  $\lambda$  by exchanging  $\lambda^s$  and  $\lambda^t$ , then

$$X_{st}^{\lambda}(Q_s, Q_t) = X_{st}^{\mu}(Q_t, Q_s).$$

If  $\lambda^s = \emptyset$ , then

$$\begin{split} X_{st}^{\lambda} &= \prod_{(i,j)\in[\lambda^{t}]} (q^{j-i}\mathcal{Q}_{t} - \mathcal{Q}_{s}) \\ &= \mathcal{Q}_{s}^{|\lambda^{t}|} \prod_{(i,j)\in[\lambda^{t}]} (q^{j-i}\mathcal{Q}_{t}\mathcal{Q}_{s}^{-1} - 1) \\ &= \mathcal{Q}_{s}^{|\lambda^{t}|} \prod_{1 \leq i \leq \lambda_{1}^{t'}} \prod_{1 \leq j \leq \lambda_{i}^{t}} (q^{j-i}\mathcal{Q}_{t}\mathcal{Q}_{s}^{-1} - 1) \\ &= \mathcal{Q}_{s}^{|\lambda^{t}|} \prod_{1 \leq i \leq \lambda_{1}^{t'}} \prod_{1 \leq j \leq \lambda_{i}^{t}} (q^{\lambda_{i}^{t}-j+1-i}\mathcal{Q}_{t}\mathcal{Q}_{s}^{-1} - 1) \\ &= \mathcal{Q}_{s}^{|\lambda^{t}|} \prod_{(i,j)\in[\lambda^{t}]} (q^{h_{i,j}^{\lambda^{t},\lambda^{s}}}\mathcal{Q}_{t}\mathcal{Q}_{s}^{-1} - 1), \end{split}$$

as required.

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**3.6** Now assume that our assertion holds when  $\#[\lambda^s] \in \{0, 1, 2, ..., N-1\}$ . We want to show that it also holds when  $\#[\lambda^s] = N \ge 1$ . If  $\lambda^s \ne \emptyset$ , then there exists *i* such that  $(i, \lambda_i^s)$  is a removable node of  $\lambda^s$ . Let v be the multipartition defined by

$$\nu_i^s := \lambda_i^s - 1, \qquad \nu_j^s := \lambda_j^s \quad \text{for all } j \neq i, \qquad \nu^t := \lambda^t \quad \text{for all } t \neq s.$$

Then  $[\lambda^s] = [\nu^s] \cup \{(i, \lambda_i^s)\}$ . Since (3) holds for  $X_{st}^{\nu}$  and

$$X_{st}^{\boldsymbol{\lambda}} = X_{st}^{\boldsymbol{\nu}} \cdot \left( \left( q^{\lambda_i^s - i} \mathcal{Q}_s - q^{\lambda_1^t} \mathcal{Q}_t \right) \prod_{1 \le k \le \lambda_1^t} \frac{q^{\lambda_i^s - i} \mathcal{Q}_s - q^{k - 1 - \lambda_k^{t'}} \mathcal{Q}_t}{q^{\lambda_i^s - i} \mathcal{Q}_s - q^{k - \lambda_k^{t'}} \mathcal{Q}_t} \right),$$

it is enough to show that (to simplify notation, from now on set  $\lambda := \lambda^s$  and  $\mu := \lambda^t$ ):

$$(q^{\lambda_{i}-i}Q_{s}-q^{\mu_{1}}Q_{t})\prod_{1\leq k\leq \mu_{1}}\frac{q^{\lambda_{i}-i}Q_{s}-q^{k-1-\mu_{k}'}Q_{t}}{q^{\lambda_{i}-i}Q_{s}-q^{k-\mu_{k}'}Q_{t}}$$
$$=q^{-\mu_{\lambda_{i}}'}Q_{t}(q^{\lambda_{i}-i+\mu_{\lambda_{i}}'-\lambda_{i}+1}Q_{s}Q_{t}^{-1}-1)\cdot A\cdot B,$$
(4)

where

$$A := \prod_{1 \le k \le \lambda_i - 1} \frac{q^{\lambda_i - i + \mu'_k - k + 1} Q_s Q_t^{-1} - 1}{q^{\lambda_i - i + \mu'_k - k} Q_s Q_t^{-1} - 1}$$

and

$$B:=\prod_{1\leq k\leq \mu_{\lambda_i}'}\frac{q^{\mu_k-k+\lambda_{\lambda_i}'-\lambda_i+1}Q_tQ_s^{-1}-1}{q^{\mu_k-k+\lambda_{\lambda_i}'-\lambda_i}Q_tQ_s^{-1}-1}.$$

Note that, since  $(i, \lambda_i)$  is a removable node of  $\lambda$ , we have  $\lambda'_{\lambda_i} = i$ . We have

$$A = q^{\lambda_i - 1} \prod_{1 \le k \le \lambda_i - 1} \frac{q^{\lambda_i - i} Q_s - q^{k - 1 - \mu'_k} Q_t}{q^{\lambda_i - i} Q_s - q^{k - \mu'_k} Q_t}$$

Moreover, by Lemma 2.2, for  $y = q^{i-\lambda_i} Q_t Q_s^{-1}$ , we obtain

$$B = \frac{(q^{\mu_1+i-\lambda_i} Q_t Q_s^{-1} - 1)}{(q^{-\mu'_{\lambda_i}+\lambda_i - 1 + i - \lambda_i} Q_t Q_s^{-1} - 1)} \cdot \left(\prod_{\lambda_i \le k \le \mu_1} \frac{q^{-\mu'_k + k - 1 + i - \lambda_i} Q_t Q_s^{-1} - 1}{q^{-\mu'_k + k + i - \lambda_i} Q_t Q_s^{-1} - 1}\right),$$

i.e.,

$$B = Q_t^{-1} q^{\mu'_{\lambda_i} - \lambda_i + 1} \frac{(q^{\lambda_i - i} Q_s - q^{\mu_1} Q_t)}{(q^{\mu'_{\lambda_i} - \lambda_i + 1 + \lambda_i - i} Q_s Q_t^{-1} - 1)}$$
$$\cdot \left(\prod_{\lambda_i \le k \le \mu_1} \frac{q^{\lambda_i - i} Q_s - q^{k - 1 - \mu'_k} Q_t}{q^{\lambda_i - i} Q_s - q^{k - \mu'_k} Q_t}\right).$$

Hence, Equality (4) holds.

#### 4 First consequences

We give here several direct applications of Formula (2) obtained in Theorem 3.2.

**4.1** A first application of Formula (2) is that we can easily recover a well-known semisimplicity criterion for the Ariki–Koike algebra due to Ariki [1]. To do this, let us assume that  $q, Q_0, \ldots, Q_{l-1}$  are indeterminates and  $R = \mathbb{Q}(q, Q_0, \ldots, Q_{l-1})$ . Then the resulting "generic" Ariki–Koike algebra  $\mathcal{H}_n^{\mathbf{q}}$  is split semisimple. Now assume that  $\theta : \mathbb{Z}[q^{\pm 1}, Q_0^{\pm 1}, \ldots, Q_{l-1}^{\pm 1}] \to \mathbb{K}$  is a specialization and let  $\mathbb{K}\mathcal{H}_n^{\mathbf{q}}$  be the specialized algebra, where  $\mathbb{K}$  is any field. Note that for all  $\lambda \in \Pi_n^l$ , we have  $s_{\lambda}(\mathbf{q}) \in \mathbb{Z}[q^{\pm 1}, Q_0^{\pm 1}, \ldots, Q_{l-1}^{\pm 1}]$ . Then by [12, Theorem 7.2.6],  $\mathbb{K}\mathcal{H}_n^{\mathbf{q}}$  is (split) semisimple if and only if, for all  $\lambda \in \Pi_n^l$ , we have  $\theta(s_{\lambda}(\mathbf{q})) \neq 0$ . From this, we can deduce the following:

**Theorem 4.2** (Ariki) Assume that  $\mathbb{K}$  is a field. The algebra  $\mathbb{K}\mathcal{H}_n^{\mathbf{q}}$  is (split) semisimple if and only if  $\theta(P(\mathbf{q})) \neq 0$ , where

$$P(\mathbf{q}) = \prod_{1 \le i \le n} (1 + q + \dots + q^{i-1}) \prod_{0 \le s < t \le l-1} \prod_{-n < k < n} (q^k Q_s - Q_t).$$

