# SOME SPECTRAL PROPERTIES OF GENERALIZED DERIVATIONS

#### Mohamed Amouch and Farida Lombarkia

Abstract. Given Banach spaces  $\mathcal{X}$  and  $\mathcal{Y}$  and Banach space operators  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ , the generalized derivation  $\delta_{A,B} \in L(L(\mathcal{Y},\mathcal{X}))$  is defined by  $\delta_{A,B}(X) = (L_A - R_B)(X) = AX - XB$ . This paper is concerned with the problem of transferring the left polaroid property, from operators A and  $B^*$  to the generalized derivation  $\delta_{A,B}$ . As a consequence, we give necessary and sufficient conditions for  $\delta_{A,B}$  to satisfy generalized a-Browder's theorem and generalized a-Weyl's theorem. As an application, we extend some recent results concerning Weyl-type theorems.

#### 1. Introduction

Given Banach spaces  $\mathcal{X}$  and  $\mathcal{Y}$  and Banach space operators  $A \in L(\mathcal{X})$  and  $B \in$  $L(\mathcal{Y})$ , let  $L_A \in L(L(\mathcal{X}))$  and  $R_B \in L(L(\mathcal{Y}))$  be the left and the right multiplication operators, respectively, and denote by  $\delta_{A,B} \in L(L(\mathcal{Y},\mathcal{X}))$  the generalized derivation  $\delta_{A,B}(X) = (L_A - R_B)(X) = AX - XB$ . The problem of transferring spectral properties from A and B to  $L_A$ ,  $R_B$ ,  $L_A R_B$  and  $\delta_{A,B}$  was studied by numerous mathematicians, see [6-8,10,11,15,19,22,23] and the references therein. The main objective of this paper is to study the problem of transferring the left polaroid property and its strong version, finitely left polaroid property, from A and  $B^*$  to  $\delta_{A,B}$ . After Section 2 where several basic definitions and facts will be recalled, we will prove that if A is a left polaroid and satisfies property  $(\mathcal{P}_l)$  and B is a right polaroid and satisfy property  $(\mathcal{P}_r)$ , then  $\delta_{A,B}$  is a left polaroid. Also, we prove that if A is a finitely left polaroid and B is a finitely right polaroid, then  $\delta_{A,B}$  is a finitely left polaroid. In Section 4, we give necessary and sufficient conditions for  $\delta_{A,B}$  to satisfy generalized a-Weyl's theorem. In the last section we apply results obtained previously. If  $\mathcal{X} = H$  and  $\mathcal{Y} = K$  are Hilbert spaces, we prove that if  $A \in L(H)$  and  $B \in L(K)$  are completely totally hereditarily normaloid operators, then  $f(\delta_{A,B})$ satisfies generalized a-Weyl's theorem, for every analytic function f defined on a neighborhood of  $\sigma(\delta_{A,B})$  which is non constant on each of the components of its domain. This generalizes results obtained in [8,10,11,14,22,23].

<sup>2010</sup> Mathematics Subject Classification: 47A10, 47A53, 47B47

Keywords and phrases: Left polaroid; elementary operator; finitely left polaroid.

<sup>277</sup> 

# 2. Notation and terminology

Unless otherwise stated, from now on  $\mathcal{X}$  (similarly,  $\mathcal{Y}$ ) shall denote a complex Banach space and  $L(\mathcal{X})$  (similarly,  $L(\mathcal{Y})$ ) the algebra of all bounded linear maps defined on and with values in  $\mathcal{X}$  (resp.  $\mathcal{Y}$ ). Given  $T \in L(\mathcal{X})$ , N(T) and R(T) will stand for the null space and the range of T, resp. Recall that  $T \in L(\mathcal{X})$  is said to be bounded below, if  $N(T) = \{0\}$  and R(T) is closed. Denote the approximate point spectrum of T by

$$\sigma_a(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not bounded below}\}\$$

Let

$$\sigma_s(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not surjective}\}\$$

denote the surjective spectrum of T. In addition,  $\mathcal{X}^*$  will denote the dual space of  $\mathcal{X}$ , and if  $T \in \mathcal{X}$ , then  $T^* \in L(\mathcal{X}^*)$  will stand for the adjoint map of T. Clearly,  $\sigma_a(T^*) = \sigma_s(T)$  and  $\sigma_a(T) \cup \sigma_s(T) = \sigma(T)$ , the spectrum of T. Recall that the ascent asc(T) of an operator T is defined by  $asc(T) = \inf\{n \in \mathbb{N} : N(T^n) = N(T^{n+1})\}$  and the descent  $dsc(T) = \inf\{n \in \mathbb{N} : R(T^n) = R(T^{n+1})\}$ , with  $\inf \emptyset = \infty$ . It is well known that if asc(T) and dsc(T) are both finite, then they are equal.

