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Minimal Polynomials of Algebraic Cosine Values, II

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Abstract

We derive alternative proofs of two recent results due to Gürtas, and a new recursion related to the minimal polynomials of algebraic cosine values. We determine the minimal polynomials of algebraic values of the sine function evaluated at rational multiples of π . We also correct a formula from our earlier work.

1 Introduction

In 1933, Lehmer proved the following result [3]: let $n \in \mathbb{N}$, n > 2, $k \in \{1, 2, ..., n\}$ with gcd(k, n) = 1. Then the value $2\cos(2k\pi/n)$ is an algebraic integer of degree $\varphi(n)/2$ (sequence <u>A023022</u> in the OEIS [7]) whose minimal polynomial is $\psi_n(x) \in \mathbb{Z}[x]$, where

$$\psi_n(x+x^{-1}) = x^{-\varphi(n)/2} \Phi_n(x), \tag{1}$$

where Φ_n is the nth cyclotomic polynomial and φ denotes Euler's totient function (sequence <u>A000010</u> in the OEIS).

The first and the third authors [8] derived some reduction formulae, based on the work of Surowski and McCombs, [6], that can be used to completely determine explicit forms of the polynomials $\psi_n(x)$. Almost simultaneously, Gürtas [2] considered the same problem, but used a different approach based on Ramanujan's trigonometric sum and its connection with the power sum function to derive a recursive relation among the coefficients of ψ_n , as well as certain other properties. The present work has three objectives.

- 1. To amend the formula in part IV of Theorem 5 of our earlier work [8].
- 2. To give alternative proofs of the last two results (Theorems 3.4 and 3.8) in [2] based on the results and ideas in [8].
- 3. To derive more useful recursive relations among the coefficients of ψ_n and to determine minimal polynomials of the sine values at rational multiples of π .

Since there is no universal agreement regarding the notation used in most of the works in this area, especially those appearing in [8] and [2], throughout this work we stick to the one employed in [8]. Throughout, let

$$X = x + x^{-1}, \quad X_s = x^s + x^{-s} \quad (s \in \mathbb{N}).$$

2 A correction

The formula stated in part IV of Theorem 5 in [8] is not correct as it was derived from the erroneous usage of (1) at n = 2. We now establish its correct version.

Proposition 1. For $e \in \mathbb{N}$, $e \geq 2$, the minimal polynomial of $2\cos(2k\pi/2^e)$, where $k \in \{1, 2, \ldots, 2^e\}$, gcd(k, 2) = 1, is

$$\psi_{2^{e}}(x) = \sum_{k=0}^{\lfloor 2^{e-3} \rfloor} (-1)^{k} \left(\binom{2^{e-2}-k}{k} + \binom{2^{e-2}-k-1}{k-1} \right) x^{2^{e-2}-2k}.$$

Proof. Following the approach in the proof of Theorem 5 part IV in [8], using (1) and the well-known relation (see e.g. [8, Lemma 3 B])

$$\Phi_{mq^e}(x) = \Phi_{mq}(x^{q^{e-1}}),$$

we have

$$\psi_{2^{e}}(X) = \psi_{2^{e}}\left(x + x^{-1}\right) = x^{-\varphi(2^{e})/2}\Phi_{2^{e}}(x) = x^{-2^{e-2}}\Phi_{2}(x^{2^{e-1}}).$$

Substituting $\Phi_2(T) = 1 + T$ [4, Example 2.46, p. 65], we get

$$\psi_{2^{e}}(X) = x^{-2^{e-2}} \left(1 + x^{2^{e-1}}\right) = x^{-2^{e-2}} + x^{2^{e-2}} = X_{2^{e-2}}$$
$$= \sum_{k=0}^{\lfloor 2^{e-2}/2 \rfloor} (-1)^{k} \left(\binom{2^{e-2}-k}{k} + \binom{2^{e-2}-k-1}{k-1} \right) X^{2^{e-2}-2k},$$

where the last equality comes from [8, Lemma 4]. Since the polynomial

$$\psi_{2^{e}}(X) - \sum_{k=0}^{\lfloor 2^{e-2}/2 \rfloor} (-1)^{k} \left(\binom{2^{e-2}-k}{k} + \binom{2^{e-2}-k-1}{k-1} \right) X^{2^{e-2}-2k}$$

vanishes for infinitely many real (or complex) values of X = x + 1/x, it is identically zero, i.e., all its coefficients are zero, and the result follows.