*Proof* Assume first that  $\theta(P(\mathbf{q})) = 0$ . We distinguish three cases:

- (a) If there exists  $2 \le i \le n$  such that  $\theta(1 + q + \dots + q^{i-1}) = 0$ , then we have  $\theta([h_{1,n-i+1}^{\lambda^0,\lambda^0}]_q) = 0$  for  $\lambda = ((n), \emptyset, \dots, \emptyset) \in \Pi_n^l$ . Thus, for this *l*-partition, we have  $\theta(s_{\lambda}(\mathbf{q})) = 0$ , which implies that  $\mathbb{K}\mathcal{H}_n^q$  is not semisimple.
- (b) If there exist  $0 \le s < t \le l-1$  and  $0 \le k < n$  such that  $\theta(q^k Q_s Q_t) = 0$ , then we have  $\theta(q^{h_{1,n-k}^{\lambda^s,\lambda^t}} Q_s Q_t^{-1} - 1) = 0$  for  $\lambda \in \Pi_n^l$  such that  $\lambda^s = (n), \lambda^t = \emptyset$ . We have  $\theta(s_{\lambda}(\mathbf{q})) = 0$  and  $\mathbb{K}\mathcal{H}_n^{\mathbf{q}}$  is not semisimple.
- (c) If there exist  $0 \le s < t \le l-1$  and -n < k < 0 such that  $\theta(q^k Q_s Q_t) = 0$ , then we have  $\theta(q^{h_{1,n+k}^{\lambda^l,\lambda^s}} Q_t Q_s^{-1} - 1) = 0$  for  $\lambda \in \Pi_n^l$  such that  $\lambda^s = \emptyset$ ,  $\lambda^t = (n)$ . Again, we have  $\theta(s_\lambda(\mathbf{q})) = 0$  and  $\mathbb{K}\mathcal{H}_n^{\mathbf{q}}$  is not semisimple.

Conversely, if  $\mathbb{K}\mathcal{H}_n^{\mathbf{q}}$  is not semisimple, then there exists  $\boldsymbol{\lambda} \in \Pi_n^l$  such that  $\theta(s_{\boldsymbol{\lambda}}(\mathbf{q})) = 0$ . As for all  $0 \le s, t \le l-1$  and  $(i, j) \in [\lambda^s]$ , we have  $-n < h_{i,j}^{\lambda^s, \lambda^t} < n$ , we conclude that  $\theta(P(\mathbf{q})) = 0$ .

**4.3** We now consider a remarkable specialization of the generic Ariki–Koike algebra. Let *u* be an indeterminate. Let  $r \in \mathbb{Z}_{>0}$  and let  $r_0, \ldots, r_{l-1}$  be any integers. Set  $\mathbf{r} := (r_0, \ldots, r_{l-1})$  and  $\eta_l := \exp(2\sqrt{-1\pi/l})$ . For all  $i = 0, \ldots, l-1$ , we set  $m_i := r_i/r$  and we define  $\mathbf{m} := (m_0, \ldots, m_{l-1}) \in \mathbb{Q}^l$ . Assume that  $R = \mathbb{Z}[q^{\pm 1}, Q_0^{\pm 1}, \ldots, Q_{l-1}^{\pm 1}]$  and consider the morphism

$$\theta: R \to \mathbb{Z}[\eta_l][u^{\pm 1}]$$

such that  $\theta(q) = u^r$  and  $\theta(Q_j) = \eta_l^j u^{r_j}$  for j = 0, 1, ..., l-1. We will denote by  $\mathcal{H}_n^{\mathbf{m},r}$  the specialization of the Ariki–Koike algebra  $\mathcal{H}_n^{\mathbf{q}}$  via  $\theta$ . The algebra  $\mathcal{H}_n^{\mathbf{m},r}$  is

called a *cyclotomic Ariki–Koike algebra*. It is defined over  $\mathbb{Z}[\eta_l][u^{\pm 1}]$  and has a presentation as follows:

- generators:  $T_0, T_1, ..., T_{n-1},$
- relations:

$$(T_0 - u^{r_0})(T_0 - \eta_l u^{r_1}) \cdots (T_0 - \eta_l^{l-1} u^{r_{l-1}}) = 0,$$
  
 $(T_j - u^r)(T_j + 1) = 0 \quad \text{for } j = 1, \dots, n-1$ 

and the braid relations symbolized by the diagram

$$\underbrace{\begin{array}{cccc} T_0 & T_1 & T_2 \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \end{array}} \quad \ldots \quad \underbrace{\begin{array}{cccc} T_{n-1} \\ \bullet & \bullet \\ \bullet &$$

We set  $K := \mathbb{Q}(\eta_l)$ . The algebra  $K(u)\mathcal{H}_n^{\mathbf{m},r}$ , which is obtained by extension of scalars to K(u), is a split semisimple algebra. As a consequence, one can apply Tits's Deformation Theorem (see, for example, [12, §7.4]), and see that the set of simple  $K(u)\mathcal{H}_n^{\mathbf{m},r}$ -modules  $\operatorname{Irr}(K(u)\mathcal{H}_n^{\mathbf{m},r})$  is given by

$$\operatorname{Irr}(K(u)\mathcal{H}_n^{\mathbf{m},r}) = \{V^{\boldsymbol{\lambda}} \mid \boldsymbol{\lambda} \in \Pi_n^l\}.$$

Using the Schur elements, one can attach to every simple  $K(u)\mathcal{H}_n^{\mathbf{m},r}$ -module  $V^{\lambda}$ a rational number  $\mathbf{a}^{(\mathbf{m},r)}(\boldsymbol{\lambda})$ , by setting  $\mathbf{a}^{(\mathbf{m},r)}(\boldsymbol{\lambda})$  to be the negative of the valuation of the Schur element of  $V^{\lambda}$  in u, that is, the negative of the valuation of  $\theta(s_{\lambda}(\mathbf{q}))$ . We call this number the **a**-value of  $\boldsymbol{\lambda}$ . By [11, §5.5], this value may be easily computed combinatorially: Let  $\boldsymbol{\lambda} \in \Pi_n^l$  and let  $s \in \mathbb{Z}_{>0}$  such that  $s > \ell(\boldsymbol{\lambda})$ . Let  $\mathfrak{B}$  be the shifted **m**-symbol of  $\boldsymbol{\lambda}$  of size  $s \in \mathbb{Z}_{>0}$ . This is the *l*-tuple  $(\mathfrak{B}^0, \ldots, \mathfrak{B}^{l-1})$  where, for all  $j = 0, \ldots, l-1$  and for all  $i = 1, \ldots, s + [m_j]$  (where  $[m_j]$  denotes the integer part of  $m_j$ ), we have

$$\mathfrak{B}_i^j = \lambda_i^j - i + s + m_j$$
 and  $\mathfrak{B}^j = (\mathfrak{B}_{s+[m_j]}^j, \dots, \mathfrak{B}_1^j).$ 

Write

$$\kappa_1(\boldsymbol{\lambda}) \geq \kappa_2(\boldsymbol{\lambda}) \geq \cdots \geq \kappa_h(\boldsymbol{\lambda})$$

for the elements of  $\mathfrak{B}$  written in decreasing order (allowing repetitions), where  $h = ls + \sum_{0 < j < l-1} [m_j]$ . Let  $\kappa_{\mathbf{m}}(\boldsymbol{\lambda}) = (\kappa_1(\boldsymbol{\lambda}), \dots, \kappa_h(\boldsymbol{\lambda})) \in \mathbb{Q}_{>0}^h$  and define

$$n_{\mathbf{m}}(\boldsymbol{\lambda}) := \sum_{1 \le i \le h} (i-1) \kappa_i(\boldsymbol{\lambda}).$$

Then, by [11, Proposition 5.5.11], the **a**-value of  $\lambda$  is

$$\mathbf{a}^{(\mathbf{m},r)}(\boldsymbol{\lambda}) = r(n_{\mathbf{m}}(\boldsymbol{\lambda}) - n_{\mathbf{m}}(\boldsymbol{\emptyset})),$$

where  $\emptyset$  denotes the empty multipartition.

