# STABILITY OF SOME INTEGRAL DOMAINS ON A PULLBACK

## Tariq Shah and Sadia Medhat

**Abstract.** Let *I* be a nonzero ideal of an integral domain *T* and let  $\varphi: T \to T/I$  be the canonical surjection. If *D* is an integral domain contained in T/I, then  $R = \varphi^{-1}(D)$  arises as a pullback of type  $\Box$  in the sense of Houston and Taylor such that  $R \subseteq T$  is a domains extension. The stability of atomic domains, domains satisfying ACCP, HFDs, valuation domains, PVDs, AVDs, APVDs and PAVDs observed on all corners of pullback of type  $\Box$  under the assumption that the domain extension  $R \subseteq T$  satisfies *Condition* 1 : For each  $b \in T$  there exist  $u \in \cup(T)$  and  $a \in R$  such that b = ua.

### 1. Introduction and preliminaries

Following Cohn [13], an integral domain R is said to be *atomic* if each nonzero nonunit element of R is a product of a finite number of irreducible elements (atoms) of R. The illustrious examples of atomic domains are UFDs and Noetherian domains. An integral domain R satisfies the *ascending chain condition on principal ideals* (ACCP) if there does not exist any strict ascending chain of principal ideals of R. An integral domain R satisfies ACCP if and only if  $R[\{X_{\alpha}\}]$  satisfies ACCP for any family of indeterminates  $\{X_{\alpha}\}$  (cf. [1, p. 5]). However, the polynomial extension an atomic domain is not an atomic domain (see [20]). A domain satisfying ACCP is an atomic domain but the converse does not hold (see [15, 27]).

By [1], an atomic domain R is a bounded factorization domain (BFD) if for each nonzero nonunit element x of R, there is a positive integer N(x) such that whenever  $x = x_1 \cdots x_n$ , a product of irreducible elements of R, then  $n \leq N(x)$ . The best known examples of BFDs are Noetherian and Krull domains [1, Proposition 2.2]. Also, in general a BFD satisfies ACCP but the converse is not true (cf. [1, Example 2.1]).

Following Zaks [26], an atomic domain R is a half-factorial domain (HFD)if for each nonzero nonunit element x of R, if  $x = x_1 \cdots x_m = y_1 \cdots y_n$  with each  $x_i, y_j$  irreducible in R, then m = n. Obviously a UFD is an HFD. A Krull domain R is an HFD if divisor class group  $Cl(R) \cong 0$  or  $Cl(R) \cong \mathbb{Z}_2$ . An HFD is a BFD

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(see [1]). By [1, Page 11], if R[Y] is an HFD, then certainly R is an HFD. However, R[Y] need not be an HFD if R is an HFD. For example  $R = \mathbb{R} + X\mathbb{C}[X]$  is an HFD, but R[Y] is not an HFD, as  $(X(1 + iY))(X(1 - iY)) = X^2(1 + Y^2)$  are decompositions into atoms of different lengths (cf. [1, p. 11]).

By [1], an integral domain R is known as an *idf-domain* if each nonzero nonunit element of R has at most a finite number of non-associate irreducible divisors. UFDs are examples of idf-domains. But there are idf-domains which are not even atomic. Moreover, the Noetherian domain  $\mathbb{R} + X\mathbb{C}[X]$  is an HFD but not an idf-domain (cf. [1, Example 4.1(a)]).

By [1], an atomic domain R is a *finite factorization domain* (*FFD*) if each nonzero nonunit element of R has a finite number of non-associate divisors. Hence it has only a finite number of factorizations up to order and associates. Further, an integral domain R is an FFD if and only if R is an atomic idf-domain (cf. [1, Theorem 5.1]).

Following Cohn [13], an element x of an integral domain R is said to be *primal* if x divides a product  $a_1a_2$ ;  $a_1, a_2 \in R$ , then x can be written as  $x = x_1x_2$  such that  $x_i$  divides  $a_i, i = 1, 2$ . An element whose divisors are primal elements is called completely primal. An integral domain R is a *pre-Schreier* if every nonzero element x of R is primal. An integrally closed pre-Schreier domain is known as *Schreier* domain. By [13], any *GCD*-domain (an integral domain in which every pair of elements has a greatest common divisor) is a Schreier domain but the converse is not true.

By [24], an element x of an integral domain R is said to be *rigid* if whenever  $r, s \in R$  and r, s divide x, then s divides r or r divides s. An integral domain R is said to be a *semirigid* domain if every nonzero element of R can be expressed as a product of a finite number of rigid elements.

We recall from [25] that: Let R be an integral domain.

property-\*:  $(\cap_i(a_i))(\cap_j(b_j)) = \cap_{i,j}(a_ib_j)$  for all  $a_i, b_j \in \mathbb{R}$ , where  $i = 1, \ldots, m$ and  $j = 1, \ldots, n$ .

property-\*\*:  $((a) \cap (b))((c) \cap (d)) = (ac) \cap (ad) \cap (bc) \cap (bd)$ , where  $a, b, c, d \in R^*$ .

An integral domain R is called \*-domain (respectively \*\*-domain) if it satisfies property -\* (respectively property-\*\*). An integral domain R is said to be a *locally* \*-domain if for each maximal ideal M,  $R_M$  has property-\*.

**Condition 1.** The whole study in [18, 22, 23] is based on a property for a unitary commutative ring (respectively domain) extension, known as *Condition* 1. In [18, 22, 23] the stability (ascent and descent) of UFDs, atomic domains, domains satisfying ACCP, FFDs, BFDs, HFDs, RBFDs, CK-domains, BVDs, CHFDs, idf-domains, a particular case of LHFDs, valuation domains, semirigid domains, PVDs and GCD-domains, Schreier domains, pre-Schreier domains, \*-domains, \*\*-domains, locally \*-domains has been observed for a domain extension  $R \subseteq T$  which satisfy *Condition* 1. In most of the situations the assumption that works is, the

conductor ideal  $R: T = \{x \in R : xT \subseteq R\}$ , the largest common ideal of R and T, is maximal in R.

Condition 1 : "Let  $R \subseteq T$  be a unitary commutative ring (respectively domain) extension. For each  $b \in T$  there exists  $u \in U(T)$  and  $a \in R$  such that b = ua."

The followings are a few examples of unitary (commutative) ring extensions which satisfy *Condition* 1.