3 Alternative proofs of three results

In this section, based upon our work in [8], we give new proofs of the following two results due to Gürtas [2].

Theorem 2. ([2, Theorem 3.4]) Let $q \in \mathbb{N}$ be odd ≥ 3 , and let $d = \varphi(q)/2 = \varphi(2q)/2$. If

$$\psi_q(2x) = 2^d \sum_{i=0}^d (-1)^i e_i x^{d-i}$$

and

$$\psi_{2q}(2x) = 2^d \sum_{i=0}^d (-1)^i e'_i x^{d-i},$$

then $e_i = (-1)^i e'_i$ $(i = 0, 1, \dots, d)$.

Theorem 3. ([2, Theorem 3.8]) Let n > 4. If n is divisible by 4, then $\psi_n(2x)$ is a polynomial consisting of even powers of x only.

To prove Theorem 2, we need a lemma.

Lemma 4. Let $q \in \mathbb{N}$ be odd ≥ 3 , and let $d = \varphi(q)/2$. Then

$$\psi_{2q}(x) = (-1)^d \psi_q(-x).$$

Proof. Using (1) and [4, Problem 2.57 (d)], we have

$$\psi_{2q}(X) = \psi_{2q} \left(x + x^{-1} \right) = x^{-d} \Phi_{2q}(x) = x^{-d} \Phi_q(-x)$$
$$= x^{-d} (-x)^d \psi_q \left(-x - x^{-1} \right) = (-1)^d \psi_q(-X).$$

Since the polynomial (in X) expression $\psi_{2q}(X) - (-1)^d \psi_q(-X)$ vanishes for infinitely many real (or complex) values of X = x + 1/x, it must vanish identically, i.e., all its coefficients are identically zero, yielding $\psi_{2q}(x) = (-1)^d \psi_q(-x)$.

Proof of Theorem 2. Using Lemma 4, we get

$$2^{d} \sum_{i=0}^{d} (-1)^{i} e_{i}'(2x)^{d-i} = \psi_{2q}(2x) = (-1)^{d} \psi_{q}(-2x) = 2^{d} \sum_{i=0}^{d} (-1)^{i} e_{i}(-2x)^{d-i},$$

and the result follows at once from equating coefficients. \Box

To prove Theorem 3, we need another lemma.

Lemma 5. Let $e \in \mathbb{N}$, let p be a prime, and let $q \in \mathbb{N}$, q > 1 with gcd(q, p) = 1. Then

$$\psi_{p^e q}(X) = \frac{\psi_q(X_{p^e})}{\psi_q(X_{p^{e-1}})}.$$

Proof. Using (1) and the well-known relation (see e.g. [8, Lemma 3 B]) $\Phi_{mq^e}(x) = \Phi_{mq}(x^{q^{e-1}})$, we get

$$\begin{split} \psi_{p^{e}q}(X) &= \psi_{p^{e}q}\left(x + x^{-1}\right) = x^{-\varphi(p^{e}q)/2} \Phi_{p^{e}q}(x) = x^{-\varphi(p^{e}q)/2} \Phi_{pq}(x^{p^{e}-1}) \\ &= x^{-\varphi(p^{e}q)/2} \frac{\Phi_{q}\left((x^{p^{e-1}})^{p}\right)}{\Phi_{q}\left(x^{p^{e-1}}\right)} = x^{-\varphi(p^{e}q)/2} \frac{(x^{p^{e}})^{\varphi(q)/2} \psi_{q}(x^{p^{e}} + x^{-p^{e}})}{(x^{p^{e}-1})^{\varphi(q)/2} \psi_{q}(x^{p^{e}-1} + x^{-p^{e-1}})} \\ &= \frac{\psi_{q}\left(X_{p^{e}}\right)}{\psi_{q}(X_{p^{e-1}})}. \end{split}$$