A complex number  $\lambda \in \sigma_a(T)$  (resp.  $\lambda \in \sigma_s(T)$ ) is a left pole (resp. a right pole) of order d of  $T \in L(\mathcal{X})$  if  $asc(T - \lambda I) = d < \infty$  and  $R((T - \lambda I)^{d+1})$  is closed (resp.  $dsc(T-\lambda I) = d < \infty$  and  $R((T-\lambda I)^d)$  is closed). We say that T is left polar (resp. right polar) of order d at a point  $\lambda \in \sigma_a(T)$  (resp.  $\lambda \in \sigma_s(T)$ ) if  $\lambda$  is a left pole of T (resp. right pole of T) of order d. Now, T is a left polaroid (resp. right polaroid) if T is left polar (resp. right polar) at every  $\lambda \in iso\sigma_a(T)$  (resp.  $\lambda \in iso\sigma_s(T)$ ), where  $iso\mathcal{K}$  is the set of all isolated points of  $\mathcal{K}$  for  $\mathcal{K} \subseteq \mathbb{C}$ . According to [7], a left polar operator  $T \in L(\mathcal{X})$  of order  $d(\lambda)$  at  $\lambda \in \sigma_a(T)$ , satisfies property  $(\mathcal{P}_l)$  if the closed subspace  $N((T-\lambda)^{d(\lambda)}) + R(T-\lambda)$  is complemented in  $\mathcal{X}$  for every  $\lambda \in iso\sigma_a(T)$ . Dually, a right polar operator  $T \in L(\mathcal{X})$  of order  $d(\lambda)$  at  $\lambda \in \sigma_s(T)$ , satisfies property  $(\mathcal{P}_r)$  if the closed subspace  $N(T-\lambda) \cap R((T-\lambda)^{d(\lambda)})$  is complemented in  $\mathcal{X}$  for every  $\lambda \in iso\sigma_s(T)$ . If  $\mathcal{X} = H$  is a Hilbert space, then every left polar (resp. right polar) operator  $T \in L(H)$  of order  $d(\lambda)$  at  $\lambda \in iso\sigma_a(T)$  (resp.  $\lambda \in iso\sigma_s(T)$ ) satisfies property  $(\mathcal{P}_l)$  (resp.  $(\mathcal{P}_r)$ ). On the other hand, it is known that  $T \in L(\mathcal{X})$ is a right polaroid if and only if  $T^*$  is a left polaroid and T is a polaroid if it is both left and right polaroid, whenever  $iso\sigma(T) = iso\sigma_a(T) \cup iso\sigma_s(T)$ .

Recall that  $T \in L(\mathcal{X})$  is said to be a Fredholm operator, if both  $\alpha(T) = dim N(T)$  and  $\beta(T) = dim \mathcal{X}/R(T)$  are finite dimensional, in which case its index is given by  $ind(T) = \alpha(T) - \beta(T)$ . If R(T) is closed and  $\alpha(T)$  is finite (resp.  $\beta(T)$  is finite), then  $T \in L(\mathcal{X})$  is said to be an upper semi-Fredholm (resp. a lower semi-Fredholm) while if  $\alpha(T)$  and  $\beta(T)$  are both finite and equal, so the index is zero and T is said to be a Weyl operator. These classes of operators generate the Fredholm spectrum, the upper semi-Fredholm spectrum, the lower semi-Fredholm spectrum and the Weyl spectrum of  $T \in L(\mathcal{X})$  which will be denoted by  $\sigma_e(T)$ ,  $\sigma_{SF_+}(T), \sigma_{SF_-}(T)$  and  $\sigma_W(T)$ , respectively. The Weyl essential approximate point spectrum and the Browder essential approximate point spectrum of  $T \in L(\mathcal{X})$  are the sets

$$\sigma_{aw}(T) = \{\lambda \in \sigma_a(T) : \lambda \in \sigma_{SF_+}(T) \text{ or } 0 < ind(T - \lambda I)\}$$

and

$$\sigma_{ab}(T) = \{\lambda \in \sigma_a(T) : \lambda \in \sigma_{aw}(T) \text{ or } asc(T - \lambda I) = \infty\}.$$

It is clear that

$$\sigma_{SF_+}(T) \subseteq \sigma_{aw}(T) \subseteq \sigma_{ab}(T) \subseteq \sigma_a(T).$$