Generalizing the dominance order for partitions, we will write  $\kappa_{\mathbf{m}}(\boldsymbol{\lambda}) \triangleright \kappa_{\mathbf{m}}(\boldsymbol{\mu})$  if  $\kappa_{\mathbf{m}}(\boldsymbol{\lambda}) \neq \kappa_{\mathbf{m}}(\boldsymbol{\mu})$  and  $\sum_{1 \leq i \leq t} \kappa_i(\boldsymbol{\lambda}) \geq \sum_{1 \leq i \leq t} \kappa_i(\boldsymbol{\mu})$  for all  $t \geq 1$ . The following result [11, Proposition 5.5.16] will be useful in then next sections:

**Proposition 4.4** Assume that  $\lambda$  and  $\mu$  are two *l*-partitions with the same rank such that  $\kappa_{\mathbf{m}}(\lambda) \triangleright \kappa_{\mathbf{m}}(\mu)$ . Then  $\mathbf{a}^{(\mathbf{m},r)}(\mu) > \mathbf{a}^{(\mathbf{m},r)}(\lambda)$ .

Now, Formula (2) allows us to give an alternative description of the **a**-value of  $\lambda$ :

**Proposition 4.5** Let  $\lambda \in \Pi_n^l$ . The **a**-value of  $\lambda$  is

$$\mathbf{a}^{(\mathbf{m},r)}(\boldsymbol{\lambda}) = r \bigg( n(\overline{\boldsymbol{\lambda}}) - \sum_{0 \le s \le l-1} \sum_{(i,j) \in [\lambda^s]} \sum_{0 \le t \le l-1, t \ne s} \min(h_{i,j}^{\lambda^s,\lambda^t} + m_s - m_t, 0) \bigg).$$

**4.6** We now consider another type of specialization. Let  $v_0, \ldots, v_{l-1}$  be any integers. Let *k* be a subfield of  $\mathbb{C}$  and let  $\eta$  be a primitive root of unity of order e > 1. Assume that  $R = \mathbb{Z}[q^{\pm 1}, Q_0^{\pm 1}, \ldots, Q_{l-1}^{\pm 1}]$  and consider the morphism

$$\theta: R \to k(\eta)$$

such that  $\theta(q) = \eta$  and  $\theta(Q_j) = \eta^{v_j}$  for  $j = 0, 1, \dots, l-1$ . By Theorem 4.2, the specialized algebra  $k(\eta)\mathcal{H}_n^{\mathbf{q}}$  is not generally semisimple, and a result by Dipper and Mathas which will be specified later (see Sect. 5.2) implies that the study of this algebra is enough for studying the non-semisimple representation theory of Ariki–Koike algebras in characteristic 0. Let

$$D = \left( \left[ V^{\lambda} : M \right] \right)_{\lambda \in \Pi_n^l, M \in \operatorname{Irr}(k(\eta) \mathcal{H}_n^{\mathbf{q}})}$$

be the associated decomposition matrix (see [12, §7.4]), which relates the irreducible representations of the split semisimple Ariki–Koike algebra  $\mathcal{H}_n^{\mathbf{q}}$  and the specialized Ariki–Koike algebra  $k(\eta)\mathcal{H}_n^{\mathbf{q}}$ . We are interested in the classification of the blocks of defect 0. That is, we want to classify the *l*-partitions  $\lambda \in \Pi_n^l$  which are alone in their blocks in the decomposition matrix. These correspond to the modules  $V^{\lambda}$  which remain projective and irreducible after the specialization  $\theta$ . By [17, Lemme 2.6] (see also [12, Theorem 7.2.6]), these elements are characterized by the property that  $\theta(s_{\lambda}(\mathbf{q})) \neq 0$ . In our setting, using Formula (2), we obtain the following:

**Proposition 4.7** Under the above hypotheses,  $\lambda \in \Pi_n^l$  is in a block of defect 0 if and only if, for all  $0 \le s, t \le l-1$  and  $(i, j) \in [\lambda^s]$ , e does not divide  $h_{i,j}^{\lambda^s,\lambda^l} + v_s - v_t$ .

*Remark 4.8* As pointed out by M. Fayers and A. Mathas, the above proposition can also be obtained using [10].

### 5 Canonical basic sets for Ariki-Koike algebras

In this part, we generalize some known results on basic sets for Ariki–Koike algebras, using a fundamental result by Dipper and Mathas. This will help us determine the canonical basic sets for cyclotomic Ariki–Koike algebras in full generality.

**5.1** We consider the cyclotomic Ariki–Koike algebra  $\mathcal{H}_n^{\mathbf{m},r}$  defined in Sect. 4.3, replacing from now on the indeterminate *u* by the indeterminate *q* (following the usual notation). Let  $\theta : \mathbb{Z}[\eta_l][q^{\pm 1}] \to K(\eta)$  be a specialization such that  $\theta(q) = \eta \in \mathbb{C}^*$ . We obtain a specialized Ariki–Koike algebra  $K(\eta)\mathcal{H}_n^{\mathbf{m},r}$ . The relations between the generators are the usual braid relations together with the following ones:

$$(T_0 - \eta^{r_0})(T_0 - \eta_l \eta^{r_1}) \cdots (T_0 - \eta_l^{l-1} \eta^{r_{l-1}}) = 0,$$
  
 $(T_j - \eta^r)(T_j + 1) = 0 \quad \text{for } j = 1, \dots, n-1.$ 

Let

$$D = \left( \left[ V^{\lambda} : M \right] \right)_{\lambda \in \Pi_n^l, M \in \operatorname{Irr}(K(\eta) \mathcal{H}_n^{\mathbf{m}, r})}$$

be the associated decomposition matrix (see [12, §7.4]). The matrix D relates the irreducible representations of the split semisimple Ariki–Koike algebra  $K(q)\mathcal{H}_n^{\mathbf{m},r}$  and the specialized Ariki–Koike algebra  $K(\eta)\mathcal{H}_n^{\mathbf{m},r}$ . The goal of this section is to study the form of this matrix in full generality.

First assume that  $\eta$  is not a root of unity. Then, for all  $0 \le i \ne j \le l - 1$ , we have

$$\eta_l^{i-j} \eta^{r_i-r_j} \neq \eta^{rd}$$

for all  $d \in \mathbb{Z}_{>0}$ . By the criterion of semisimplicity due to Ariki (Theorem 4.2), this implies that the algebra  $K(\eta)\mathcal{H}_n^{\mathbf{m},r}$  is split semisimple, and thus *D* is the identity matrix. Hence, from now, one may assume that  $\eta$  is a primitive root of unity of order e > 1. Then there exists  $k \in \mathbb{Z}_{>0}$  such that gcd(k, e) = 1 and  $\eta = exp(2\sqrt{-1\pi k/e})$ .

**5.2** We will now use a reduction theorem by Dipper and Mathas, which will help us understand the form of *D*. Set  $I := \{0, 1, ..., l - 1\}$ . There is a partition

$$\mathbf{I} = \mathbf{I}_1 \sqcup \mathbf{I}_2 \sqcup \cdots \sqcup \mathbf{I}_p$$

such that

• for all  $1 \le \alpha < \beta \le p$ ,  $(i, j) \in \mathbf{I}_{\alpha} \times \mathbf{I}_{\beta}$  and  $d \in \mathbb{Z}_{>0}$ , we have

$$\eta^{rd} - \eta_l^{i-j} \eta^{r_i-r_j} \neq 0$$

• for all  $1 \le \alpha \le p$  and  $(i, j) \in \mathbf{I}_{\alpha} \times \mathbf{I}_{\alpha}$ , there exists  $d \in \mathbb{Z}_{>0}$  such that

$$\eta^{rd} - \eta_l^{i-j} \eta^{r_i - r_j} = 0.$$

For all j = 1, ..., p, we set  $l[j] := |\mathbf{I}_j|$  and we consider  $\mathbf{I}_j$  as an ordered set

$$\mathbf{I}_{j} = (i_{j,1}, i_{j,2}, \dots, i_{j,l[j]}) \quad \text{with } i_{j,1} < i_{j,2} < \dots < i_{j,l[j]}.$$

We define

$$\pi_j: \mathbb{Q}^l \to \mathbb{Q}^{l_i}$$
$$(x_0, x_1, \dots, x_{l-1}) \mapsto (x_{i_{j,1}}, x_{i_{j,2}}, \dots, x_{i_{j,l[j]}})$$

