



A New Class of Refined Eulerian Polynomials

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Abstract

In this note we introduce a new class of refined Eulerian polynomials defined by

$$A_n(p, q) = \sum_{\pi \in \mathfrak{S}_n} p^{\text{odes}(\pi)} q^{\text{edes}(\pi)},$$

where $\text{odes}(\pi)$ and $\text{edes}(\pi)$ enumerate the number of descents of permutation π in odd and even positions, respectively. We show that the refined Eulerian polynomials $A_{2k+1}(p, q)$, $k = 0, 1, 2, \dots$, and $(1 + q)A_{2k}(p, q)$, $k = 1, 2, \dots$, have a nice symmetry property.

1 Introduction

Let $f(q) = a_r q^r + \dots + a_s q^s$ ($r \leq s$), with $a_r \neq 0$ and $a_s \neq 0$, be a real polynomial. The polynomial $f(q)$ is *palindromic* if $a_{r+i} = a_{s-i}$ for any i . Following Zeilberger [7], define the *darga* of $f(q)$ to be $r + s$. The set of all palindromic polynomials of darga n is a vector space [6] with gamma basis

$$\Gamma_n := \{q^i(1 + q)^{n-2i} \mid 0 \leq i \leq \lfloor n/2 \rfloor\}.$$

Let $f(p, q)$ be a nonzero bivariate polynomial. The polynomial $f(p, q)$ is *palindromic of darga n* if it satisfies the following two equations:

$$\begin{aligned} f(p, q) &= f(q, p), \\ f(p, q) &= (pq)^n f(1/p, 1/q). \end{aligned}$$

See Adin et al. [1] for details. It is known [4] that the set of all palindromic bivariate polynomials of darga n is a vector space with gamma basis

$$\mathcal{B}_n := \{(pq)^i(p+q)^j(1+pq)^{n-2i-j} \mid i, j \geq 0, 2i+j \leq n\}.$$

Let \mathfrak{S}_n denote the set of all permutations of the set $[n] := \{1, 2, \dots, n\}$. For a permutation $\pi = \pi_1\pi_2 \cdots \pi_n \in \mathfrak{S}_n$, an index $i \in [n-1]$ is a *descent* of π if $\pi_i > \pi_{i+1}$, and $\text{des}(\pi)$ denotes the number of descents of π . The classic Eulerian polynomial is defined as the generating polynomial for the statistic des over the set \mathfrak{S}_n , i.e.,

$$A_n(q) = \sum_{\pi \in \mathfrak{S}_n} q^{\text{des}(\pi)}.$$

Foata and Schützenberger [3] proved that the Eulerian polynomial $A_n(q)$ can be expressed in terms of the gamma basis Γ_n with nonnegative integer coefficients. A polynomial with nonnegative coefficients under the gamma basis Γ_n is palindromic and unimodal [5].

Ehrenborg and Readdy [2] studied the number of ascents in odd position on 0, 1-words. We define similar statistics on permutations. For a permutation $\pi \in \mathfrak{S}_n$, an index $i \in [n-1]$ is an *odd descent* of π if $\pi_i > \pi_{i+1}$ and i is odd, an *even descent* of π if $\pi_i > \pi_{i+1}$ and i is even, an *odd ascent* of π if $\pi_i < \pi_{i+1}$ and i is odd, an *even ascent* of π if $\pi_i < \pi_{i+1}$ and i is even. Let $\text{Odes}(\pi)$, $\text{Edes}(\pi)$, $\text{Oasc}(\pi)$ and $\text{Easc}(\pi)$ denote the set of all odd descents, even descents, odd ascents and even ascents of π , respectively. The corresponding cardinalities are $\text{odes}(\pi)$, $\text{edes}(\pi)$, $\text{oasc}(\pi)$ and $\text{easc}(\pi)$, respectively. Note that we can also define the above four statistics on words of length n . The joint distribution of odd and even descents on \mathfrak{S}_n is denoted by $A_n(p, q)$, i.e.,

$$A_n(p, q) = \sum_{\pi \in \mathfrak{S}_n} p^{\text{odes}(\pi)} q^{\text{edes}(\pi)}.$$

