GROWTH OF POLYNOMIALS WITH PRESCRIBED ZEROS

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Abstract. In this paper we study the growth of polynomials of degree *n* having all their zeros on $|z| = k, k \leq 1$. Using the notation $M(p,t) = \max_{|z|=t} |p(z)|$, we measure the growth of *p* by estimating $\left\{\frac{M(p,t)}{M(p,1)}\right\}^s$ from above for any $t \geq 1$, *s* being an arbitrary positive integer. Also in this paper we improve the results recently proved by K. K. Dewan and Arty Ahuja [*Growth of polynomials with prescribed zeros*, J. Math. Ineq. **5** (2011), 355–361].

1. Introduction and statement of results

For an arbitrary entire function f(z), let $M(f,r) = \max_{|z|=r} |f(z)|$ and $m(f,k) = \min_{|z|=k} |f(z)|$. Then for a polynomial p(z) of degree n, it is a simple consequence of maximum modulus principle (for reference see [4, Vol. I, p. 137, Problem III, 269]) that

$$M(p,R) \le R^n M(p,1), \text{ for } R \ge 1.$$
 (1.1)

The result is best possible and equality holds for $p(z) = \lambda z^n$, where $|\lambda| = 1$.

If we restrict ourselves to the class of polynomials having no zeros in |z| < 1, then inequality (1.1) can be sharpened. In fact it was shown by Ankeny and Rivlin [1] that if $p(z) \neq 0$ in |z| < 1, then (1.1) can be replaced by

$$M(p,R) \le \left(\frac{R^n + 1}{2}\right) M(p,1), \quad R \ge 1.$$
 (1.2)

The result is sharp and equality holds for $p(z) = \alpha + \beta z^n$, where $|\alpha| = |\beta|$.

For the class of polynomials not vanishing in the disk $|z| < k, k \ge 1$, Shah [6] proved that if p(z) is a polynomial of degree n having no zeros in $|z| < k, k \ge 1$, then for every real number R > K,

$$M(p,R) \leq \left(\frac{R^n+k}{1+k}\right)M(p,1) - \left(\frac{R^n-1}{1+k}\right)m(p,k).$$

The result is best possible in case k = 1 and equality holds for the polynomial $p(z) = z^n + 1$.

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Recently Dewan and Arty [3] proved that if p(z) is a polynomial of degree n having all its zeros on $|z| = k, k \leq 1$ then for every positive integer s

$$\{M(p,R)\}^s \le \left(\frac{k^{n-1}(1+k) + (R^{ns}-1)}{k^{n-1} + k^n}\right) \{M(p,1)\}^s, \quad R \ge 1$$

While trying to obtain inequality analogous to (1.2) for polynomials not vanishing in $|z| < k, k \le 1$, we have been able to prove the following results.

THEOREM 1. If $p(z) = c_n z^n + \sum_{v=\mu}^n c_{n-v} z^{n-v}$, $1 \le \mu < n$ is a polynomial of degree n having all its zeros on $|z| = k, k \le 1$, then for every positive integer s

$$\{M(p,R)\}^{s} \le \left(\frac{k^{n-\mu}(k^{1-\mu}+k) + (R^{ns}-1)}{k^{n-2\mu+1} + k^{n-\mu+1}}\right)\{M(p,1)\}^{s}, \quad R \ge 1.$$
(1.3)

If we take k = 1 in Theorem 1, we get the following result.

COROLLARY 1. If $p(z) = \sum_{v=0}^{n} c_v z^v$ is a polynomial of degree n having all its zeros on $|z| = k, k \leq 1$, then for every positive integer s

$$\{M(p,R)\}^s \le \left(\frac{k^{n-1}(1+k) + (R^{ns}-1)}{k^{n-1} + k^n}\right) \{M(p,1)\}^s, \quad R \ge 1.$$

The following corollary immediately follows from inequality (1.6) by taking s = 1.

COROLLARY 2. If $p(z) = \sum_{v=0}^{n} c_v z^v$ is a polynomial of degree n having all its zeros on $|z| = k, k \leq 1$, then

$$M(p,R) \le \Big(\frac{k^{n-1}(1+k) + (R^n - 1)}{k^{n-1} + k^n}\Big)M(p,1), \quad R \ge 1.$$

If we take $\mu = 1$ in inequality (1.3), we get the following corollary

COROLLARY 3. If $p(z) = \sum_{v=0}^{n} c_v z^v$ is a polynomial of degree n having all its zeros on |z| = 1, then

$$M(p,R) \le \left(\frac{R^n+1}{2}\right) M(p,1), \quad R \ge 1.$$

If we involve the coefficients of p(z) also, then we are able to obtain a bound which is better than the bound obtained in Theorem 1. More precisely, we prove