EXAMPLE 1. [18, Example 1] (a) If T is a field, then the unnitart ommutative ring extension  $R \subseteq T$  satisfies Condition 1.

(b) If T is a fraction ring of the ring R, then the ring extension  $R \subseteq T$  satisfies Condition 1. Hence Condition 1 generalizes the concept of localization.

(c) If the ring extensions  $R \subseteq T$  and  $T \subseteq W$  satisfy Condition 1, then so does the ring extension  $R \subseteq W$ .

(d) If the ring extension  $R \subseteq T$  satisfies Condition 1, then the unitary commutative ring extensions  $R + XT[X] \subseteq T[X]$  and  $R + XT[[X]] \subseteq T[[X]]$  also satisfy Condition 1.

The following remark provides examples of domain extensions  $R \subseteq T$  satisfying *Condition* 1, where the conductor ideal R:T is a maximal ideal of R.

REMARK 1. (i) Let  $F \subset K$  be any field extension, the domain extension  $F + XK[X] \subseteq K[X]$  (respectively  $F + XK[[X]] \subseteq K[[X]]$ ) satisfies *Condition* 1, where the conductor ideal F + XK[X] : K[X] (respectively F + XK[[X]] : K[[X]]) is maximal ideal in F + XK[X] (respectively in F + XK[[X]]).

(ii) Let  $F \subset K$  be a field extension, where K is a root extension of F and K(Y) is the quotient field of K[Y]; then  $R = F + XK(Y)[[X]] \subseteq K + XK(Y)[[X]] = T$  satisfies *Condition* 1 and R: T = XK(Y)[[X]] is the maximal ideal in R.

There are a number of examples of domain extensions  $R \subseteq T$  satisfying *Condition* 1, where the conductor ideal R: T is not a maximal ideal of R. The following remark shows a few of those.

REMARK 2. (i) Let V be a valuation domain such that its quotient field K is the countable union of an increasing family  $\{V_i\}_{i \in I}$  of valuation overrings of V. Let L be a proper field extension of K with  $L^*/K^*$  infinite. The it follows by [3, Example 5.3] that:

(a) The domain extension  $V_i + XL[[X]] \subseteq L[[X]]$  satisfies Condition 1 since the extension  $V_i \subseteq L$  satisfies Condition 1. But XL[[X]] is not a maximal ideal of  $V_i + XL[[X]]$ . Also note that  $U(V_i + XL[[X]]) \neq U(L[[X]])$ .

(b) The domain extension  $V_i + XL[[X]] \subseteq K + XL[[X]]$  satisfies Condition 1, but XL[[X]] is not a maximal ideal in  $V_i + XL[[X]]$ . Also,  $U(V_i + XL[[X]]) \neq U(K + XL[[X]])$ .

(ii) The domain extension  $R = \mathbb{Z}_{(2)} + X\mathbb{R}[[X]] \subseteq \mathbb{Q} + X\mathbb{R}[[X]] = T$  satisfies Condition 1, but the conductor ideal R:T is not a maximal ideal in R.

(iii) The domain extension  $R = \mathbb{Z}_{(2)} + X\mathbb{R}[[X]] \subseteq \mathbb{R}[[X]] = E$  satisfies *Condi*tion 1, but the conductor ideal R : E is not a maximal ideal in R.

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**Pullback**. Pullback plays an important role in commutative ring theory as a great source of providing examples and counter examples. For a most recent survey article where some classes of commutative rings are characterized as a pullback see [8].

By [21, p. 51], a unitary (commutative) ring R together with ring homomorphisms  $f: R \to A$  and  $g: R \to B$  is called a pullback of the pair of homomorphisms  $\alpha: A \to C$  and  $\beta: B \to C$  if

(a) the diagram

$$\begin{array}{ccc} R & \stackrel{g}{\longrightarrow} & B \\ f \downarrow & & \downarrow^{\beta} \\ A & \stackrel{\alpha}{\longrightarrow} & C \end{array}$$

commutes.

(b) (Universal property) If there exits another ring R' with a pair of ring homomorphisms  $f': R' \to A, g': R' \to B$  such that the diagram

commutes. Then there exists a unique ring homomorphism  $\theta : R' \to R$  such that  $f \circ \theta = f'$  and  $g \circ \theta = g'$ .

A pullback is said to be weak pullback for which the "Universal property" does not hold.

Every pair of ring homomorphisms  $\alpha : B \to A$  and  $\beta : C \to A$  has a pullback (see [21, Exercise 2.46, p. 52]).

In the following we consolidate discussions of  $[21, \, \mathrm{p.}\ 51,\!52$  and Exercise 2.47] as a proposition.

PROPOSITION 1. Let A, B and C be unitary (commutative) rings such that  $C \subseteq A$  and  $f: B \to A$  is an onto ring homomorphism, then  $L = f^{-1}(C)$  is a pullback of ring homomorphisms f and g, that is

The pullback L in Proposition 1 is a substructure of B.

Pullback of type  $\Box$ . Houston and Taylor [17] introduce a pullback of type  $\Box$  as: Let I be a nonzero ideal of an integral domain  $T, \varphi: T \to T/I = E$  be the

natural surjection and D be an integral domain contained in E. Then the integral domain  $R = \varphi^{-1}(D)$  arises as a pullback of the following diagram

$$\begin{array}{cccc} R = \varphi^{-1}(D) & \longrightarrow & D \\ & & & \downarrow \\ & & & \downarrow \\ T & \longrightarrow & T/I = E \end{array}$$

Here it is noticed that in fact  $R \subseteq T$  and  $D \subseteq E$ .

J. Boynton [11] introduces the pullback as: Let  $R \subseteq T$  be any unitary (commutative) ring extension and I = R : T is the nonzero conductor ideal of T into R. Setting D = R/I and E = T/I, we obtain the natural surjections  $n_1 : T \longrightarrow E$ ,  $n_2 : R \longrightarrow D$  and the inclusions  $i_1 : D \hookrightarrow E$ ,  $i_2 : R \hookrightarrow T$ . These maps yield a commutative diagram, called a conductor square  $\Box$ , which defines R as a pullback of  $n_1$  and  $i_1$ .

$$\begin{array}{ccc} R & \stackrel{i_2}{\longrightarrow} & T \\ n_2 \downarrow & & \downarrow n_1 \\ D & \stackrel{i_1}{\longrightarrow} & E \end{array}$$

LEMMA 1. [11, Lemma 2.2] For conductor square  $\Box$ , if I = R : T is a regular ideal, then T is an overring of R.