The polynomial $A_n(p, q)$ is a bivariate polynomial of degree $n-1$. The monomial with degree $n-1$ is $p^{\lfloor n/2 \rfloor} q^{\lfloor (n-1)/2 \rfloor}$ only. If $p = q$, then $A_n(p, q) = A_n(q)$ is the classic Eulerian polynomial. Thus $A_n(p, q)$, $n = 1, 2, \dots$, can be seen as a class of refined Eulerian polynomials. For example, we have

$$\begin{aligned} A_1(p, q) &= 1, \\ A_2(p, q) &= 1 + p, \\ A_3(p, q) &= 1 + 2p + 2q + pq, \\ A_4(p, q) &= 1 + 6p + 5q + 5p^2 + 6pq + p^2q, \\ A_5(p, q) &= 1 + 13p + 13q + 16p^2 + 34pq + 16q^2 + 13p^2q + 13pq^2 + p^2q^2, \\ A_6(p, q) &= 1 + 29p + 28q + 89p^2 + 152pq + 61q^2 + 61p^3 + 152p^2q \\ &\quad + 89pq^2 + 28p^3q + 29p^2q^2 + p^3q^2. \end{aligned}$$

For convenience, we denote

$$\tilde{A}_n(p, q) = \begin{cases} A_n(p, q), & \text{if } n = 2k + 1, \\ (1 + q)A_n(p, q), & \text{if } n = 2k. \end{cases}$$

Our main result is the following

Theorem 1. *For any $n = 1, 2, \dots$, the polynomial $\tilde{A}_n(p, q)$ is palindromic of darga $\lfloor \frac{n}{2} \rfloor$.*

In the next section we give a proof of Theorem 1. In Section 3 we study the case $q = 1$ and the case $p = 1$, the polynomials $A_n(p, 1)$ and $A_n(1, q)$ are the generating functions for the statistics odes and edes over the set \mathfrak{S}_n , respectively. In the last section, we propose a conjecture that $\tilde{A}_n(p, q)$ can be expressed in terms of the gamma basis $\mathcal{B}_{\lfloor \frac{n}{2} \rfloor}$ with nonnegative integer coefficients.

2 The proof of Theorem 1

Let $\pi = \pi_1\pi_2 \cdots \pi_n \in \mathfrak{S}_n$, we define the *reversal* π^r of π to be

$$\pi^r := \pi_n\pi_{n-1} \cdots \pi_1,$$

the *complement* π^c of π to be

$$\pi^c := (n + 1 - \pi_1)(n + 1 - \pi_2) \cdots (n + 1 - \pi_n),$$

and the *reversal-complement* π^{rc} of π to be

$$\pi^{rc} := (\pi^c)^r = (\pi^r)^c.$$

If i is a descent of π , then i is an ascent of π^c and if i is an ascent of π , then i is a descent of π^c . In other words, $\text{odes}(\pi) + \text{odes}(\pi^c) = \lfloor \frac{n}{2} \rfloor$ and $\text{edes}(\pi) + \text{edes}(\pi^c) = \lfloor \frac{n-1}{2} \rfloor$. Then

$$\begin{aligned} A_n(p, q) &= \sum_{\pi \in \mathfrak{S}_n} p^{\text{odes}(\pi)} q^{\text{edes}(\pi)} = \sum_{\pi \in \mathfrak{S}_n} p^{\lfloor \frac{n}{2} \rfloor - \text{odes}(\pi^c)} q^{\lfloor \frac{n-1}{2} \rfloor - \text{edes}(\pi^c)} \\ &= p^{\lfloor \frac{n}{2} \rfloor} q^{\lfloor \frac{n-1}{2} \rfloor} \sum_{\pi \in \mathfrak{S}_n} \left(\frac{1}{p}\right)^{\text{odes}(\pi^c)} \left(\frac{1}{q}\right)^{\text{edes}(\pi^c)} = p^{\lfloor \frac{n}{2} \rfloor} q^{\lfloor \frac{n-1}{2} \rfloor} A_n\left(\frac{1}{p}, \frac{1}{q}\right). \end{aligned}$$

Specially, for any $k = 1, 2, \dots$, we have $A_{2k}(p, q) = p^k q^{k-1} A_{2k}(1/p, 1/q)$ and for any $k = 0, 1, 2, \dots$, we have $A_{2k+1}(p, q) = (pq)^k A_{2k+1}(1/p, 1/q)$.