Proof of Theorem 3. Let $n = 2^e q$, where $e \ge 2$ and q is an odd positive integer chosen such that $n = 2^e q > 4$. From Lemma 5, and [8, Lemma 4], i.e.,

$$X_s = \sum_{k=0}^{\lfloor s/2 \rfloor} (-1)^k \left(\binom{s-k}{k} + \binom{s-k-1}{k-1} \right) X^{s-2k},$$

with the convention that $\binom{n}{r} = 0$ for negative r, we have

$$\psi_n(2X) = \psi_{2^e q}(2X) = \frac{\psi_q\left(\sum_{k=0}^{2^e/2} (-1)^k \left(\binom{2^e-k}{k} + \binom{2^e-k-1}{k-1}\right)(2X)^{2^e-2k}\right)}{\psi_q\left(\sum_{k=0}^{2^{e-1}/2} (-1)^k \left(\binom{2^{e-1}-k}{k} + \binom{2^{e-1}-k-1}{k-1}\right)(2X)^{2^{e-1}-2k}\right)}.$$

Since the polynomial (in X)

$$\psi_{2^{e}q}(2X)\psi_{q}\left(\sum_{k=0}^{2^{e-1}/2}(-1)^{k}\left(\binom{2^{e-1}-k}{k}+\binom{2^{e-1}-k-1}{k-1}\right)(2X)^{2^{e-1}-2k}\right)$$
$$-\psi_{q}\left(\sum_{k=0}^{2^{e}/2}(-1)^{k}\left(\binom{2^{e}-k}{k}+\binom{2^{e}-k-1}{k-1}\right)(2X)^{2^{e}-2k}\right)$$

vanishes for infinitely many real values of X = x + 1/x, it must vanish identically, and since $\psi_s(X)$ is a monic polynomial, we identically have

$$\psi_n(2x)\psi_q\left(\sum_{k=0}^{2^{e-1}/2} (-1)^k \left(\binom{2^{e-1}-k}{k} + \binom{2^{e-1}-k-1}{k-1}\right) (2x)^{2^{e-1}-2k}\right)$$
$$= \psi_q\left(\sum_{k=0}^{2^{e}/2} (-1)^k \left(\binom{2^e-k}{k} + \binom{2^e-k-1}{k-1}\right) (2x)^{2^e-2k}\right).$$
(2)

Observe next that from $e \ge 2$, the arguments of ψ_q in the second factor on the left and in the right-hand expression, namely,

$$\sum_{k=0}^{2^{e}/2} (-1)^{k} \left(\binom{2^{e}-k}{k} + \binom{2^{e}-k-1}{k-1} \right) (2x)^{2^{e}-2k} \text{ and}$$
$$\sum_{k=0}^{2^{e-1}/2} (-1)^{k} \left(\binom{2^{e-1}-k}{k} + \binom{2^{e-1}-k-1}{k-1} \right) (2x)^{2^{e-1}-2k}$$

are polynomials with even exponents. Thus, in (2) the right-hand expression and the second polynomial factor on the left contain only even powers of x. This forces the polynomial $\psi_n(2x)$ to contain only even powers of x. \Box

We end this section by presenting another derivation of ψ_p [6, Theorem 2.1] based on the following binomial identity which is identity (1.60) in [1, p. 8].

$$\sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \binom{n-k}{k} (xy)^k (x+y)^{n-2k} = \frac{x^{n+1} - y^{n+1}}{x-y}.$$
 (3)

Theorem 6. Let p = 2s + 1 be an odd prime. The minimal polynomial of $2\cos(2\pi/p)$ is

$$\psi_p(x) = \sum_{j=0}^{\lfloor s/2 \rfloor} (-1)^j \binom{s-j}{j} x^{s-2j} - \sum_{j=1}^{\lfloor (s+1)/2 \rfloor} (-1)^j \binom{s-j}{j-1} x^{s-(2j-1)}.$$

Proof. Putting y = 1/x in (3), we get

$$\sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \binom{n-k}{k} \left(x+\frac{1}{x}\right)^{n-2k} = \frac{x^{n+1}-1/x^{n+1}}{x-1/x}.$$
(4)