For  $n_j \in \mathbb{Z}_{>0}$ , we have an Ariki–Koike algebra of type  $G(l[j], 1, n_j)$  which we denote by  $\mathbf{H}_{n_j}^{\mathbf{m}^j, r}$  with  $\mathbf{m}^j := \pi_j(\mathbf{m}) = (m_{i_{j,1}}, m_{i_{j,2}}, \dots, m_{i_{j,l[j]}})$ . The relations between the generators are the usual braid relations together with the following ones:

$$(T_0 - \eta_l^{i_{j,l}} q^{r_{i_{j,l}}}) (T_0 - \eta_l^{i_{j,2}} q^{r_{i_{j,2}}}) \cdots (T_0 - \eta_l^{i_{j,l[j]}} q^{r_{i_{j,l[j]}}}) = 0,$$
  
$$(T_x - q^r) (T_x + 1) = 0 \quad \text{for } x = 1, \dots, n_j - 1.$$

Note, however, that  $\mathbf{H}_{n_j}^{\mathbf{m}^j,r}$  is not a cyclotomic Ariki–Koike algebra in general, because  $l[j] \neq l$ . The specialization  $\theta : \mathbb{Z}[\eta_l][q^{\pm 1}] \to K(\eta)$  such that  $\theta(q) = \eta$  defines a specialized algebra  $K(\eta)\mathbf{H}_{n_j}^{\mathbf{m}^j,r}$ , and we have an associated decomposition matrix

$$D_{n_j}^j = \left( \left[ V^{\boldsymbol{\lambda}} : M \right] \right)_{\boldsymbol{\lambda} \in \Pi_{n_j}^{l[j]}, M \in \operatorname{Irr}(K(\eta) \mathbf{H}_{n_j}^{\mathbf{m}^j, r})}.$$

In [8], Dipper and Mathas have shown that  $K(\eta)\mathcal{H}_n^{\mathbf{m},r}$  is Morita equivalent to the algebra

$$\bigoplus_{\substack{n_1,\ldots,n_p\geq 0\\n_1+\cdots+n_n=n}} K(\eta) \mathbf{H}_{n_1}^{\mathbf{m}^1,r} \otimes_{K(\eta)} K(\eta) \mathbf{H}_{n_2}^{\mathbf{m}^2,r} \otimes_{K(\eta)} \cdots \otimes_{K(\eta)} K(\eta) \mathbf{H}_{n_p}^{\mathbf{m}^p,r}$$

Thus, for a suitable ordering of the rows and columns, *D* has the form of a block diagonal matrix where each block is given by  $D_{n_1}^1 \otimes \cdots \otimes D_{n_p}^p$  with  $n_1 + \cdots + n_p = n$ . More precisely, we have the following result ([8, Proposition 4.11]):

**Theorem 5.3** (Dipper–Mathas) Let  $\lambda \in \Pi_n^l$  and  $M \in \operatorname{Irr}(K(\eta)\mathcal{H}_n^{\mathbf{m},r})$ . There exist integers  $n_1, \ldots, n_p \ge 0$  with  $n_1 + \cdots + n_p = n$ , and  $M_j \in \operatorname{Irr}(K(\eta)\mathcal{H}_{n_j}^{\mathbf{m}^j,r})$  such that

$$\begin{bmatrix} V^{\boldsymbol{\lambda}} : M \end{bmatrix} = \begin{cases} \prod_{1 \le j \le p} [V^{\pi_j(\boldsymbol{\lambda})} : M_j], & if \pi_j(\boldsymbol{\lambda}) \in \Pi_{n_j}^{l[j]} \, \forall j \in [1, p] \\ 0, & otherwise. \end{cases}$$

**5.4** We now fix  $j \in \{1, ..., p\}$ . By definition of  $\mathbf{I}_j = (i_{j,1}, i_{j,2}, ..., i_{j,l[j]})$ , one may assume that, for all t = 1, ..., l[j], there exist  $s_t \in \mathbb{Z}$  such that

$$\eta_l^{i_{j,t}-i_{j,1}}\eta^{r_{i_{j,t}}-r_{i_{j,1}}} = \eta^{rs_l}$$

(with  $s_1 = 0$ ). We have

$$r_{i_{j,t}} - r_{i_{j,1}} = rs_t - e(i_{j,t} - i_{j,1})/(kl),$$

whence we deduce the following relation:

$$m_{i_{j,t}} - m_{i_{j,1}} = s_t - e(i_{j,t} - i_{j,1})/(klr).$$
(5)

Set  $\mathbf{s}^{j} := (s_1, \dots, s_{l[j]}).$ 

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**5.5** Keeping the above notation, let us consider the Ariki–Koike algebra  $K(\eta)\mathcal{H}_{n_j}^{\mathbf{m}^j,r}$  of type  $G(l[j], 1, n_j)$  (with  $n_j \leq n$ ) with relations

$$(T_0 - \eta_l^{i_{j,l}} \eta^{r_{i_{j,l}}}) (T_0 - \eta_l^{i_{j,2}} \eta^{r_{i_{j,2}}}) \cdots (T_0 - \eta_l^{i_{j,l[j]}} \eta^{r_{i_{j,l[j]}}}) = 0,$$
  
( $T_x - \eta^r$ )( $T_x + 1$ ) = 0 for  $x = 1, \dots, n_j - 1$ .

This is then isomorphic to the Ariki-Koike algebra with relations

$$(T_0 - \eta^{r_{s_1}})(T_0 - \eta^{r_{s_2}}) \cdots (T_0 - \eta^{r_{s_{l(j)}}}) = 0,$$
  
 $(T_x - \eta^r)(T_x + 1) = 0 \quad \text{for } x = 1, \dots, n_j - 1.$ 

The following is a direct consequence of [11, Theorem 6.7.2].

**Proposition 5.6** Under the above hypothesis, there exists a set  $\Phi_{n_j}^{l[j]}(\mathbf{s}^j) \subset \Pi_{n_j}^{l[j]}$  with

$$\left|\Phi_{n_j}^{l[j]}\left(\mathbf{s}^j\right)\right| = \left|\operatorname{Irr}\left(K(\eta)\mathcal{H}_{n_j}^{\mathbf{m}^j,r}\right)\right|$$

such that the following property is satisfied: For any  $M \in \operatorname{Irr}(K(\eta)\mathcal{H}_{n_j}^{\mathbf{m}^j,r})$ , there exists a unique l[j]-partition  $\lambda_M \in \Phi_{n_j}^{l[j]}(\mathbf{s}^j)$  such that

- $[V^{\lambda_M}:M] = 1$  and
- $[V^{\lambda}:M] \neq 0$  for  $\lambda \in \Pi_{n_j}^{l[j]}$  only if  $\kappa_{\mathbf{m}^j}(\lambda_M) \triangleright \kappa_{\mathbf{m}^j}(\lambda)$  or  $\lambda = \lambda_M$ .

*Proof* For t = 1, 2, ..., l[j], set  $\underline{m}_{i_{j,t}} := s_t - e_{i_{j,t}}/(klr)$  and  $\underline{\mathbf{m}}^j := (\underline{m}_{i_{j,1}}, ..., \underline{m}_{i_{j,l[j]}})$ . By [11, Theorem 6.7.2], there exists a set  $\Phi_{n_j}^{l[j]} \subset \Pi_{n_j}^{l[j]}$  satisfying the property of the proposition except that  $\kappa_{\mathbf{m}^j}$  is replaced by  $\kappa_{\mathbf{m}^j}$ . By Equality (5), we have

$$\underline{m}_{i_{j,t}} = m_{i_{j,t}} - m_{i_{j,1}} + \underline{m}_{i_{j,1}}.$$

It easily follows that  $\kappa_{\mathbf{m}^{j}}(\boldsymbol{\lambda}_{M}) \triangleright \kappa_{\mathbf{m}^{j}}(\boldsymbol{\lambda})$  if and only if  $\kappa_{\mathbf{m}^{j}}(\boldsymbol{\lambda}_{M}) \triangleright \kappa_{\mathbf{m}^{j}}(\boldsymbol{\lambda})$ , which yields the desired result.