It can be derived that i is a descent of π if and only if i is an ascent of π^c . It is also easy to see that i is a descent of π if and only if $n - i$ is an ascent of π^r . Then, given a permutation $\pi = \pi_1\pi_2 \cdots \pi_{2k+1} \in \mathfrak{S}_{2k+1}$,

i is a descent of π if and only if $2k + 1 - i$ is a descent of π^{rc} .

Specially, i is an odd descent of π if and only if $2k + 1 - i$ is an even descent of π^{rc} , and i is an even descent of π if and only if $2k + 1 - i$ is an odd descent of π^{rc} . So we have

$$\tilde{A}_{2k+1}(p, q) = \sum_{\pi \in \mathfrak{S}_{2k+1}} p^{\text{odes}(\pi)} q^{\text{edes}(\pi)} = \sum_{\pi \in \mathfrak{S}_{2k+1}} p^{\text{edes}(\pi^{rc})} q^{\text{odes}(\pi^{rc})} = \tilde{A}_{2k+1}(q, p).$$

Thus for any $k = 1, 2, \dots$, the polynomial $\tilde{A}_{2k+1}(p, q)$ is palindromic of darga k .

In addition,

$$\tilde{A}_{2k}(p, q) = (1 + q)p^k q^{k-1} A_{2k} \left(\frac{1}{p}, \frac{1}{q} \right) = \left(1 + \frac{1}{q} \right) p^k q^k A_{2k} \left(\frac{1}{p}, \frac{1}{q} \right) = (pq)^k \tilde{A}_{2k+1} \left(\frac{1}{p}, \frac{1}{q} \right).$$

The last part is to prove that $\tilde{A}_{2k}(p, q) = \tilde{A}_{2k}(q, p)$, that is,

$$\sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{odes}(\pi)} [q^{\text{edes}(\pi)} + q^{\text{edes}(\pi)+1}] = \sum_{\pi \in \mathfrak{S}_{2k}} q^{\text{odes}(\pi)} [p^{\text{edes}(\pi)} + p^{\text{edes}(\pi)+1}].$$

Let $\mathfrak{S}'_{2k} = \{\pi(2k+1), \pi 0 \mid \pi \in \mathfrak{S}_{2k}\}$, $\mathfrak{S}''_{2k} = \{(2k+1)\pi, 0\pi \mid \pi \in \mathfrak{S}_{2k}\}$, and let $\pi = \pi_1 \pi_2 \cdots \pi_{2k} \in \mathfrak{S}_{2k}$. Define a map $\psi : \mathfrak{S}'_{2k} \rightarrow \mathfrak{S}''_{2k}$ by

$$\psi(\pi x) = \begin{cases} (2k+1)(2k+1-\pi_{2k})(2k+1-\pi_{2k-1}) \cdots (2k+1-\pi_1), & \text{if } x = 0, \\ 0(2k+1-\pi_{2k})(2k+1-\pi_{2k-1}) \cdots (2k+1-\pi_1), & \text{if } x = 2k+1. \end{cases}$$

Given a permutation $\pi \in \mathfrak{S}_{2k}$, it is no hard to see that

$$\begin{aligned} \text{odes}(\pi(2k+1)) &= \text{odes}(\pi), & \text{edes}(\pi(2k+1)) &= \text{edes}(\pi), \\ \text{odes}(\pi 0) &= \text{odes}(\pi), & \text{edes}(\pi 0) &= \text{edes}(\pi) + 1, \\ \text{odes}((2k+1)\pi) &= \text{odes}(\pi) + 1, & \text{edes}((2k+1)\pi) &= \text{odes}(\pi), \\ \text{odes}(0\pi) &= \text{odes}(\pi), & \text{edes}(0\pi) &= \text{odes}(\pi). \end{aligned}$$