THEOREM 2. If $p(z) = c_n z^n + \sum_{v=\mu}^n c_{n-v} z^{n-v}$, $1 \le \mu < n$ is a polynomial of degree n having all its zeros on $|z| = k, k \le 1$, then for every positive integer s

$$\begin{split} \{M(p,R)\}^s &\leq \frac{1}{k^{n-\mu+1}} \\ &\times \left[\frac{n|c_n|\{k^n(1+k^{\mu+1})+k^{2\mu}(R^{ns}-1)\}+\mu|c_{n-\mu}|\{k^n(1+k^{1-\mu})+k^{\mu-1}(R^{ns}-1)\}}{\mu|c_{n-\mu}|(1+k^{\mu-1})+n|c_n|k^{\mu-1}(1+k^{\mu+1})} \\ &\times \{M(p,1)\}^s, \quad R \geq 1. \end{split}$$

To prove that the bound obtained in the above theorem is, in general, better than the bound obtained in Theorem 1, we show that

$$\frac{1}{k^{n-\mu+1}} \times \left[\frac{n|c_n|\{k^n(1+k^{\mu+1})+k^{2\mu}(R^{ns}-1)\}+\mu|c_{n-\mu}|\{k^n(1+k^{1-\mu})+k^{\mu-1}(R^{ns}-1)\}}{\mu|c_{n-\mu}|(1+k^{\mu-1})+n|c_n|k^{\mu-1}(1+k^{\mu+1})} \\ \leq \left(\frac{k^{n-\mu}(k^{1-\mu}+k)+(R^{ns}-1)}{k^{n-2\mu+1}+k^{n-\mu+1}}\right)$$

which is equivalent to

$$\begin{split} n|c_{n}|\{k^{n}(1+k^{\mu+1})(k^{-\mu}+1)+k^{2\mu}(k^{-\mu}+1)(R^{ns}-1)\}\\ &+\mu|c_{n-\mu}|\{k^{n}(1+k^{1-\mu})(k^{-\mu}+1)+k^{\mu-1}(k^{-\mu}+1)(R^{ns}-1)\}\\ &\leq n|c_{n}|k^{\mu-1}(k^{\mu+1}+1)\{k^{n-2\mu+1}+k^{n-\mu+1}+R^{ns}-1\}\\ &+\mu|c_{n-\mu}|(1+k^{\mu-1})\{k^{n-2\mu+1}+k^{n-\mu+1}+R^{ns}-1\} \end{split}$$

and therefore

$$n|c_{n}|\{-k^{\mu}+k^{\mu}R^{ns}\}+\mu|c_{n-\mu}|\{k^{n}-k^{-1}+k^{-1}R^{ns}\}$$
$$\leq n|c_{n}|\{-k^{\mu-1}+k^{\mu-1}R^{ns}\}+\mu|c_{n-\mu}|\{k^{n}-1+R^{ns}\}$$

 or

$$\begin{split} n|c_{n}|\{k^{\mu}(R^{ns}-1)\} + \mu|c_{n-\mu}|\{k^{-1}(R^{ns}-1)\} \\ &\leq n|c_{n}|\{k^{\mu-1}(R^{ns}-1)\} + \mu|c_{n-\mu}|\{(R^{ns}-1)\} \\ \mu|c_{n-\mu}|(k^{-1}-1) \leq n|c_{n}|(k^{-1}-1)k^{\mu} \\ &\frac{\mu}{n}\frac{|c_{n-\mu}|}{|c_{n}|} \leq k^{\mu}, \end{split}$$

which is always true (see Lemma 4).

EXAMPLE 1. Let $p(z) = z^4 - \frac{1}{50}z^2 + (\frac{1}{100})^2$ and $k = \frac{1}{10}$, R = 1.5, $\mu = 1$ and s = 2.

Then by Theorem 1, we have $\{M(p,R)\}^s \leq 22390.909\{M(p,1)\}^s$, while by Theorem 2, we get $\{M(p,R)\}^s \leq 2439.505\{M(p,1)\}^s$.

If we take $\mu = 1$ in Theorem 2, we get the following corollary.