**5.7** In the following lemma, we use the notation introduced in Sect. 3.1. All multisets of rational numbers are considered ordered so that their elements form decreasing sequences.

**Lemma 5.8** Let  $\mu$  and  $\nu$  be two multisets of positive rational numbers. Assume that there exist multisets  $\mu^1, \mu^2, \ldots, \mu^h$  and  $\nu^1, \nu^2, \ldots, \nu^h$  such that

$$\mu = \bigsqcup_{i=1}^{h} \mu^{i}, \qquad \nu = \bigsqcup_{i=1}^{h} \nu^{i} \quad and \quad \mu^{i} \ge \nu^{i} \quad for \ all \ i = 1, \dots, h.$$

Then  $\mu \ge \nu$  (with the equality holding only when  $\mu^i = \nu^i$  for all i = 1, ..., h).

*Proof* If h = 1, there is nothing to prove. Suppose that h = 2, and let  $t \ge 1$ . We have  $\sum_{1 \le j \le t} \mu_j = \sum_{1 \le j \le t_1} \mu_j^1 + \sum_{1 \le j \le t_2} \mu_j^2$  for some  $t_1, t_2 \ge 1$  such that  $t_1 + t_2 = t$ , and  $\sum_{j=1}^t v_j = \sum_{1 \le j \le t'_1} v_j^1 + \sum_{1 \le j \le t'_2} v_j^2$  for some  $t'_1, t'_2 \ge 1$  such that  $t'_1 + t'_2 = t$ . Suppose that  $t_1 \ge t'_1$ . Then  $t_2 \le t'_2$ , and we have

$$\sum_{1 \le j \le t} \mu_j = \sum_{1 \le j \le t_1'} \mu_j^1 + \sum_{t_1' + 1 \le j \le t_1} \mu_j^1 + \sum_{1 \le j \le t_2} \mu_j^2.$$

Now,

$$\sum_{1 \le j \le t'_1} \mu_j^1 + \sum_{t'_1 + 1 \le j \le t_1} \mu_j^1 + \sum_{1 \le j \le t_2} \mu_j^2 \ge \sum_{1 \le jt'_1} \mu_j^1 + \sum_{t_2 + 1 \le j \le t'_2} \mu_j^2 + \sum_{1 \le j \le t_2} \mu_j^2$$
$$\ge \sum_{1 \le j \le t'_1} \nu_j^1 + \sum_{1 \le j \le t'_2} \nu_j^2$$

and we can conclude because

$$\sum_{1 \le j \le t_1'} \nu_j^1 + \sum_{1 \le j \le t_2'} \nu_j^2 = \sum_{1 \le j \le t} \nu_j.$$

Induction yields the result for h > 2.

**5.9** We are now in position to prove the main result of this section:

**Theorem 5.10** In the setting of Sect. 4.3, the algebra  $\mathcal{H}_n^{\mathbf{m},r}$  admits a canonical basic set  $\mathcal{B}_{\theta}$  with respect to any specialization  $\theta : \mathbb{Z}[\eta_l][q^{\pm 1}] \to K(\eta)$  such that  $\theta(q) = \eta \in \mathbb{C}^*$ , i.e., there exists a set  $\mathcal{B}_{\theta} \subset \Pi_n^l$  with

$$|\mathcal{B}_{\theta}| = \left| \operatorname{Irr} \left( K(\eta) \mathcal{H}_{n}^{\mathbf{m},r} \right) \right|$$

such that the following property is satisfied: For any  $M \in Irr(K(\eta)\mathcal{H}_n^{\mathbf{m},r})$ , there exists a unique *l*-partition  $\lambda_M \in \mathcal{B}_{\theta}$  such that

- $[V^{\lambda_M}:M] = 1$  and
- $[V^{\lambda}: M] \neq 0$  for  $\lambda \in \Pi_n^l$  only if  $\mathbf{a}^{(\mathbf{m},r)}(\lambda) > \mathbf{a}^{(\mathbf{m},r)}(\lambda_M)$  or  $\lambda = \lambda_M$ .

In addition, we have that  $\lambda \in \mathcal{B}_{\theta}$  if and only if there exist integers  $n_1, \ldots, n_p \ge 0$  with  $n_1 + \cdots + n_p = n$  such that, for all  $j = 1, \ldots, p, \pi_j(\lambda) \in \Phi_{n_j}^{l[j]}(\mathbf{s}^j)$ .

Proof Let

$$B_{\theta} = \left\{ \boldsymbol{\lambda} \in \Pi_n^l \mid \exists n_1, \dots, n_p \ge 0, n_1 + \dots + n_p = n : \forall j \in [1, p], \ \pi_j(\boldsymbol{\lambda}) \in \Phi_{n_j}^{l[j]}(\mathbf{s}^j) \right\}.$$

First note that, by Sect. 5.2, we have

$$|\mathcal{B}_{\theta}| = \left| \operatorname{Irr} \left( K(\eta) \mathcal{H}_{n}^{\mathbf{m},r} \right) \right|.$$

Let  $\lambda \in \Pi_n^l$  and  $M \in \operatorname{Irr}(K(\eta)\mathcal{H}_n^{\mathbf{m},r})$ . By Proposition 5.3, there exist integers  $n_1, \ldots, n_p \ge 0$  with  $n_1 + \cdots + n_p = n$ , and  $M_j \in \operatorname{Irr}(K(\eta)\mathcal{H}_{n_j}^{\mathbf{m}^j,r})$  such that

$$\begin{bmatrix} V^{\lambda} : M \end{bmatrix} = \begin{cases} \prod_{1 \le j \le p} [V^{\pi_j(\lambda)} : M_j], & \text{if } \pi_j(\lambda) \in \Pi_{n_j}^{l[j]} \forall j \in [1, p], \\ 0, & \text{otherwise.} \end{cases}$$
(6)

We consider the *l*-partition  $\lambda_M \in \Pi_n^l$  such that  $\pi_j(\lambda_M) = \lambda_{M_j}$  for all j = 1, ..., p, where  $\lambda_{M_j} \in \Phi_{n_j}^{l[j]}(\mathbf{s}^j)$  is defined in Proposition 5.6. Note that we have  $\lambda_M \in \mathcal{B}_{\theta}$  and  $[V^{\lambda_M} : M] = 1$ .

Now let  $\lambda \in \Pi_n^l$ ,  $\lambda \neq \lambda_M$ . Following (6) and Proposition 5.6, if  $[V^{\lambda} : M] \neq 0$ , then, for all j = 1, ..., p,

either 
$$\pi_j(\boldsymbol{\lambda}) = \boldsymbol{\lambda}_{M_j} = \pi_j(\boldsymbol{\lambda}_M)$$
 or  $\kappa_{\mathbf{m}^j}(\pi_j(\boldsymbol{\lambda}_M)) \triangleright \kappa_{\mathbf{m}^j}(\pi_j(\boldsymbol{\lambda}))$ 

By the definition of  $\kappa_{\mathbf{m}}$ , we have  $\kappa_{\mathbf{m}}(\boldsymbol{\lambda}) = \bigsqcup_{j=1}^{p} \kappa_{\mathbf{m}^{j}}(\pi_{j}(\boldsymbol{\lambda}))$ . By Lemma 5.8, since  $\boldsymbol{\lambda} \neq \boldsymbol{\lambda}_{M}$ , we must have  $\kappa_{\mathbf{m}}(\boldsymbol{\lambda}_{M}) \triangleright \kappa_{\mathbf{m}}(\boldsymbol{\lambda})$ . The result follows now from Proposition 4.4.

*Remark 5.11* If r = 1, then the elements of  $\mathcal{B}_{\theta}$  are the *e*-Uglov *l*-partitions of *n*. (cf. [15, Definition 3.2]). For r > 1, we will refer to the elements of  $\mathcal{B}_{\theta}$  as generalized *e*-Uglov *l*-partitions of *n*.

**5.12** Let us give an example, where we calculate the canonical basic set when n = 2, r = 6 and  $\mathbf{m} = (1/2, -1/6, -1/3)$ . Consider the cyclotomic Ariki–Koike algebra  $\mathcal{H}_2^{\mathbf{m},r}$  of type G(3, 1, 2), with generators  $T_0, T_1$  and relations

$$T_0 T_1 T_0 T_1 = T_1 T_0 T_1 T_0, \qquad (T_0 - q^3) (T_0 - \eta_3 q^{-1}) (T_0 - \eta_3^2 q^{-2}) = 0,$$
  
(T\_1 - q^6) (T\_1 + 1) = 0.