Thus

$$\begin{aligned} \text{odes}(\psi(\pi(2k+1))) &= \text{odes}(0\pi^{rc}) = \text{edes}(\pi^{rc}), \\ \text{edes}(\psi(\pi(2k+1))) &= \text{edes}(0\pi^{rc}) = \text{odes}(\pi^{rc}), \\ \text{odes}(\psi(\pi 0)) &= \text{odes}((2k+1)\pi^{rc}) = \text{edes}(\pi^{rc}) + 1, \\ \text{edes}(\psi(\pi 0)) &= \text{edes}((2k+1)\pi^{rc}) = \text{odes}(\pi^{rc}). \end{aligned}$$

Obviously, the map ψ is an involution. Then

$$\begin{aligned}
& \sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{odes}(\pi)} [q^{\text{edes}(\pi)} + q^{\text{edes}(\pi)+1}] \\
&= \sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{odes}(\pi(2k+1))} q^{\text{edes}(\pi(2k+1))} + \sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{odes}(\pi 0)} q^{\text{edes}(\pi 0)} \\
&= \sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{odes}(\psi(\pi(2k+1)))} q^{\text{edes}(\psi(\pi(2k+1)))} + \sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{odes}(\psi(\pi 0))} q^{\text{edes}(\psi(\pi 0))} \\
&= \sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{edes}(\pi^{rc})} q^{\text{odes}(\pi^{rc})} + \sum_{\pi \in \mathfrak{S}_{2k}} p^{\text{edes}(\pi^{rc})+1} q^{\text{odes}(\pi^{rc})} \\
&= \sum_{\pi \in \mathfrak{S}_{2k}} q^{\text{odes}(\pi)} [p^{\text{edes}(\pi)} + p^{\text{edes}(\pi)+1}].
\end{aligned}$$

Thus for any $k = 1, 2, \dots$, the polynomial $\tilde{A}_{2k}(p, q)$ is palindromic of darga k . This completes the proof.

3 The case $p = 1$ and the case $q = 1$

If $q = 1$, the polynomial $A_n(p, 1)$ is the generating function for the statistic odes over the set \mathfrak{S}_n , and if $p = 1$, the polynomial $A_n(1, q)$ is the generating function for the statistic edes over the set \mathfrak{S}_n . More precisely, we have

Proposition 2. *Let n be a positive integer. Then*

$$\sum_{\pi \in \mathfrak{S}_n} p^{\text{odes}(\pi)} = A_n(p, 1) = \frac{n!}{2^{\lfloor \frac{n}{2} \rfloor}} (1+p)^{\lfloor \frac{n}{2} \rfloor}, \quad (1)$$

and

$$\sum_{\pi \in \mathfrak{S}_n} q^{\text{edes}(\pi)} = A_n(1, q) = \frac{n!}{2^{\lfloor \frac{n-1}{2} \rfloor}} (1+q)^{\lfloor \frac{n-1}{2} \rfloor}. \quad (2)$$

Proof. It is easy to verify that the equalities 1 and 1 are true for $n = 1$ and $n = 2$. Let $n \geq 3$ and let $\pi = \pi_1 \pi_2 \cdots \pi_n \in \mathfrak{S}_n$. For any $i = 1, 2, \dots, \lfloor n/2 \rfloor$, define a map $\varphi_i : \mathfrak{S}_n \rightarrow \mathfrak{S}_n$ by

$$\varphi_i(\pi) = \pi_1 \pi_2 \cdots \pi_{2i} \pi_{2i-1} \cdots \pi_n,$$

i.e., $\varphi_i(\pi)$ is obtained by swapping π_{2i} with π_{2i-1} in π . Obviously, the map φ_i is an involution, $i = 1, 2, \dots, \lfloor n/2 \rfloor$, and φ_i and φ_j commute for all $i, j \in \{1, 2, \dots, \lfloor n/2 \rfloor\}$. For any subset $S \subseteq \{1, 2, \dots, \lfloor n/2 \rfloor\}$, we define a map $\varphi_S : \mathfrak{S}_n \rightarrow \mathfrak{S}_n$ by