Adopting the convention that $\binom{m}{j} = 0$ if j < 0, and using (4), we get

$$\sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \binom{n-k-1}{k-1} \left(x+\frac{1}{x}\right)^{n-2k} = \sum_{\ell=0}^{\lfloor n/2 \rfloor -1} (-1)^{\ell+1} \binom{n-\ell-2}{\ell} \left(x+\frac{1}{x}\right)^{n-2\ell-2} = -\frac{x^{n-1}-1/x^{n-1}}{x-1/x}.$$
(5)

Adding (4) and (5), we get

$$\sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \binom{n-k}{k} \left(x+\frac{1}{x}\right)^{n-2k} + \sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \binom{n-k-1}{k-1} \left(x+\frac{1}{x}\right)^{n-2k} = \frac{(x^2-1)(x^{n-1}+1/x^{n+1})}{x-1/x} = x^n + 1/x^n = X_n.$$
(6)

We claim that the sum of the X_n 's with odd and even indices are given, respectively, by

$$X_1 + X_3 + \dots + X_{2t+1} = \sum_{j=0}^{t} (-1)^j \binom{2t+1-j}{j} X^{2t+1-2j}$$
(7)

$$X_2 + X_4 + \dots + X_{2t} = \sum_{j=0}^{t-1} (-1)^j \binom{2t-j}{j} X^{2t-2j} - 2\delta_t,$$
(8)

where $\delta_t = 0$ for even t, and $\delta_t = 1$ for odd t. We begin with (7), which holds trivially for t = 0. Assume that it holds up to t - 1, i.e., assume

$$X_1 + X_3 + \dots + X_{2t-1} = \sum_{j=0}^{t-1} (-1)^j \binom{2t-1-j}{j} X^{2t-1-2j} = \sum_{k=1}^t (-1)^{k-1} \binom{2t-k}{k-1} X^{2t+1-2k}.$$
(9)

From (6), with n = 2t + 1, we get

$$X_{2t+1} = \sum_{k=0}^{t} (-1)^k \left(\binom{2t+1-k}{k} + \binom{2t-k}{k-1} \right) X^{2t+1-2k}$$
$$= X^{2t+1} + \sum_{k=1}^{t} (-1)^k \left(\binom{2t+1-k}{k} + \binom{2t-k}{k-1} \right) X^{2t+1-2k}.$$
(10)

The identity (7) follows from induction by adding (9) and (10). We proceed now to verify (8). When t = 1, the right-hand expression is equal to

$$X^{2} - 2 = \left(x + \frac{1}{x}\right)^{2} - 2 = x^{2} + \frac{1}{x^{2}} = X_{2},$$

and we are done in this case. Assume that it holds up to t - 1, i.e., assume that

$$X_{2} + X_{4} + \dots + X_{2t-2} = \sum_{j=0}^{t-2} (-1)^{j} \binom{2t-2-j}{j} X^{2t-2-2j} - 2\delta_{t-1}$$
$$= \sum_{k=1}^{t-1} (-1)^{k-1} \binom{2t-1-k}{k-1} X^{2t-2k} - 2\delta_{t-1}$$
(11)

From (6), with n = 2t, we get

$$X_{2t} = \sum_{k=0}^{t} (-1)^k \left(\binom{2t-k}{k} + \binom{2t-k-1}{k-1} \right) X^{2t-2k}$$
$$= X^{2t} + \sum_{k=1}^{t} (-1)^k \left(\binom{2t-k}{k} + \binom{2t-k-1}{k-1} \right) X^{2t-2k}.$$
(12)

Adding (11) and (12), we get

$$X_2 + \dots + X_{2t-2} + X_{2t} = X^{2t} + \sum_{k=1}^{t-1} (-1)^k \binom{2t-k}{k} X^{2t-2k} + (-1)^t 2 - 2\delta_{t-1},$$

and using the definition of δ_t , the identity (8) follows by induction.