Let  $\theta : \mathbb{Z}[\eta_3][q^{\pm 1}] \to \mathbb{Q}(\eta_{12})$  be a specialization such that  $\theta(q) = \eta_{12}$  (we have e = 12 and k = 1). Then the specialized Ariki–Koike algebra  $\mathbb{Q}(\eta_{12})\mathcal{H}_2^{\mathbf{m},r}$  is generated by  $T_0$  and  $T_1$  with relations

$$T_0T_1T_0T_1 = T_1T_0T_1T_0,$$
  $(T_0 - \eta_4)^2(T_0 + 1) = 0,$   $(T_1 + 1)^2 = 0.$ 

By [8, Theorem 1.1], the specialized Ariki–Koike algebra  $\mathbb{Q}(\eta_{12})\mathcal{H}_2^{\mathbf{m},r}$  is Morita equivalent to the algebra

$$\mathbb{Q}(\eta_{12})\mathbf{H}_{2}^{\mathbf{m}^{1},r} \oplus \left(\mathbb{Q}(\eta_{12})\mathbf{H}_{1}^{\mathbf{m}^{1},r} \otimes \mathbb{Q}(\eta_{12})\mathbf{H}_{1}^{\mathbf{m}^{2},r}\right) \oplus \mathbb{Q}(\eta_{12})\mathbf{H}_{2}^{\mathbf{m}^{2},r},$$

where  $\mathbf{m}^1 = (1/2, -1/6)$  and  $\mathbf{m}^2 = (-1/3)$ . We now have

• the algebra  $\mathbb{Q}(\eta_{12})\mathbf{H}_2^{\mathbf{m}^{1,r}}$  is isomorphic to the cyclotomic Ariki–Koike algebra of type  $G(2, 1, 2) \cong B_2$  with generators  $T_0$  and  $T_1$ , and relations

$$T_0T_1T_0T_1 = T_1T_0T_1T_0,$$
  $(T_0 - \eta_4)^2 = 0,$   $(T_1 + 1)^2 = 0,$ 

- the algebra Q(η<sub>12</sub>)H<sub>1</sub><sup>m<sup>1</sup>,r</sup> is isomorphic to the cyclotomic Ariki–Koike algebra of type G(2, 1, 1) ≅ Z/2Z with quadratic relation (T<sub>0</sub> − η<sub>4</sub>)<sup>2</sup> = 0,
- the algebra  $\mathbb{Q}(\eta_{12})\mathbf{H}_1^{\mathbf{m}^2,r}$  is isomorphic to the algebra of the trivial group, and
- the algebra  $\mathbb{Q}(\eta_{12})\mathbf{H}_2^{\mathbf{m}^2,r}$  is isomorphic to the cyclotomic Ariki–Koike algebra of type  $G(1, 1, 2) \cong \mathfrak{S}_2$  with quadratic relation  $(T_1 + 1)^2 = 0$ .

Keeping the notation of Sect. 5.4 and Proposition 5.6, we obtain

- $\Phi_2^2(\mathbf{s}^1) = \{((2), \emptyset), ((1), (1))\},\$
- $\Phi_1^{\overline{2}}(\mathbf{s}^1) = \{((1), \emptyset)\},\$
- $\Phi_1^1(\mathbf{s}^2) = \{(1)\},\$
- $\Phi_2^1(\mathbf{s}^2) = \{(2)\}.$

Therefore, the canonical basic set with respect to  $\theta$  for  $\mathcal{H}_2^{\mathbf{m},r}$  is

$$\mathcal{B}_{\theta} = \left\{ \left( (2), \emptyset, \emptyset \right), \left( (1), (1), \emptyset \right), \left( (1), \emptyset, (1) \right), \left( \emptyset, \emptyset, (2) \right) \right\}.$$

## 6 Canonical basic sets for cyclotomic Hecke algebras of type G(l, p, n)

The purpose of this last part is to deduce from the last section the existence of the explicit parametrization of the basic sets for Cyclotomic Hecke algebra of type G(l, p, n).

**6.1** Let l, p, n be three positive integers such that either n > 2, or n = 2 and p is odd. Set d := l/p. Let  $r \in \mathbb{Z}_{>0}$  and let  $r_0, r_1, \ldots, r_{d-1}$  be any integers. For all  $i = 0, \ldots, d-1$ , we set  $m_i := r_i/(pr)$  and we define  $\mathbf{m} := (m_0, \ldots, m_{d-1}, m_0, \ldots, m_{d-1}, \ldots, m_{d-1}) \in \mathbb{Q}^l$  (where the *d*-tuple  $(m_0, \ldots, m_{d-1})$  is repeated p times). We consider the cyclotomic Hecke algebra  $\mathcal{H}_{p,n}^{\mathbf{m},pr}$  of type G(l, p, n) over  $\mathbb{Z}[\eta_l][q^{\pm 1}]$  with presentation as follows:

- generators:  $t_0, t_1, \ldots, t_n$ ,
- relations:

$$(t_0 - q^{pr_0})(t_0 - \eta_d q^{pr_1}) \cdots (t_0 - \eta_d^{d-1} q^{pr_{d-1}}) = 0,$$
  
$$(t_j - q^{pr})(t_j + 1) = 0 \quad \text{for } j = 1, \dots, n$$

and the braid relations

 $- t_{1}t_{3}t_{1} = t_{3}t_{1}t_{3}, t_{j}t_{j+1}t_{j} = t_{j+1}t_{j}t_{j+1} \text{ for } j = 2, \dots, n-1,$  $- t_{1}t_{2}t_{3}t_{1}t_{2}t_{3} = t_{3}t_{1}t_{2}t_{3}t_{1}t_{2},$  $- t_{1}t_{j} = t_{j}t_{1} \text{ for } j = 4, \dots, n,$  $- t_{i}t_{j} = t_{j}t_{i} \text{ for } 2 \le i < j \le n \text{ with } j - i > 1,$  $- t_{0}t_{j} = t_{j}t_{0} \text{ for } j = 3, \dots, n,$  $- t_{0}t_{1}t_{2} = t_{1}t_{2}t_{0},$  $- \underbrace{t_{2}t_{0}t_{1}t_{2}t_{1}t_{2}t_{1}\dots}_{p+1 \text{ factors}} = \underbrace{t_{0}t_{1}t_{2}t_{1}t_{2}t_{1}t_{2}\dots}_{p+1 \text{ factors}}$  **6.2** Let us denote by *G* the cyclic group of order *p*. The algebra  $\mathcal{H}_{p,n}^{\mathbf{m},pr}$  can be viewed as a subalgebra of index *p* of the cyclotomic Hecke algebra  $\mathcal{H}_{n}^{\mathbf{m},pr}$  of type G(l, 1, n): in fact,  $\mathcal{H}_{n}^{\mathbf{m},pr}$  is a "twisted symmetric algebra" of *G* over  $\mathcal{H}_{p,n}^{\mathbf{m},pr}$  (see [5, §5.5.1]). The action of *G* on Irr( $K(q)\mathcal{H}_{n}^{\mathbf{m},pr}$ ) corresponds to the action generated by the cyclic permutation by *d*-packages on the *l*-partitions of *n*:

$$\sigma: \boldsymbol{\lambda} = (\lambda^0, \dots, \lambda^{d-1}, \lambda^d, \dots, \lambda^{2d-1}, \dots, \lambda^{pd-d}, \dots, \lambda^{pd-1})$$
  
$$\mapsto {}^{\sigma}\boldsymbol{\lambda} = (\lambda^{pd-d}, \dots, \lambda^{pd-1}, \lambda^0, \dots, \lambda^{d-1}, \dots, \lambda^{pd-2d}, \dots, \lambda^{pd-d-1}).$$

By [6, Proposition 2.5], we have  $\mathbf{a}^{(\mathbf{m},pr)}(\boldsymbol{\lambda}) = \mathbf{a}^{(\mathbf{m},pr)}({}^{\sigma}\boldsymbol{\lambda})$ .