REMARK 3. (i) Every conductor square  $\Box$  is a pullback of type  $\Box$ .

(ii) If in conductor square  $\Box$ ,  $R \subseteq T$  is a domain extension, then T is always an overring of R.

LEMMA 2. [17, Lemma 1.1] In a pullback of type  $\Box$ , if each maximal ideal of R contains I, then each maximal ideal of T contains I.

Recall that in a Prufer domain if every finitely generated fractional ideal is invertible. Equivalently, an integral domain R is Prufer if  $R_P$  is a valuation domain for each  $P \in Spec(R)$ .

In this study we shall follow the lines of the following results of [17] and [12].

THEOREM 1. [17, Theorem 1.3] In a pullback of type  $\Box$ , let I be a prime ideal in T and qf(D) = qf(E). Then R is a Prufer domain (respectively a valuation domain) if and only if D and T are Prufer domains (respectively valuation domains).

COROLLARY 1. [17, Corollary 1.4] Consider a pullback diagram of type  $\Box$ in which I is a maximal ideal of T. Then R is a Prufer domain (respectively a valuation domain) if and only if D and T are Prufer domains (respectively valuation domains) and E is quotient field of D.

The following is an example of Prufer pullback which is not a valuation domain.

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EXAMPLE 2. Every nonzero prime ideal is a maximal ideal in  $T = \mathbb{Q}[X]$ . Take  $I = X\mathbb{Q}[X]$ , so  $T/I \cong \mathbb{Q}$ . Further  $\mathbb{Z} \cong \{a + I : a \in \mathbb{Z}\} = D \subset T/I$ . Then  $\varphi : \mathbb{Q}[X] \to \mathbb{Q}[X]/X\mathbb{Q}[X] \cong \mathbb{Q}$  is surjection. Consider  $R = \varphi^{-1}(D) = \varphi^{-1}(\{a + I : a \in \mathbb{Z}\}) = \{h(x) \in \mathbb{Q}[X] : h(0) \in \mathbb{Z}\}$ . This implies  $R = \mathbb{Z} + X\mathbb{Q}[X] \subset \mathbb{Q}[X] = T$ . Hence we obtain the following commutative diagram

$$R = \varphi^{-1} (D) \xrightarrow{\alpha} D$$

$$\downarrow \qquad \qquad \downarrow$$

$$T \xrightarrow{\alpha} T/I = E$$

*R* is a pullback of type  $\Box$  and  $D \subseteq E$ , whereas qf(D) = E and *I* is a maximal ideal in  $\mathbb{Q}[X]$ . As  $\mathbb{Z}$  and  $\mathbb{Q}[X]$  are Prufer domains, so  $\mathbb{Z} + X\mathbb{Q}[X]$  being Bezout, a Prufer domain (an example on [17, Corollary 1.4]). Further, also it is an example on [17, Theorem 1.3] since none of  $\mathbb{Z} + X\mathbb{Q}[X], \mathbb{Q}[X]$  and  $\mathbb{Z}$  is a valuation domain.

# 2. Relative stability of some domain's properties on corners of a pullback

The inclusions  $L \subseteq B$ ,  $C \subseteq A$  of Proposition 1 and inclusion  $R \subseteq T$  (respectively  $D \subseteq E$ ) in the pullback of type  $\Box$  (respectively conductor square  $\Box$ ) are the main motivation to consider *Condition* 1. In this new scenario the properties of the elements of the unitary commutative rings L, B, C, A (respectively integral domains R, T, D, E) are concern. In [18, 22, 23], there are inquiries for stability (ascent and descent) of some atomic and non atomic classes of integral domains for a domain extension  $R \subseteq T$  which satisfy *Condition* 1. The main purpose of this study is to escort the inquiries of [18, 22, 23] and observe the stability of classes of atomic and non atomic domains on all corners of the conductor square  $\Box$  under the assumption that the domain extension  $R \subseteq T$  satisfies *Condition* 1. However besides this we also added a few more results regarding stability (ascent and descent) of some atomic classes of integral domains for a domain extension  $R \subseteq T$  in continuation to [18, 22, 23].

### 2.1. Some indispensable facts. We begin by the following proposition.

PROPOSITION 2. Let  $R \subseteq T$  be a domain extension such that I is an ideal in T (hence  $J = I \cap R$  is an ideal in R) and  $f : T \longrightarrow T/I$  is the canonical surjection. Then

(1)  $R = f^{-1}(R/J)$  is a pullback of type  $\Box$ .

(2) If T is integral over R, then T/I is integral over R/J.

*Proof.* (1) Since I is a nonzero ideal of T and  $R/J \subseteq T/I$ . Also  $T \to T/I$  is surjection, so the result follows by Proposition 1.

(2) It is [5, Proposition 5.6].  $\blacksquare$ 

REMARK 4. (i) Let I be a prime ideal in T. Then I is a maximal ideal in T if and only if J is a maximal ideal in R. Indeed, as  $R \subseteq T$  and T is integral over R, so T/I is integral over R/J. Now by [5, Proposition 5.7] T/I is a field if and only if R/J is a field.

(ii) Let  $R \subseteq T$  be a domain extension, then  $q^{-1}(R) = R + XT[X]$  arises as a pullback of the following diagram (see [19]).

$$q^{-1}(R) = R + XT[X] \longrightarrow R$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$T[X] \xrightarrow{q} T$$

(iii) The extension  $q^{-1}(R) = R + XT[X] \subseteq T[X]$  satisfies Condition 1 if  $R \subseteq T$  does.

(iv) By [9], if I is the common ideal of R and T, then T is an overring of R. Also it follows that  $R \to R/I = D$  is the canonical surjection.

The following Theorem provides the necessary and sufficient condition for a vertical inclusion of a pullback of type  $\Box$  to satisfy *Condition* 1.

THEOREM 2. In a pullback of type  $\Box$ , let I be a nonzero common ideal for R, T, and  $\varphi: T \to T/I$  be the canonical surjection. Then  $R \subseteq T$  satisfies Condition 1 if and only if  $D \subseteq E$  satisfies Condition 1.