From (1) and the shape of the p^{th} cyclotomic polynomial [4, Chapter 2], we get

$$\psi_p(X) = \psi_p\left(x + x^{-1}\right) = x^{-s}\Phi_p(x) = 1 + (x + x^{-1}) + \dots + (x^s + x^{-s}) = 1 + X_1 + \dots + X_s.$$

For odd s = 2t + 1, using (7) and (8) we have

$$\begin{split} \psi_p(X) &= (1 + X_2 + X_4 + \dots + X_{2t}) + (X_1 + X_3 + \dots + X_{2t+1}) \\ &= \left(1 + \sum_{j=0}^{t-1} (-1)^j \binom{2t-j}{j} X^{2t-2j} - 2\delta_t\right) + \left(\sum_{j=0}^t (-1)^j \binom{2t+1-j}{j} X^{2t+1-2j}\right) \\ &= \sum_{j=0}^t (-1)^j \binom{2t-j}{j} X^{2t-2j} + \sum_{j=0}^t (-1)^j \binom{2t+1-j}{j} X^{2t+1-2j} \\ &= \sum_{k=1}^{t+1} (-1)^{k-1} \binom{2t-k+1}{k-1} X^{2t+2-2k} + \sum_{j=0}^t (-1)^j \binom{2t+1-j}{j} X^{2t+1-2j}. \end{split}$$

For even s = 2t, using (7) and (8) we have

$$\psi_p(X) = (1 + X_2 + X_4 + \dots + X_{2t}) + (X_1 + X_3 + \dots + X_{2t-1})$$

= $\left(1 + \sum_{j=0}^{t-1} (-1)^j \binom{2t-j}{j} X^{2t-2j} - 2\delta_t\right) + \left(\sum_{j=0}^{t-1} (-1)^j \binom{2t-1-j}{j} X^{2t-1-2j}\right)$
= $\sum_{j=0}^t (-1)^j \binom{2t-j}{j} X^{2t-2j} + \sum_{k=1}^t (-1)^{k-1} \binom{2t-k}{k-1} X^{2t+1-2k},$

and the assertion of the theorem holds for $\psi_p(X)$. As argued before, this relation holds for infinitely many values of X = x + 1/x yielding it to be an identity, and the desired result follows.

4 More properties

Lemmas 4 and 5 enable us to deduce the next interesting result.

Theorem 7. Let $q \in \mathbb{N}$ be $odd \geq 3$, $d := \varphi(q)/2$.

- (a) We have $\psi_q(-X)\psi_q(X) = (-1)^d \psi_q(X^2 2);$
- (b) If $\psi_q(x) := \sum_{i=0}^{d} a_i x^i$, then for $\ell \in \{0, 1, ..., d\}$ we have

$$\sum_{\substack{0 \le i, j \le d \\ i+j=2\ell}} (-1)^i a_i a_j = (-1)^d \sum_{k=\ell}^d \binom{k}{\ell} a_k (-2)^{k-\ell}$$
$$\sum_{\substack{0 \le i, j \le d \\ i+j \text{ is odd}}} (-1)^i a_i a_j = 0.$$

Proof. (a) Taking p = 2, e = 1 in Lemma 5 and equating with the expression in Lemma 4, we get

$$\frac{\psi_q(X_2)}{\psi_q(X)} = \psi_{2q}(X) = (-1)^d \psi_q(-X).$$

The result follows at once from $X_2 = x^2 + x^{-2} = (x + x^{-1})^2 - 2 = X^2 - 2$. (b) From part (a), arguing as before we see that the polynomial

$$\psi_q(-X)\psi_q(X) - (-1)^d\psi_q(X^2 - 2)$$

vanishes identically, and since each polynomial ψ_q is monic, we get $\psi_q(-x)\psi_q(x) = (-1)^d \psi_q(x^2 - 2)$, i.e.,

$$\sum_{i=0}^{d} a_i (-x)^i \sum_{j=0}^{d} a_j x^j = (-1)^d \sum_{k=0}^{d} a_k (x^2 - 2)^k.$$

The first and second assertions follow from equating the coefficients of the even (respectively, odd) powers of x on both sides. The second assertion can also be verified by noting that since i+j is odd, the integers i and j have different parities. Therefore, $(-1)^i a_i a_j + (-1)^j a_j a_i = 0$ for every such pair.