**6.3** In this section, we will use extensively some results known as "Clifford theory". For more details, the reader may refer to [5, §2.3] and [14]. At the end, we will be able to deduce the existence and the explicit parametrization of a canonical basic set for  $\mathcal{H}_{p,n}^{\mathbf{m},pr}$ . The proof below is inspired from [14, Proof of Theorem 3.1]. From now on, we will write  $\mathcal{H}$  for  $\mathcal{H}_n^{\mathbf{m},pr}$  and  $\bar{\mathcal{H}}$  for  $\mathcal{H}_{p,n}^{\mathbf{m},pr}$ . Let  $\theta : \mathbb{Z}[\eta_l][q^{\pm 1}] \to K(\eta)$  be a specialization such that  $\theta(q) = \eta \in \mathbb{C}^*$ . As before, one may assume that  $\eta$  is a primitive root of unity of order e > 1.

Let  $E \in \operatorname{Irr}(K(q)\overline{\mathcal{H}})$ . By Clifford theory, there exists  $V^{\lambda} \in \operatorname{Irr}(K(q)\mathcal{H})$  such that E is a composition factor of  $\operatorname{Res}_{\overline{\mathcal{H}}}^{\mathcal{H}}(V^{\lambda})$ . We write  $E^{\lambda}$  for E. Moreover, there is an action of G on  $\operatorname{Irr}(K(q)\overline{\mathcal{H}})$  such that, if we denote by  $\overline{\Omega}_{\lambda}$  the orbit of  $E^{\lambda}$  under the action of G, we have

$$\left[\operatorname{Res}_{\bar{\mathcal{H}}}^{\mathcal{H}}(V^{\lambda})\right] = \sum_{E \in \bar{\Omega}_{\lambda}} [E]$$

Let  $V \in \operatorname{Irr}(K(q)\mathcal{H})$ . The elements of  $\overline{\Omega}_{\lambda}$  appear as composition factors in  $\operatorname{Res}_{\mathcal{H}}^{\mathcal{H}}(V)$  if and only if  $V = {}^{g}V^{\lambda}$  for some  $g \in G$ . In particular, if  $\sigma$  is the map defined in Sect. 6.2, we have

$$\left[\operatorname{Res}_{\tilde{\mathcal{H}}}^{\mathcal{H}}(^{\sigma}V^{\lambda})\right] = \left[\operatorname{Res}_{\tilde{\mathcal{H}}}^{\mathcal{H}}(V^{\lambda})\right].$$

We deduce that

$$\operatorname{Irr}(K(q)\bar{\mathcal{H}}) = \{E \mid E \in \bar{\Omega}_{\lambda}, \lambda \in \Pi_n^l\}.$$

Now, if we denote by  $\Omega_{\lambda}$  the orbit of  $V^{\lambda}$  under the action of G, we have

$$|\Omega_{\lambda}||\bar{\Omega}_{\lambda}| = |G| = p.$$

(see [14, Lemma 2.2]). Thus,  $|\bar{\Omega}_{\lambda}| = |G_{\lambda}|$ , where  $G_{\lambda} := \{g \in G \mid {}^{g}\lambda = \lambda\}$ . Furthermore, applying the restriction functor  $\operatorname{Res}_{\tilde{\mathcal{H}}}^{\mathcal{H}}$  does not affect the **a**-value of simple modules over K(q) (see [5, Proposition 2.3.15]). Hence, we obtain

$$\mathbf{a}^{(\mathbf{m},pr)}(\boldsymbol{\lambda}) = \mathbf{a}^{(\mathbf{m},pr)}({}^{\sigma}\boldsymbol{\lambda}) = \mathbf{a}^{(\mathbf{m},pr)}(E), \quad \text{for all } E \in \bar{\Omega}_{\boldsymbol{\lambda}}.$$
 (7)

Now, to each simple  $K(\eta)\mathcal{H}$ -module M, one can attach an **a**-value as follows:

$$\mathbf{a}^{(\mathbf{m},pr)}(M) = \min\{\mathbf{a}^{(\mathbf{m},pr)}(\boldsymbol{\lambda}) \mid [V^{\boldsymbol{\lambda}}:M] \neq 0\}.$$

Respectively, to each simple  $K(\eta)\overline{\mathcal{H}}$ -module N, one can attach an **a**-value as follows:

$$\mathbf{a}^{(\mathbf{m},pr)}(N) = \min\{\mathbf{a}^{(\mathbf{m},pr)}(E) \mid E \in \operatorname{Irr}(K(q)\bar{\mathcal{H}}), \ [E:N] \neq 0\}.$$

Let  $N \in \operatorname{Irr}(K(\eta)\overline{\mathcal{H}})$ . By Clifford theory, there exists  $M \in \operatorname{Irr}(K(\eta)\mathcal{H})$  such that N is a composition factor of  $\operatorname{Res}_{\overline{\mathcal{H}}}^{\mathcal{H}}(M)$ . We write  $N_M$  for N. There is an action of G on  $\operatorname{Irr}(K(\eta)\overline{\mathcal{H}})$  such that, if we denote by  $\overline{\omega}_M$  the orbit of  $N_M$  under the action of G, we have

$$\left[\operatorname{Res}_{\bar{\mathcal{H}}}^{\mathcal{H}}(M)\right] = \sum_{N \in \bar{\omega}_M} [N].$$

By Theorem 5.10, the algebra  $\mathcal{H}$  admits a canonical basic set  $\mathcal{B}_{\theta}$  with respect to  $\theta$ . Thus, there exists  $\lambda_M \in \mathcal{B}_{\theta}$  such that the conditions of Theorem 5.10 are satisfied. By [6, Proposition 3.2], we also have  ${}^{\sigma}\lambda_M \in \mathcal{B}_{\theta}$ . Therefore, there exists  ${}^{\sigma}M \in \operatorname{Irr}(K(\eta)\mathcal{H})$  such that  ${}^{\sigma}\lambda_M = \lambda_{{}^{\sigma}M} \in \mathcal{B}_{\theta}$ . This action of *G* on  $\operatorname{Irr}(K(\eta)\mathcal{H})$  agrees with the action on  $\operatorname{Irr}(K(\eta)\mathcal{H})$ , that is

$$\left[\operatorname{Res}_{\bar{\mathcal{H}}}^{\mathcal{H}}(^{\sigma}M)\right] = \left[\operatorname{Res}_{\bar{\mathcal{H}}}^{\mathcal{H}}(M)\right] = \sum_{N \in \bar{\omega}_{M}} [N].$$

Let  $L \in \operatorname{Irr}(K(\eta)\mathcal{H})$ . The elements of  $\bar{\omega}_M$  appear as composition factors in  $\operatorname{Res}_{\bar{\mathcal{H}}}^{\mathcal{H}}(L)$  if and only if  $L = {}^g M$  for some  $g \in G$ .

By definition of  $\mathcal{B}_{\theta}$ , we get

$$\mathbf{a}^{(\mathbf{m},pr)}(M) = \mathbf{a}^{(\mathbf{m},pr)}(\boldsymbol{\lambda}_M) = \mathbf{a}^{(\mathbf{m},pr)}({}^{\sigma}\boldsymbol{\lambda}_M) = \mathbf{a}^{(\mathbf{m},pr)}({}^{\sigma}M)$$

and

$$\left[V^{\lambda_M}\right] = [M] + \sum_{\mathbf{a}^{(\mathbf{m},pr)}(L) < \mathbf{a}^{(\mathbf{m},pr)}(M)} \left[V^{\lambda_M} : L\right][L].$$

By definition of the  $\mathbf{a}$ -function and (7), we get

$$\mathbf{a}^{(\mathbf{m}, pr)}(M) = \mathbf{a}^{(\mathbf{m}, pr)}(N), \text{ for all } N \in \bar{\omega}_M.$$