Proof. Suppose  $R \subseteq T$  satisfies Condition 1. For  $s + I \in T/I$ ,  $s \in T$ , s = tr, where  $t \in U(T)$  and  $r \in R$ . This means s + I = (t + I)(r + I), whereas  $t + I \in U(T/I)$  and  $r + I \in R/I$ . Hence  $D \subseteq E$  satisfies Condition 1.

The converse follows by [18, Proposition 1.2].  $\blacksquare$ 

REMARK 5. (i) In the pullback of type  $\Box$  of Example 2, we see that the extension  $\mathbb{Z} \subset \mathbb{Q}$  satisfies *Condition* 1, so the extension  $\mathbb{Z} + X\mathbb{Q}[X] \subset \mathbb{Q}[X]$  does and vice versa.

(ii) In a pullback of type  $\Box$ , if I is a maximal ideal in T, then E = T/I is a field and by [18, Example (ii)]  $D \subseteq E$  satisfies Condition 1.

The following extends [18, Proposition 1.3] in the perspective of pullback of type  $\Box$ .

PROPOSITION 3. In a pullback of type  $\Box$ , let  $\varphi^{-1}(D) = R \subseteq T$  such that I is a common ideal of R and T. If for each  $t \in T \setminus I$ , there exists  $i \in I$  with  $t + i \in U(T)$ . Then

(1) I is a maximal ideal in T.

(2) The extension  $R \subseteq T$  satisfies Condition 1.

*Proof.* (1) Let  $0 \neq t \in T \setminus I$ , then there exists  $i \in I$  such that  $t + i \in U(T)$ . Thus  $t + i + I \in U(T/I)$ , that is  $\varphi(t + i) \in U(T/I)$ . So T/I is a field. Hence I is a maximal ideal in T. T. Shah, S. Medhat

(2) If  $t \in I$ , then t = 1.t, as  $1 \in U(T)$ . Let  $t \in T \setminus I$ , then there exists  $i \in I$  such that  $t+i \in U(T)$ , by (1). So we may write  $t = (t+i)(t+i)^{-1}t$  and obviously  $(t+i)^{-1}t \in R$ , as  $(t+i)^{-1}t = 1+j$ , where  $j \in I$ .

REMARK 6. In a pullback of type  $\Box$ , if  $R \subseteq T$  satisfies *Condition* 1, then  $(I \cap R)T = I$ . Indeed, as I is an ideal of T, so  $(I \cap R)T \subseteq IT \subseteq I$ . Conversely let  $s \in I$ , then by *Condition* 1, s = rt, where  $t \in U(T)$  and  $r \in R$ . This implies  $r \in I \cap R$  and so  $s = rt \in (I \cap R)T$ . Hence  $I \subseteq (I \cap R)T$ .

REMARK 7. In a conductor square  $\Box$ , if I = R : T is a maximal in R such that the extension  $R \subseteq T$  satisfies *Condition* 1, then I is a maximal in T. Indeed, let  $s \in T \setminus I$ , then s = tr, where  $t \in U(T)$  and  $r \in R$ . Whereas  $r \notin I$ , because if  $r \in I$ , then  $s = tr \in I$ , which cause a contradiction. Now  $\varphi(r)$  is unit in R/I. Thus  $\varphi(s) = \varphi(t)\varphi(r)$  is unit in T/I. Hence I is a maximal in T.

**2.2.** Atomic generalizations of a UFD. The following extends a part of [18, Proposition 2.6].

PROPOSITION 4. In a conductor square  $\Box$ , let the domain extension  $R \subseteq T$  satisfies Condition 1 and I = R : T is a maximal ideal in R. Then R is atomic (respectively has ACCP, BFD and an HFD) if and only if D and T are atomic (respectively have ACCP, BFD and an HFD).

*Proof.* R is atomic (respectively has ACCP, BFD and an HFD) if and only if T is atomic (respectively has ACCP, BFD and an HFD) follows by [18, Proposition 2.6]. D being a field is an atomic domain, has ACCP, a BFD and an HFD.

REMARK 8. In Proposition 4 E being a field is atomic, has ACCP, BFD and an HFD.

**2.3.** Valuation domain and its generalizations. By [16], an integral domain R with quotient field K is said to be a *pseudo-valuation domain* (PVD), if whenever P is a prime ideal in D and  $xy \in P$ , where  $x, y \in K$ , then  $x \in P$  or  $y \in P$  (i.e. in a PVD every prime ideal is strongly prime). Equivalently an integral domain R with quotient field K is said to be a PVD if for any nonzero element  $x \in K$ , either  $x \in R$  or  $ax^{-1} \in R$  for every non unit  $a \in R$ . A valuation domain is a PVD but the converse is not true, for example the PVD  $\mathbb{R} + X\mathbb{C}[[X]]$ , which is not a valuation domain.

By [2] an integral domain R is said to be an *almost valuation domain* (AVD) if for every nonzero  $x \in K$ , there exists an integer  $n \ge 1$  (depending on x) with  $x^n \in R$  or  $x^{-n} \in R$ . Equivalently the domain R is said to be an AVD if for each pair  $a, b \in R$ , there is a positive integer n = n(a, b) such that  $a^n | b^n$  or  $b^n | a^n$ . A valuation domain is an AVD but converse is not true. For example if F is a finite field, then  $R = F + X^2 F[[X]]$  is a non valuation AVD (cf. [7, Example 3.8]).

By [6], an integral domain R is said to be an almost pseudo valuation domain (APVD) if and only if R is quasilocal with maximal ideal M such that for every nonzero element  $x \in K$ , either  $x^n \in M$  for some integer  $n \ge 1$  or  $ax^{-1} \in M$  for every nonunit  $a \in R$ . Equivalently a prime ideal P of R is a strongly primary ideal,

if  $xy \in P$ , where  $x, y \in K$  implies that either  $x^n \in P$  for some integer  $n \geq 1$  or  $y \in P$ . If each prime ideal of R is strongly primary ideal, then R is an APVD. For example  $R = \mathbb{Q} + X^4 \mathbb{Q}[[X]]$  is an APVD (cf. [6, Example 3.9]) which is not a PVD.