$$\varphi_S(\pi) = \prod_{i \in S} \varphi_i(\pi).$$

The group $\mathbb{Z}_2^{\lfloor n/2 \rfloor}$ acts on \mathfrak{S}_n via the maps $\varphi_S, S \subseteq \{1, 2, \dots, \lfloor n/2 \rfloor\}$. For any $\pi \in \mathfrak{S}_n$, let $\text{Orb}^*(\pi)$ denote the orbit including π under the group action. There is a unique permutation in $\text{Orb}^*(\pi)$, denoted by $\hat{\pi}$, such that

$$\hat{\pi}_1 < \hat{\pi}_2, \hat{\pi}_3 < \hat{\pi}_4, \dots, \hat{\pi}_{2\lfloor n/2 \rfloor - 1} < \hat{\pi}_{2\lfloor n/2 \rfloor}.$$

It is not hard to prove that $\text{odes}(\hat{\pi}) = 0$ and $\text{odes}(\varphi_S(\hat{\pi})) = |S|$ for any $S \subseteq \{1, 2, \dots, \lfloor n/2 \rfloor\}$. Then

$$\sum_{\sigma \in \text{Orb}^*(\pi)} p^{\text{odes}(\sigma)} = (1 + p)^{\lfloor \frac{n}{2} \rfloor}.$$

Let \mathfrak{S}_n^* consist of all the permutations in \mathfrak{S}_n such that

$$\pi_1 < \pi_2, \pi_3 < \pi_4, \dots, \pi_{2\lfloor n/2 \rfloor - 1} < \pi_{2\lfloor n/2 \rfloor}.$$

The cardinality of the set \mathfrak{S}_n^* is

$$\binom{n}{2} \binom{n-2}{2} \dots \binom{n+2-2\lfloor \frac{n}{2} \rfloor}{2} = \frac{n!}{2^{\lfloor \frac{n}{2} \rfloor}}.$$

Then

$$\sum_{\pi \in \mathfrak{S}_n} p^{\text{odes}(\pi)} = A_n(p, 1) = \frac{n!}{2^{\lfloor \frac{n}{2} \rfloor}} (1 + p)^{\lfloor \frac{n}{2} \rfloor}.$$

Similarly, for any $i = 1, 2, \dots, \lfloor (n-1)/2 \rfloor$, we define a map $\phi_i : \mathfrak{S}_n \rightarrow \mathfrak{S}_n$ by

$$\phi_i(\pi) = \pi_1 \cdots \pi_{2i+1} \pi_{2i} \cdots \pi_n,$$

i.e., $\phi_i(\pi)$ is obtained by swapping π_{2i} with π_{2i+1} in π . Obviously, the map ϕ_i is an involution, $i = 1, 2, \dots, \lfloor (n-1)/2 \rfloor$, and ϕ_i and ϕ_j commute for all $i, j \in \{1, 2, \dots, \lfloor (n-1)/2 \rfloor\}$. For any subset $S \subseteq \{1, 2, \dots, \lfloor (n-1)/2 \rfloor\}$, we define a map $\phi_S : \mathfrak{S}_n \rightarrow \mathfrak{S}_n$ by

$$\phi_S(\pi) = \prod_{i \in S} \phi_i(\pi).$$

The group $\mathbb{Z}_2^{\lfloor (n-1)/2 \rfloor}$ acts on \mathfrak{S}_n via the maps $\phi_S, S \in [\lfloor (n-1)/2 \rfloor]$. For any $\pi \in \mathfrak{S}_n$, let $\text{Orb}^{**}(\pi)$ denote the orbit including π under the group action. There is a unique permutation in $\text{Orb}^{**}(\pi)$, denoted by $\bar{\pi}$, such that

$$\bar{\pi}_2 < \bar{\pi}_3, \bar{\pi}_4 < \bar{\pi}_5, \dots, \bar{\pi}_{2\lfloor (n-1)/2 \rfloor} < \bar{\pi}_{2\lfloor (n-1)/2 \rfloor + 1}.$$

It is easily obtained that $\text{edes}(\bar{\pi}) = 0$ and $\text{edes}(\phi_S(\bar{\pi})) = |S|$ for any $S \subseteq \{1, 2, \dots, \lfloor (n-1)/2 \rfloor\}$. Then

$$\sum_{\sigma \in \text{Orb}^{**}(\pi)} q^{\text{edes}(\sigma)} = (1 + q)^{\lfloor \frac{n-1}{2} \rfloor}.$$