COROLLARY 4. If $p(z) = \sum_{v=0}^{n} c_v z^v$ is a polynomial of degree n having all its zeros on $|z| = k, k \leq 1$, then for every positive integer s

$$\begin{split} \{M(p,R)\}^s &\leq \frac{1}{k^n} \bigg[\frac{n |c_n| \{k^n (1+k^2) + k^2 (R^{ns}-1)\} + |c_{n-1}| \{2k^n + (R^{ns}-1)\}}{2|c_{n-1}| + c_n|(1+k^2)} \bigg] \\ &\times \{M(p,1)\}^s, \quad R \geq 1. \end{split}$$

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In the above inequality, if we take s = 1, we get the following result.

COROLLARY 5. If $p(z) = \sum_{v=0}^{n} c_v z^v$ is a polynomial of degree n having all its zeros on $|z| = k, k \leq 1$, then

$$\begin{split} M(p,R) &\leq \frac{1}{k^n} \Bigg[\frac{n |c_n| \{k^n (1+k^2) + k^2 (R^n-1)\} + |c_{n-1}| \{2k^n + (R^n-1)\}}{2 |c_{n-1}| + c_n |(1+k^2)} \Bigg] \\ &\times M(p,1), \quad R \geq 1 \,. \end{split}$$

2. Lemmas

For the proof of these theorems, we need the following lemmas.

LEMMA 1. [7] If $p(z) = c_n z^n + \sum_{v=\mu}^n c_{n-v} z^{n-v}$, $1 \le \mu < n$ is a polynomial of degree n having all its zeros on $|z| = k, k \le 1$, then

$$\max_{|z|=1} |p'(z)| \le \frac{n}{k^{n-2\mu+1} + k^{n-\mu+1}} \max_{|z|=1} |p(z)|.$$

LEMMA 2. [2] If $p(z) = c_n z^n + \sum_{v=\mu}^n c_{n-v} z^{n-v}$, $1 \le \mu < n$ is a polynomial of degree n having all its zeros on $|z| = k, k \le 1$, then

$$\max_{|z|=1} |p'(z)| \le \frac{n}{k^{n-\mu+1}} \left[\frac{n|c_n|k^{2\mu} + \mu|c_{n-\mu}|k^{\mu-1}}{n|c_n|(k^{2\mu} + k^{\mu-1}) + \mu|c_{n-\mu}|(k^{\mu-1} + 1)} \right] \max_{|z|=1} |p(z)|.$$

LMMA 3. [5, Remark 1] If $p(z) = c_0 + \sum_{v=\mu}^n c_v z^v$, $1 \le \mu \le n$ is a polynomial of degree n having no zeros in the disk $|z| < k, k \ge 1$, then for |z| = 1,

$$\frac{\mu}{n} \left| \frac{c_{\mu}}{c_0} \right| k^{\mu} \le 1.$$

LEMMA 4. If $p(z) = c_n z^n + \sum_{v=\mu}^n c_{n-v} z^{n-v}$, $1 \le \mu < n$ is a polynomial of degree n having all its zeros on $|z| = k, k \le 1$, then

$$\frac{\mu}{n} \left| \frac{c_{n-\mu}}{c_n} \right| \le k^{\mu}.$$

Proof. If p(z) has all its zeros on $|z| = k, k \leq 1$, then $q(z) = z^n p(1/z)$ has all its zeros on $|z| \geq 1/k$, $1/k \leq 1$. Now apply Lemma 3 to the polynomial q(z), and Lemma 4 follows.

3. Proof of the theorems

Proof of Theorem 1. Let $M(p, 1) = \max_{|z|=1} |p(z)|$. Since p(z) is a polynomial of degree n having all its zeros $|z| = k, k \leq 1$, therefore, by Lemma 1, we have

$$\max_{|z|=1} |p'(z)| \le \frac{n}{k^{n-2\mu+1} + k^{n-\mu+1}} M(p,1) \quad \text{for } |z| = 1.$$
(3.1)

Now applying inequality (1.1) to the polynomial p'(z) which is of degree n-1 and noting (3.1), it follows that for all $r \ge 1$ and $0 \le \theta < 2\pi$

$$|p'(re^{i\theta})| \le \frac{nr^{n-1}}{k^{n-2\mu+1} + k^{n-\mu+1}} M(p,1).$$
(3.2)

Also for each θ , $0 \le \theta < 2\pi$ and $R \ge 1$, we obtain

$$\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s = \int_1^R \frac{d}{dt} \{p(te^{i\theta})\}^s dt = \int_1^R s\{p(te^{i\theta})\}^{s-1} p'(te^{i\theta}) e^{i\theta} dt.$$