By [7] a prime ideal P of an integral domain R is said to be a *pseudo-strongly* prime ideal if, whenever  $x, y \in K$  and  $xyP \subseteq P$ , then there is an integer  $m \ge 1$ such that either  $x^m \in R$  or  $y^mP \subseteq P$ . If each prime ideal in an integral domain Ris a *pseudo-strongly prime ideal*, then R is called a *pseudo-almost valuation domain* (*PAVD*). Equivalently an integral domain R is a PAVD if and only if for every nonzero element  $x \in K$ , there is a positive integer  $n \ge 1$  such that either  $x^n \in R$ or  $ax^{-n} \in R$  for every nonunit  $a \in R$ . For example if F is a finite field, and H = F[[X]], then  $R = F + FX^2 + X^4F[[X]]$  is a PAVD (cf. [7, Example 3.8]).

In general

				quasilocal
				↑
AVD		$\Rightarrow$		PAVD
↑				↑
VD	$\Rightarrow$	PVD	$\Rightarrow$	APVD

but none of the above implications is reversible.

We readjust [18, Lemma 1.7] as follows.

LEMMA 3. [18, Lemma 1.7] In a pullback of type  $\Box$ , let I be the common ideal in R and T. Then  $R = \varphi^{-1}(\varphi(R))$ , where  $\varphi: T \to T/I$  is the canonical surjection.

*Proof.* Clearly  $R \subseteq \varphi^{-1}(\varphi(R))$ . Conversely, let  $x \in \varphi^{-1}(\varphi(R))$ , so  $\varphi(x) \in \varphi(R)$  and therefore  $\varphi(x) = \varphi(r)$  for some  $r \in R$ . This means  $x - r \in I$  and therefore  $x \in R$ . Hence  $\varphi^{-1}(\varphi(R)) \subseteq R$ .

Following Zafrullah [24], an element x of an integral domain R is said to be rigid if whenever  $r, s \in R$  and r and s divides x, then s divides r or r divides s. The domain R is said to be semirigid domain if every nonzero element of R can be expressed as a product of a finite number of rigid elements.

The following is an improved form of [18, Theorem 2.10].

THEOREM 3. In a conductor square  $\Box$ , let  $R \subseteq T$  satisfies Condition 1 and I = R : T is the maximal ideal in R. If R is a semirigid-domain, then T is a semirigid-domain.

*Proof.* Suppose R is a semirigid-domain. Let  $x \in T$ , so either  $x \in I$  or  $x \in T \setminus I$ . The case  $x \in I$  is trivial. If  $x \in T \setminus I$ , then by *Condition* 1, x = ru, where  $r \in R$ ,  $u \in U(T)$ . But R is semirigid-domain, so  $r = r_1r_2\cdots r_n$  is a product of rigid elements in R and therefore by [18, Theorem 2.8(b)]  $x = (ur_1)r_2..r_n$  is the product of rigid elements in T. Hence T is a semirigid-domain.

In the rest of the discussion we assume that I = R : T is a prime ideal.

For the sake of a quick reference we state the following lemma.

LEMMA 4. [14, Lemma 4.5(i)] Let R be a PVD and P is its prime ideal. Then R/P is a PVD.

THEOREM 4. Let  $R \subseteq T$  be the domain extension which satisfies Condition 1. If R is a PVD, then T is a PVD.

Proof. Let  $a, b \in T$  such that  $x = \frac{a}{b} \in qf(T)$  with  $b \neq 0$ . So  $a = a_1a_2, b = b_1b_2$ , where  $a_1, b_1 \in R$  and  $a_2, b_2 \in U(T)$ . This implies  $x_1 = \frac{a_1}{b_1} \in qf(R)$ , where  $b_1 \neq 0$ . Since R is a PVD, therefore either  $x_1 = \frac{a_1}{b_1} \in R$  or  $rx_1^{-1} = r\frac{b_1}{a_1} \in R$ , where r is nonzero nonunit in R. If  $x_1 \in R$  and  $x_2 = \frac{a_2}{b_2} \in U(T)$ , then  $x \in T$ . If  $rx_1^{-1} \in R$  and  $x_2 = \frac{a_2}{b_2} \in U(T)$  (hence  $x_2^{-1} = \frac{b_2}{a_2} \in U(T)$ ), then  $rx^{-1} \in T$ , whereas  $r \notin U(T)$ .

REMARK 9. In the proof of Theorem 4 if  $r \in U(T)$ , then T must be a valuation domain.

REMARK 10. The converse of Theorem 4 does not hold. For example in the domain extension  $\mathbb{Z} + X\mathbb{Q}[[X]] \subset \mathbb{Q}[[X]]$  which satisfies *Condition* 1,  $\mathbb{Q}[[X]]$  is a DVR and hence a PVD, but  $\mathbb{Z} + X\mathbb{Q}[[X]]$  is not a PVD.

In the following we extend [17, Theorem 1.3] for PVDs with the addition of  $Condition \ 1.$ 

THEOREM 5. In a conductor square  $\Box$ , let the domain extension  $R \subseteq T$  satisfy Condition 1 such that I = R : T is contained in the maximal ideal M of R and qf(D) = qf(E). Then T and D are PVDs if and only if R is a PVD.

*Proof.* Assume that T and D are PVDs. It is known that: M is a maximal ideal of R if and only if M/I is a maximal ideal of D. Let  $x \in qf(R) = qf(T)$ ; then either  $x \in T$  or  $tx^{-1} \in T$ , where  $t \in T \setminus U(T)$ .

If  $x \in T \setminus R$ , we have  $x = x_1 x_2$ , where  $x_1 \in R$  and  $x_2 \in U(T)$ . So  $\varphi(x_1) \in D$ ,  $\varphi(x_2) \in U(E)$ . Since D is a PVD, therefore by [16, Theorem 1.5(3)],  $\varphi(x_2)^{-1} M/I \subseteq M/I$ , that is  $\varphi(x_2)^{-1} (m+I) \in M/I$ ,  $(m+I) \in M/I$ . This implies  $x_2^{-1}m \in M$ ,  $\varphi(m) = (m+I) \in M/I$  for some  $m \in M$ . So  $x_1 x_1^{-1} x_2^{-1}m = x_1 m x^{-1} = a x^{-1} \in M$ , where  $x_1 m = a \in R \setminus U(R)$ , which shows that M is strongly prime.