4.1 Minimal polynomials of sine values

Lehmer [3, Theorem 2, p. 166] also proved the result about the values of the sine function at rational multiples of π . We now use an analysis in [5, Theorem 3.9, pp. 37–39], which amends inaccuracies in the case $n \equiv 4 \pmod{8}$ of [3, Theorem 2, p. 166], to determine the minimal polynomial of $\sin(2k\pi/n) \frac{A178182}{2}$.

Theorem 8. A. Let $n \in \mathbb{N}$, $k \in \{1, 2, ..., n\}$ with n > 2, $n \neq 4$ and gcd(k, n) = 1.

- (a) If n is odd, then $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is $\psi_{4n}(x)$ of degree $\varphi(n)$.
- (b) If $n \equiv 2 \pmod{4}$, then $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is $\psi_{2n}(x)$ of degree $\varphi(n)$.
- (c) If $n \equiv 0 \pmod{8}$, then $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is $\psi_n(x)$ of degree $\varphi(n)/2$.
- (d) If $n \equiv 4 \pmod{8}$, n > 4 and $k \equiv n/4 \pmod{4}$, then $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is $\psi_{n/4}(x)$ of degree $\varphi(n)/4$.
- (e) If $n \equiv 4 \pmod{8}$, n > 4 and $k \not\equiv n/4 \pmod{4}$, then $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is $\psi_{n/2}(x)$ of degree $\varphi(n)/4$.

B. If n = 1 or n = 2, then $2\sin(2\pi/n) = 0$ is an algebraic integer whose minimal polynomial is x. If n = 4, then $2\sin(2\pi/n) = 2$ is an algebraic integer whose minimal polynomial is x-2, while $2\sin(2\cdot 3\pi/n) = -2$ is an algebraic integer whose minimal polynomial is $\psi_2(x) = x+2$. *Proof.* A. Note that

$$2\sin\left(\frac{2k\pi}{n}\right) = 2\cos 2\pi\left(\frac{k}{n} - \frac{1}{4}\right) = 2\cos\left(\frac{2\pi(4k-n)}{4n}\right).$$

If n is odd, then the fraction (4k - n)/4n is in reduced form and so $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is the same as that of $2\cos\left(\frac{2\pi(4k-n)}{4n}\right)$, i.e., $\psi_{4n}(x)$ with deg $\psi_{4n} = \varphi(n)$.

If $n \equiv 2 \pmod{4}$, then $\frac{4k-n}{4n} = \frac{2k-n/2}{2n}$, where the last fraction is in reduced form. Thus, $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is that of $2\cos\left(\frac{2\pi(2k-n/2)}{2n}\right)$, i.e., $\psi_{2n}(x)$ with deg $\psi_{2n} = \varphi(2n)/2 = \varphi(n)$.

If $n \equiv 0 \pmod{4}$, then there are two subcases.

- If $n \equiv 0 \pmod{8}$, then $\frac{4k-n}{4n} = \frac{k-n/4}{n}$ is in reduced form because gcd(k, n) = 1. Thus, $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is that of $2\cos\left(\frac{2\pi(k-n/4)}{n}\right)$, i.e., $\psi_n(x)$ with deg $\psi_n = \varphi(n)/2$.
- If $n \equiv 4 \pmod{8}$, then the fraction (4k-n)/4n reduces to one with denominator n/4 in case $k \equiv n/4 \pmod{4}$ and denominator n/2 otherwise. In the former case, $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is that of $2\cos\left(\frac{2\pi(k-n/4)/4}{n/4}\right)$, i.e., $\psi_{n/4}(x)$ with degree $\varphi(n/4)/2 = \varphi(n)/4$. In the latter case, $2\sin(2k\pi/n)$ is an algebraic integer whose minimal polynomial is that of $2\cos\left(\frac{2\pi(k-n/4)/2}{n/2}\right)$, i.e., $\psi_{n/2}(x)$ with degree $\varphi(n/2)/2 = \varphi(n)/4$.

The assertions in part B are easily checked directly.

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