Let \mathfrak{S}_n^{**} consist of all the permutations in \mathfrak{S}_n such that

$$\pi_2 < \pi_3, \pi_4 < \pi_5, \dots, \pi_{2\lfloor (n-1)/2 \rfloor} < \pi_{2\lfloor (n-1)/2 \rfloor + 1}.$$

The cardinality of the set \mathfrak{S}_n^{**} is

$$\begin{cases} \binom{n}{2} \binom{n-2}{2} \dots \binom{n+2-2\lfloor \frac{n-1}{2} \rfloor}{2} = \frac{n!}{2^{\lfloor \frac{n-1}{2} \rfloor}}, & \text{if } n \text{ is odd,} \\ 2 \binom{n}{2} \binom{n-2}{2} \dots \binom{n+2-2\lfloor \frac{n-1}{2} \rfloor}{2} = \frac{n!}{2^{\lfloor \frac{n-1}{2} \rfloor}}, & \text{if } n \text{ is even.} \end{cases}$$

Then

$$\sum_{\pi \in \mathfrak{S}_n} q^{\text{edes}(\pi)} = A_n(1, q) = \frac{n!}{2^{\lfloor \frac{n-1}{2} \rfloor}} (1+q)^{\lfloor \frac{n-1}{2} \rfloor}.$$

□

4 Remarks

The set of palindromic bivariate polynomials of darga k is a vector space with gamma basis

$$\mathcal{B}_k = \{(pq)^i (p+q)^j (1+pq)^{k-2i-j} \mid i, j \geq 0, 2i+j \leq k\}.$$

Thus the refined Eulerian polynomials $\tilde{A}_n(p, q)$, $n = 1, 2, \dots$, can be expanded in terms of the gamma basis $\mathcal{B}_{\lfloor \frac{n}{2} \rfloor}$. For example,

$$\tilde{A}_1(p, q) = A_1(p, q) = 1,$$

$$\begin{aligned} \tilde{A}_2(p, q) &= (1+q)A_2(p, q) = (1+q)(1+p) = 1+p+q+pq \\ &= (1+pq) + (p+q), \end{aligned}$$

$$\tilde{A}_3(p, q) = A_3(p, q) = 1+2p+2q+pq = (1+pq) + 2(p+q),$$

$$\begin{aligned} \tilde{A}_4(p, q) &= (1+q)A_4(p, q) = (1+q)(1+6p+5q+5p^2+6pq+p^2q) \\ &= 1+6p+6q+5p^2+12pq+5q^2+6p^2q+6pq^2+p^2q^2 \\ &= (1+pq)^2 + 6(p+q)(1+pq) + 5(p+q)^2, \end{aligned}$$

$$\begin{aligned} \tilde{A}_5(p, q) &= A_5(p, q) = 1+13p+13q+16p^2+34pq+16q^2+13p^2q+13pq^2+p^2q^2 \\ &= (1+pq)^2 + 13(p+q)(1+pq) + 16(p+q)^2, \end{aligned}$$

$$\begin{aligned} \tilde{A}_6(p, q) &= (1+q)A_6(p, q) \\ &= (1+q)(1+29p+28q+89p^2+152pq+61q^2 \\ &\quad + 61p^3+152p^2q+89pq^2+28p^3q+29p^2q^2+p^3q^2) \\ &= 1+29p+29q+89p^2+89q^2+181pq+61p^3+241p^2q \\ &\quad + 241pq^2+61q^3+181p^2q^2+89p^3q+89pq^3+29p^3q^2+29p^2q^3+p^3q^3 \\ &= (1+pq)^3 + 29(p+q)(1+pq)^2 + 89(p+q)^2(1+pq) + 61(p+q)^3. \end{aligned}$$

We conjecture that for any $n \geq 1$, all c_j are positive integers in the following expansion

$$\tilde{A}_n(p, q) = \sum_{j=0}^{\lfloor \frac{n}{2} \rfloor} c_j (p+q)^j (1+pq)^{\lfloor \frac{n}{2} \rfloor - j}.$$

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