If  $tx^{-1} \in T \setminus R$ , then  $tx^{-1} = ru$ , where  $r \in R$  and  $u \in U(T)$ . So  $\varphi(r) \in D$ ,  $\varphi(u) \in U(E)$ . Since D is a PVD, therefore by [16, Theorem 1.5(3)]  $\varphi(u)^{-1} M/I = \varphi(u^{-1}) M/I \subseteq M/I$  if and only if  $u^{-1}M \subseteq M$ . This implies  $u^{-1}m = rr^{-1}u^{-1}m = rm (ru)^{-1} = r_1 (tx^{-1})^{-1} = r_1t^{-1}x \in M$ , where  $m, r_1 = rm \in M$ . This implies M is a strongly prime ideal, as  $t^{-1}x \in qf(R)$  and hence R is a PVD.

Conversely, by Theorem 4 T is a PVD whenever R is a PVD. By [14, Lemma 4.5(i)], if R is a PVD, then D = R/I is a PVD.

The following examples are through the D + M construction as elaborated in [10, Theorem 2.1].

REMARK 11. [4, Example 3.12] (i) In Theorem 4 there is no need to assume

that qf(D) = qf(E). For instance in the conductor square  $\Box$ 

I = R : T = XC(t)[[X]] and  $R \subseteq T$  satisfies Condition 1. Indeed, let  $f = f_1 + Xf_2(X) \in T$ . In this pullback  $qf(D) \neq qf(E)$  but R, T and D are HFDs.

(ii) Let K be the field. The following is a conductor square  $\Box$ .

$$R = K + XK(Y)[[X]] \longrightarrow K$$

$$\downarrow \qquad \qquad \downarrow$$

$$T = K(Y)[[X]] \longrightarrow K(Y)$$

Whereas I = R : T = XK(Y)[[X]] is a maximal ideal in R and  $R \subseteq T$  satisfies Condition 1. R is a PVD but T and K are DVRs. However  $qf(D) \neq qf(E)$ .

THEOREM 6. Let  $R \subseteq T$  be the domain extension which satisfies Condition 1. If R is an AVD, then T is an AVD.

*Proof.* Let  $x = \frac{a}{b} \in qf(T)$ ,  $a, b \in T$ . We may consider  $a = a_1a_2, b = b_1b_2$ , where  $a_1, b_1 \in R$  and  $a_2, b_2 \in U(T)$ . Of course  $\frac{a_1}{b_1} \in qf(R)$  and R is an AVD, so either  $(\frac{a_1}{b_1})^n \in R$  or  $(\frac{a_1}{b_1})^{-n} \in R$ , where  $n \ge 1$  be an integer. Similarly  $u = \frac{a_2}{b_2} \in$ U(T) implies either  $(\frac{a_1}{b_1}u)^n = x^n \in T$  or  $(\frac{a_1}{b_1}u)^{-n} = x^{-n} \in T$ .

REMARK 12. [4, Example 3.12] Let F be a finite field, and H = F(X) be the quotient field of F[X].  $R = F + Y^3 H[[Y]]$  is not an AVD but  $V = H + Y^3 H[[Y]]$  is an AVD (cf. [7. Example 2.20]). Obviously  $R \subseteq V$  satisfies Condition 1.

PROPOSITION 5. Let R be an AVD and P is a prime ideal of R. Then R/P is an AVD.

*Proof.* R is a quasilocal domain if and only if for any  $a, b \in R$  either  $a \mid b^n$  or  $b \mid a^n$  for some  $n \geq 1$ , by [7, Proposition 2.7]. Now for x = a + P,  $y = b + P \in R/P$ , suppose that  $x \nmid y^n$  for some integers  $n \geq 1$ . This implies that  $a \nmid b^n$  for some  $n \geq 1$ . Therefore  $b \mid a^n$  for some integers  $n \geq 1$ . This implies  $y \mid x^n$  for some integers  $n \geq 1$ . This implies  $y \mid x^n$  for some integers  $n \geq 1$ . Therefore  $b \mid a^n$  for some integers  $n \geq 1$ . This implies  $y \mid x^n$  for some integers  $n \geq 1$ . Thus R/P is quasilocal as well as AB-domain, by [2. Theorem 4.10]. Hence by [2, Theorem 5.6] R/P is an AVD.

THEOREM 7. In a conductor square  $\Box$ , let the domain extension  $R \subseteq T$  satisfies Condition 1 such that I = R : T is the conductor ideal and qf(D) = qf(E). Then T and D are AVDs if and only if R is an AVD.

*Proof.* Assume that T and D are AVDs. Let  $a \in qf(R) = qf(T)$ , then either  $a^n$  or  $a^{-n} \in T$ .

(i) Consider  $a^n \in T$ , so by *Condition* 1, we have  $a^n = a_1a_2$ , where  $a_1 \in R$ and  $a_2 \in U(T)$ . Then  $\hat{a}_1 = \varphi(a_1) \in D$  and  $\hat{a}_2 = \varphi(u_2) \in U(E)$ . Since D is an AVD, therefore  $\hat{a}_2^p \in D$  or  $\hat{a}_2^{-p} \in D$ , where p is a positive integer. This implies  $a_2^p = \varphi^{-1}(\hat{a}_2^p) \in R$  and hence  $a_1^p a_2^p = a^{np} \in R$ .

Now if  $\hat{a}_2^{-p} \in D$ , then  $a_2^{-p} = \varphi^{-1}(\hat{a}_2^{-p}) \in R$ . We claim  $a_1^{-p} \notin R$ , if not, then  $a_1^{-p} \in R$ , and we may have  $a_1^{-p} a_2^{-p} = a^{-np} \in R \subset T$ , a contradiction to the fact that  $a^{-n} \notin T$ . Thus  $a^{-np} \notin R$  and  $\hat{a}_2^{-p} \notin D$ .

(ii) Now if  $a^{-n} \in T$ , then by *Condition* 1, we have  $a^{-n} = a_1 a_2$ , where  $a_1 \in R$ and  $a_2 \in U(T)$ . This means  $\hat{a}_1 = \varphi(a_1) \in D$  and  $\hat{a}_2 = \varphi(a_2) \in U(E)$ . As Dis an AVD, so  $\hat{a}_2^p \in D$  or  $\hat{a}_2^{-p} \in D$ , where p is a positive integer. This implies  $a_2^p = \varphi^{-1}(\hat{a}_2^p) \in R$ . This implies  $a_1^p a_2^p = a^{-np} \in R$ . If  $\hat{a}_2^{-p} \in D$ , then  $a_2^{-p} = \varphi^{-1}(\hat{a}_2^{-p}) \in R$ . We claim that  $a_1^{-p} \notin R$ ; if not then  $a_1^{-p} a_2^{-p} = a^{np} \in R \subset T$ , which contradict to the fact that  $a^n \notin T$ . Thus  $a^{np} \notin R$  and  $\hat{a}_2^{-p} \notin D$ .