Moreover, if *L* is a simple  $K(\eta)\mathcal{H}$ -module such that  $[V^{\lambda_M} : L] \neq 0$  and  $\mathbf{a}^{(\mathbf{m}, pr)}(L) < \mathbf{a}^{(\mathbf{m}, pr)}(M)$ , and  $N' \in \operatorname{Irr}(K(\eta)\overline{\mathcal{H}})$  is a composition factor of  $\operatorname{Res}_{\overline{\mathcal{H}}}^{\mathcal{H}}(L)$ , then

$$\mathbf{a}^{(\mathbf{m},pr)}(M) > \mathbf{a}^{(\mathbf{m},pr)}(N').$$

We deduce that

$$\left[\operatorname{Res}_{\bar{\mathcal{H}}}^{\mathcal{H}}(V^{\lambda_{M}})\right] = \left[\operatorname{Res}_{\bar{\mathcal{H}}}^{\mathcal{H}}(M)\right] + \left(\begin{array}{c} \text{sum of classes of simple modules with} \\ \mathbf{a} \text{-value strictly less than } \mathbf{a}^{(\mathbf{m}, pr)}(M) \end{array}\right)$$

whence we obtain

$$\sum_{E \in \bar{\Omega}_{\lambda_M}} [E] = \sum_{N \in \bar{\omega}_M} [N] + \left( \begin{array}{c} \text{sum of classes of simple modules with} \\ \mathbf{a} \text{-value strictly less than } \mathbf{a}^{(\mathbf{m}, pr)}(M) \end{array} \right)$$

Suppose that  $N_M$  is a composition factor of  $E^{\lambda_M}$ . Then  ${}^{\sigma}N_M$  is a composition factor of  ${}^{\sigma}E^{\lambda_M}$ , and, in general,  ${}^{g}N_M$  is a composition factor of  ${}^{g}E^{\lambda_M}$ , for all  $g \in G$ . This is possible only if  $|\bar{\omega}_M| = |\bar{\Omega}_{\lambda_M}| = |G_{\lambda_M}|$ . For  $g, h \in G_{\lambda_M}$ , we get

$$\begin{bmatrix} {}^{g}E^{\lambda_{M}}: {}^{h}N_{M} \end{bmatrix} = \begin{cases} 1, & \text{if } g = h, \\ 0, & \text{otherwise.} \end{cases}$$

Hence, we have

$$\begin{bmatrix} {}^{g}E^{\boldsymbol{\lambda}_{M}} \end{bmatrix} = \begin{bmatrix} {}^{g}N_{M} \end{bmatrix} + \begin{pmatrix} \text{sum of classes of simple modules with} \\ \mathbf{a} \text{-value strictly less than} \mathbf{a}^{(\mathbf{m}, pr)} {}^{(g}N_{M}) \end{pmatrix}$$

Thus, we have proved the following result:

**Theorem 6.4** The algebra  $\overline{\mathcal{H}}$  admits a canonical basic set  $\overline{\mathcal{B}}_{\theta}$  with respect to any specialization  $\theta$  :  $\mathbb{Z}[\eta_l][q^{\pm 1}] \to K(\eta)$  such that  $\theta(q) = \eta \in \mathbb{C}^*$ , i.e., there exists a set  $\overline{\mathcal{B}}_{\theta} \subset \operatorname{Irr}(K(q)\overline{\mathcal{H}})$  with

$$|\bar{\mathcal{B}}_{\theta}| = \left| \operatorname{Irr}(K(\eta)\bar{\mathcal{H}}) \right|$$

such that the following property is satisfied: For any  $N \in \text{Irr}(K(\eta)\overline{\mathcal{H}})$ , there exists a unique  $E_N \in \overline{\mathcal{B}}_{\theta}$  such that

- $[E_N : N] = 1$  and
- $[E:N] \neq 0$  for  $E \in \operatorname{Irr}(K(q)\overline{\mathcal{H}})$  only if  $\mathbf{a}^{(\mathbf{m},pr)}(E) > \mathbf{a}^{(\mathbf{m},pr)}(E_N)$  or  $E = E_N$ .

In addition, we have that  $E \in \overline{\mathcal{B}}_{\theta}$  if and only if there exists  $\lambda \in \mathcal{B}_{\theta} \subset \Pi_n^l$  such that  $E \in \overline{\Omega}_{\lambda}$ .

*Remark 6.5* In this section, we have also shown that the assumptions of [14, Theorem 3.1], which yields the existence of canonical basic sets for cyclotomic Hecke algebras of type G(l, p, n), are satisfied for any choice of  $\overline{H}$ .

**6.6** Let us give an example where we will apply Theorem 6.4 in the case where  $G(l, p, n) = G(3, 3, 2) \cong \mathfrak{S}_3$ .<sup>1</sup> Note that we have d = 1, thus we can take  $\mathbf{m} = (0, 0, 0)$ . Let r = 2 and consider the cyclotomic Hecke algebra  $\mathcal{H}_{3,2}^{\mathbf{m},6}$  of type G(3, 3, 2), with generators  $t_1, t_2$  and relations

$$t_2 t_1 t_2 = t_1 t_2 t_1,$$
  $(t_1 - q^6)(t_1 + 1) = (t_2 - q^6)(t_2 + 1) = 0.$ 

The algebra  $\mathcal{H}_{3,2}^{\mathbf{m},6}$  is a subalgebra of index 3 of the cyclotomic Hecke algebra  $\mathcal{H}_2^{\mathbf{m},6}$  of type G(3, 1, 2) with generators  $T_0$ ,  $T_1$  and relations

$$T_0T_1T_0T_1 = T_1T_0T_1T_0, \qquad T_0^3 = 1, \qquad (T_1 - q^6)(T_1 + 1) = 0.$$

 $<sup>^{1}</sup>$  Of course, there is an easier way to deal with this case, but we simply want to illustrate the use of Theorem 6.4 in a small example.

Let  $\theta : \mathbb{Z}[\eta_3][q^{\pm 1}] \to \mathbb{Q}(\eta_{12})$  be a specialization such that  $\theta(q) = \eta_{12}$ . Then the specialized Hecke algebra  $\mathbb{Q}(\eta_{12})\mathcal{H}_2^{\mathbf{m},6}$  is generated by  $T_0$  and  $T_1$  with relations

$$T_0T_1T_0T_1 = T_1T_0T_1T_0, \qquad T_0^3 = 1, \qquad (T_1+1)^2 = 0.$$

By [8, Theorem 1.1], the specialized Hecke algebra  $\mathbb{Q}(\eta_{12})\mathcal{H}_2^{\mathbf{m},6}$  is Morita equivalent to the algebra

$$\bigoplus_{1+n_2+n_3=2} \mathbb{Q}(\eta_{12}) \mathbf{H}_{n_1}^{\mathbf{m}^1,6} \otimes \mathbb{Q}(\eta_{12}) \mathbf{H}_{n_2}^{\mathbf{m}^2,6} \otimes \mathbb{Q}(\eta_{12}) \mathbf{H}_{n_3}^{\mathbf{m}^3,6},$$

where  $\mathbf{m}^1 = \mathbf{m}^2 = \mathbf{m}^3 = (0)$ . Let  $j \in \{1, 2, 3\}$ . The algebra  $\mathbb{Q}(\eta_{12})\mathbf{H}_1^{\mathbf{m}^{j},6}$  is isomorphic to the algebra of the trivial group, and the algebra  $\mathbb{Q}(\eta_{12})\mathbf{H}_2^{\mathbf{m}^{j},6}$  is isomorphic to the cyclotomic Hecke algebra of type  $G(1, 1, 2) \cong \mathfrak{S}_2$  with quadratic relation  $(T_1 + 1)^2 = 0$ . Keeping the notation of Sect. 5.4 and Proposition 5.6, we have  $\Phi_1^1(\mathbf{s}^j) = \{(1)\}$  and  $\Phi_2^1(\mathbf{s}^j) = \{(2)\}$ . Therefore, the canonical basic set with respect to  $\theta$  for  $\mathcal{H}_2^{\mathbf{m},6}$  is

$$\mathcal{B}_{\theta} = \left\{ \left( (1), (1), \emptyset \right), \left( \emptyset, (1), (1) \right), \left( (1), \emptyset, (1) \right), \left( (2), \emptyset, \emptyset \right), \left( \emptyset, (2), \emptyset \right), \left( \emptyset, \emptyset, (2) \right) \right\}.$$

Following Theorem 6.4, the canonical basic set with respect to  $\theta$  for  $\mathcal{H}_{3,2}^{\mathbf{m},6}$  is

$$\bar{\mathcal{B}}_{\theta} = \{ E^{((1),(1),\emptyset)}, E^{((2),\emptyset,\emptyset)} \}.$$

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