This implies

$$|\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s| \le s \int_1^R |p(te^{i\theta})|^{s-1} |p'(te^{i\theta})| dt$$

which on combining with inequality (3.2) and (1.1), gives

$$\begin{split} |\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s| &\leq \frac{ns}{k^{n-2\mu+1} + k^{n-\mu+1}} \{M(p,1)\}^s \int_1^R t^{ns-1} dt \\ &= \left(\frac{R^{ns} - 1}{k^{n-2\mu+1} + k^{n-\mu+1}}\right) \{M(p,1)\}^s \end{split}$$

and therefore,

$$|p(Re^{i\theta})|^{s} \leq |p(e^{i\theta})|^{s} + \left(\frac{R^{ns} - 1}{k^{n-2\mu+1} + k^{n-\mu+1}}\right) \{M(p, 1)\}^{s}$$
$$\leq \{M(p, 1)\}^{s} + \left(\frac{R^{ns} - 1}{k^{n-2\mu+1} + k^{n-\mu+1}}\right) \{M(p, 1)\}^{s}.$$
(3.3)

Hence from (3.3) we conclude that

$$\{M(p,R)\}^s \le \left(\frac{k^{n-\mu}(k^{1-\mu}+k) + (R^{ns}-1)}{k^{n-2\mu+1} + k^{n-\mu+1}}\right) \{M(p,1)\}^s.$$

This completes the proof of Theorem 1. \blacksquare

Proof of Theorem 2. The proof of Theorem 2 follows on the same lines as that of Theorem 1 by using Lemma 2 instead of Lemma 1. But for the sake of completeness we give a brief outline of the proof. Since p(z) is a polynomial of degree n having all its zeros on $|z| = k, k \leq 1$, therefore, by Lemma 2, we have

$$|p'(z) \le \frac{n}{k^{n-\mu+1}} \left(\frac{n|c_n|k^{2\mu} + \mu|c_{n-\mu}|k^{\mu-1}}{\mu|c_{n-\mu}|(1+k^{\mu-1}) + n|c_n|k^{\mu-1}(1+k^{\mu+1})} \right) M(p,1) \text{ for } |z| = 1.$$

Now p'(z) is a polynomial of degree n-1, therefore, it follows by (1.1) that for all $r \ge 1$ and $0 \le \theta < 2\pi$

$$|p'(re^{i\theta})| \le \frac{nr^{n-1}}{k^{n-\mu+1}} \left(\frac{n|c_n|k^{2\mu} + \mu|c_{n-\mu}|k^{\mu-1}}{\mu|c_{n-\mu}|(1+k^{\mu-1}) + n|c_n|k^{\mu-1}(1+k^{\mu+1})} \right) M(p,1).$$
(3.4)

Also for each θ , $0 \le \theta < 2\pi$ and $R \ge 1$ we obtain

$$|\{p(Re^{i\theta})\}^s - \{p(e^{i\theta})\}^s| \le s \int_1^R |p(te^{i\theta})|^{s-1} |p'(te^{i\theta})| dt$$

which on combining with inequalities (1.1) and (3.4), gives

$$\begin{split} |\{p(Re^{i\theta})\}^s &- \{p(e^{i\theta})\}^s| \\ &\leq \left(\frac{R^{ns}-1}{k^{n-\mu+1}}\right) \left(\frac{n|c_n|k^{2\mu}+\mu|c_{n-\mu}|k^{\mu-1}}{\mu|c_{n-\mu}|(1+k^{\mu-1})+n|c_n|k^{\mu-1}(1+k^{\mu+1})}\right) \{M(p,1)\}^s \end{split}$$

and therefore

$$|p(Re^{i\theta})^{s} \leq \{M(p,1)\}^{s} + \left(\frac{R^{ns}-1}{k^{n-\mu+1}}\right) \\ \times \left(\frac{n|c_{n}|k^{2\mu}+\mu|c_{n-\mu}|k^{\mu-1}}{\mu|c_{n-\mu}|(1+k^{\mu-1})+n|c_{n}|k^{\mu-1}(1+k^{\mu+1})}\right)\{M(p,1)\}^{s}.$$
(3.5)

Hence, from (3.5), we conclude that

$$\begin{split} \{M(p,R)\}^s &\leq \frac{1}{k^{n-\mu+1}} \\ &\times \biggl(\frac{n|c_n|\{k^n(1+k^{\mu+1})+k^{2\mu}(R^{ns}-1)\}+\mu|c_{n-\mu}|\{k^n(1+k^{1-\mu})+k^{\mu-1}(R^{ns}-1)\}\}}{\mu|c_{n-\mu}|(1+k^{\mu-1})+n|c_n|k^{\mu-1}(1+k^{\mu+1})} \biggr) \\ &\times \{M,(p,1)\}^s \end{split}$$

This completes the proof of Theorem 2. \blacksquare

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