Conversely, by Theorem 6, T is an AVD whenever R is an AVD. Hence it followed by Proposition 5 that D is an AVD.  $\blacksquare$ 

THEOREM 8. Let the domain extension  $R \subseteq T$  satisfies Condition 1 such that I = R : T is contained in the maximal ideal M of R. If R is an APVD, then T is an APVD.

Proof. Let  $x = \frac{a}{b} \in qf(T)$ , where  $a, b \in T$ . By Condition 1  $a = a_1a_2, b = b_1b_2$ , where  $a_1, b_1 \in R$  and  $a_2, b_2 \in U(T)$ . This implies  $\frac{a_1}{b_1} \in qf(R)$ . Since R is an APVD with maximal ideal M, then either  $(\frac{a_1}{b_1})^n \in M$  for  $n \ge 1$ , or  $r(\frac{a_1}{b_1})^{-1} \in M$ , where  $r \in R \setminus U(R)$  and say  $u = \frac{a_2}{b_2} \in U(T)$ . Let N be the maximal ideal of T such that  $N \cap R = M$ . Therefore either  $(\frac{a_1}{b_1}u)^n \in N$  or  $r(\frac{a_1}{b_1}u)^{-1} \in N$ , where  $r \in T \setminus U(T)$ .

REMARK 13. In the proof of Theorem 8 if  $r \in U(T)$ , then T must be a valuation domain.

EXAMPLE 3. [4, Example 3.12] Let F be a finite field and H = F(X) is the quotient field of F[X].  $R = F + Y^2 H[[Y]]$  is not an APVD but  $T = F + FY + Y^2 H[[Y]]$  is an APVD. Whereas  $R \subseteq T$  does not satisfy *Condition* 1.

By [6], let S be a subset of an integral domain R with quotient field K, then  $E(S) = \{x \in K : x^n \notin S \text{ for every integer } n \ge 1\}.$ 

PROPOSITION 6. An integral domain R is an APVD if and only if for every  $x \in E(R)$  such that  $ax^{-1} \in R$  for every nonunit  $a \in R$ .

Proof. Suppose that R is an APVD. Then R is a quasilocal by [6, Proposition 3.2]. Let M be the maximal ideal of R and  $x \in E(R)$ . Then by [6, Lemma 2.3]  $x^{-1}M \subseteq M \subseteq R$ . Conversely, assume that for every  $x \in E(R)$  such that  $ax^{-1} \in R$  for every nonunit  $a \in R$ . Let a, b be nonzero nonunit elements of R. Suppose that  $a \nmid b^n$  in R for every  $n \ge 1$ . Then  $x = b/a \in E(R)$ . Hence, by hypothesis  $cx^{-1} \in R$  for every nonunit c of R. In particular  $a^2/b = ax^{-1} \in R$ . Then  $b \mid a^2$  in R. Thus by [7, Proposition 2.7], the prime ideals of R are linearly ordered. Hence R is quasilocal. Thus, by hypothesis,  $ax^{-1} \in R$  for every  $a \in M$ . Since M is the only maximal ideal of R and  $x \in E(R)$ , we conclude that  $ax^{-1} \in M$  for every

 $a \in M$ . By [6, Lemma 2.3]BH, M is a strongly prime ideal of R. Hence R is an APVD, by [6, Theorem 3.4(2)]BH.

In the following we restate Proposition 6.

PROPOSITION 7. An integral domain R is an APVD if and only if for every  $a, b \in R$  either  $a^n \mid b^n$  in R for some  $n \ge 1$  or  $b \mid ca$  in R for every nonunit c of R.

PROPOSITION 8. Let R be an APVD and P is a prime ideal of R. Then R/P is an APVD.

*Proof.* Let R be an APVD and P is a prime ideal of R. Set D = R/P and let  $x, y \in D$ . Then x = a + P and y = b + P for some  $a, b \in R$ . Suppose that  $x^n \nmid y^n$  in D for every positive integer  $n \ge 1$ . Then,  $a^n \nmid b^n$  in R for every positive integer  $n \ge 1$ . Thus by Proposition 7,  $b \mid ca$  in R for every nonunit c of R. Thus  $y \mid zx$  for every nonunit z of D. Hence by Proposition 7, D is an APVD.

THEOREM 9. In a conductor square  $\Box$ , let the domain extension  $R \subseteq T$  satisfy Condition 1 such that I = R : T contained in the maximal ideal M of R and qf(D) = qf(E). Then T and D are APVDs if and only if R is an APVD.

*Proof.* Assume that T and D are APVDs. As  $I \subseteq M$ , so  $M/I = \varphi(M)$  is maximal ideal of D. For  $x \in E(R)$ , we have the following possibilities:

(i) If  $x \in T \setminus R$ , then  $x = x_1 x_2$ , where  $x_1 \in R$ ,  $x_2 \in U(T)$ . So  $\hat{x}_1 \in D$ ,  $\hat{x}_2 \in U(E)$ . By [6, Lemma 2.3]BH  $(\hat{x}_2)^{-1}M/I \subseteq M/I$ . This implies  $(x_2)^{-1}M \subseteq M$ , this means  $x_1(x_1)^{-1}(x_2)^{-1}m = x_1(x_1x_2)^{-1}m = rx^{-1} \in M$ , where  $x_1m = r \in R \setminus U(R)$ ,  $m \in M$ .

(ii) If  $x \in qf(T) \setminus T$ , then either  $x^n \in N$  or  $tx^{-1} \in N$ ,  $t \in T \setminus U(T)$ , where N is maximal in T. (a) If  $x^n \in N$  and  $N \cap R = M$ , the maximal ideal in R. Using Condition 1,  $x^n = ru$ , where  $r \in R$  and  $u \in U(T)$ . This implies  $\varphi(r) \in D$  and  $\varphi(u) \in U(E)$ . Either  $\varphi(u)^t \in M/I$  or  $d\varphi(u)^{-1} \in M/I$ , t > 0 and  $d \in D \setminus U(D)$ .

If  $\varphi(u)^t \in M/I$ , so  $\varphi(r)^t \varphi(u)^t = \varphi(ru)^t = \varphi(x^n)^t = \varphi(x^{nt}) \in M/I$ . This implies  $x^{nt} \in M$ , a contradiction. Now, if  $d\varphi(u)^{-1} \in M/I$ , then there exists  $m \in M$  such that  $d = \varphi(m)$ . This implies  $\varphi(m)\varphi(u)^{-1} \in M/I$ . This means  $mu^{-1} = rr^{-1}mu^{-1} = m_1(ru)^{-1} = m_1x^{-n} \in M$ , where  $m_1 = rm \in M$ .

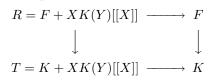
(b) Finally; if  $tx^{-1} \in N$ . We have  $tx^{-1} = ru$ ,  $r \in R$  and  $u \in U(T)$ . This implies  $\varphi(r) \in D$  and  $\varphi(u) \in U(E)$ . Then by [6, Lemma 2.3]  $\varphi(u)^{-1}M/I = \varphi(u^{-1})M/I \subseteq M/I$ , this implies  $u^{-1}M \subseteq M$ , and  $u^{-1}m = rr^{-1}u^{-1}m = r(ru)^{-1}m = r_1(ru)^{-1} = r_1(tx^{-1})^{-1} \in M$ , where  $m, r_1(=rm) \in M$ . Thus M becomes strongly primary. Hence R is an APVD.

Conversely by Theorem 8, T is an APVD whenever R is an APVD.

By Proposition 8, D is an APVD.

EXAMPLE 4. [4, Example 3.12] Let  $F \subset K$  be a field extension, where K is

the root extension of F. The pullback



is of type  $\Box$ , whereas I = R : T = XK(Y)[[X]] and  $R \subseteq T$  satisfies Condition 1. R is an APVD if and only if T is a PVD. Whereas  $qf(D) = F \neq K = qf(E)$ .

We state the following proposition from [7] for the sake of completeness.

PROPOSITION 9. [7, Proposition 2.14] Let R be a PAVD and P be a prime ideal of R. Then R/P is a PAVD.

THEOREM 10. Let  $R \subseteq T$  be a domain extension which satisfies Condition 1. If R is PAVD, then T is PAVD.

*Proof.* Let  $x = \frac{a}{b} \in qf(T)$ ,  $a, b \in T$ . By *Condition* 1  $a = a_1a_2, b = b_1b_2$ , where  $a_1, b_1 \in R$ ,  $a_2, b_2 \in U(T)$ . This implies  $\frac{a_1}{b_1} \in qf(R)$  and so either  $(\frac{a_1}{b_1})^n \in R$  or  $r(\frac{a_1}{b_1})^{-n} \in R$ , where n > 0,  $r \in R \setminus U(R)$ ,  $u = \frac{a_2}{b_2} \in U(T)$ . Hence either  $(\frac{a_1}{b_1}u)^n \in T$  or  $t(\frac{a_1}{b_1}u)^{-n} \in T$ , where t = rq, where  $t, q \in T \setminus U(T)$ .  $\blacksquare$ 

EXAMPLE 5. In domain extension  $\mathbb{C}[[X^2, X^5] \subseteq \mathbb{C}[[X^2, X^3]], \mathbb{C}[[X^2, X^3]]$  is a PAVD but  $\mathbb{C}[[X^2, X^5]]$  is not a PAVD. So descent does not hold.

THEOREM 11. In a conductor square  $\Box$ , let the domain extension  $R \subseteq T$  satisfy Condition 1 such that I = R : T contained in the maximal ideal M of R and qf(D) = qf(E). Then T and D are PAVDs if and only if R is a PAVD.

*Proof.* Assume that T and D are PAVDs. As  $I \subseteq M$ , so  $M/I = \varphi(M)$  is a maximal ideal of D. For  $x \in E(R)$ , we have the following possibilities:

(i) If  $x \in T \setminus R$ , then  $x = x_1 x_2$ , where  $x_1 \in R, x_2 \in U(T)$ . This implies  $\hat{x}_1 = \varphi(x_1) \in D$ ,  $\hat{x}_2 = \varphi(x_2) \in U(E)$ . Then by [7, Lemma 2.1]  $(\hat{x}_2)^{-n}M/I \subseteq M/I$ , and hence  $\varphi^{-1}((\hat{x}_2)^{-n}M/I) \subseteq M$ . This implies  $x_2^{-n}m = x_1^n x_1^{-n} x_2^{-n}m = m_1 x^{-n} \in M$ , where  $m, m_1 \in M$ . Thus M is a pseudo-strongly prime ideal.

(ii) If  $x \in Q(T) \setminus T$ , then  $x^n \in T$  or  $tx^{-n} \in T$ , for  $t \in T \setminus U(T)$  and n > 0. (a) If  $x^n \in T$ , then  $x^n = x_1 x_2$ ; where  $x_1 \in R$  and  $x_2 \in U(T)$ . This implies  $\varphi(x_1) \in D$ and  $\varphi(x_2) \in U(E)$ . By [7, Lemma 2.1]  $\varphi(x_2)^{-k}M/I \subseteq M/I$ , for an integer  $k \ge 0$ and hence  $x_2^{-k}M \subseteq M$ . This implies  $x_2^{-k}r = x_1^k x_1^{-k} x_2^{-k}r = r_1 x^{-kn} \in M$ , for  $r, r_1 = x_1^k r \in M$ . Hence M is a pseudo-strongly prime ideal.

(b) Finally, if  $tx^{-n} \in T$ , then  $tx^{-n} = ru$ , where  $r \in R$  and  $u \in U(T)$ . This implies  $\varphi(r) \in D$  and  $\varphi(u) \in U(E)$ . By [7, Lemma 2.1]  $\varphi(u)^{-k} M/I \subseteq M/I$ , for an integer k > 0 and hence  $u^{-k}M \subseteq M$ . This implies  $u^{-k}m = r^k r^{-k}u^{-k}m = m_1(tx^{-n})^{-k} \in M$ , where  $m, m_1(=r^km) \in M$ . Thus M is a pseudo-strongly prime ideal. Hence R is a PAVD.

Conversely by Theorem 10, T is a PAVD whenever R is a PAVD. By [7, Proposition 2.14], if R is a PAVD, then D = R/I is a PAVD.

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