For  $T \in L(\mathcal{X})$  and a nonnegative integer n define  $T_n$  to be the restriction of Tto  $R(T^n)$  viewed as a map from  $R(T^n)$  into  $R(T^n)$ . If for some integer n the range space  $R(T^n)$  is closed and the induced operator  $T_n \in L(R(T^n))$  is Fredholm, then T will be said to be B-Fredholm. In a similar way, if  $T_n$  is an upper semi-Fredholm (resp. lower semi-Fredholm) operator, then T is called upper semi B-Fredholm (resp. lower semi B-Fredholm). In this case the index of T is defined as the index of semi-Fredholm operator  $T_n$ , see [9].  $T \in L(\mathcal{X})$  is called semi B-Fredholm if T is upper semi B-Fredholm or lower semi B-Fredholm. Let

$$\Phi_{SBF}(\mathcal{X}) = \{ T \in L(\mathcal{X}) : T \text{ is semi B-Fredholm } \},$$
  
$$\Phi_{SBF_{+}^{-}}(\mathcal{X}) = \{ T \in \Phi_{SBF}(\mathcal{X}) : T \text{ is upper semi B-Fredholm with } ind(T) \leq 0 \},$$
  
$$\Phi_{SBF_{-}^{+}}(\mathcal{X}) = \{ T \in \Phi_{SBF}(\mathcal{X}) : T \text{ is lower semi B-Fredholm with } ind(T) \geq 0 \}.$$

Then the upper semi B-Weyl and lower semi B-Weyl spectrum of T are the sets

$$\sigma_{UBW}(T) = \{\lambda \in \sigma_a(T) : T - \lambda I \notin \Phi_{SBF^-}(\mathcal{X})\}$$

and

$$\sigma_{LBW}(T) = \{\lambda \in \sigma_a(T) : T - \lambda I \notin \Phi_{SBF^+}(\mathcal{X})\},\$$

respectively.  $T \in L(\mathcal{X})$  will be said to be B-Weyl, if T is both upper and lower semi B-Weyl (equivalently, T is B-Fredholm operator of index zero). The B-Weyl spectrum  $\sigma_{BW}(T)$  of T is defined by

 $\sigma_{BW}(T) = \{ \lambda \in \mathbb{C} : T - \lambda I \text{ is not B-Weyl operator} \}.$ 

Let  $\Pi^{l}(T)$  denote the set of left pole of  $T \in L(\mathcal{X})$ .

$$\Pi^{l}(T) = \{\lambda \in \sigma_{a}(T) : asc(T - \lambda I) = d < \infty \text{ and } R((T - \lambda I)^{d+1}) \text{ is closed}\}$$

A strong version of the left polaroid property says that  $T \in L(\mathcal{X})$  is a finitely left polaroid (resp. a finitely right polaroid) if and only if every  $\lambda \in iso\sigma_a(T)$  (resp.  $\lambda \in iso\sigma_s(T)$ ) is a left pole of T and  $\alpha(T - \lambda I) < \infty$  (resp. a right pole of T and  $\beta(T - \lambda I) < \infty$ ). Let  $\Pi_0^l(T)$  (resp.  $\Pi_0^r(T)$ ) denote the set of finite left poles (resp. the set of finite right poles) of T. Then  $T \in L(\mathcal{X})$  is a finitely left polaroid (resp. a finitely right polaroid) if and only if  $iso\sigma_a(T) = \Pi_0^l(T)$  (resp.  $iso\sigma_a(T) = \Pi_0^r(T)$ ).

For  $T \in L(\mathcal{X})$  define

$$\Delta(T) = \{ n \in \mathbb{N} : m \ge n, m \in \mathbb{N} \Rightarrow R(T^n) \cap N(T) \subseteq R(T^m) \cap N(T) \}.$$

279

The degree of stable iteration is defined as  $dis(T) = \inf \Delta(T)$  if  $\Delta(T) \neq \emptyset$ , while  $dis(T) = \infty$  if  $\Delta(T) = \emptyset$ .  $T \in L(\mathcal{X})$  is said to be quasi-Fredholm of degree d, if there exists  $d \in \mathbb{N}$  such that dis(T) = d,  $R(T^n)$  is a closed subspace of  $\mathcal{X}$  for each  $n \geq d$  and  $R(T) + N(T^n)$  is a closed subspace of  $\mathcal{X}$ . An operator  $T \in L(\mathcal{X})$  is said to be semi-regular, if R(T) is closed and  $N(T^n) \subseteq R(T^m)$  for all  $m, n \in \mathbb{N}$ .

An important property in local spectral theory is the single valued extension property. An operator  $T \in L(\mathcal{X})$  is said to have the single valued extension property at  $\lambda_0 \in \mathbb{C}$  (abbreviated SVEP at  $\lambda_0$ ), if for every open disc  $\mathbb{D}$  centered at  $\lambda_0$ , the only analytic function  $f : \mathbb{D} \to \mathcal{X}$  which satisfies the equation  $(T - \lambda I)f(\lambda) = 0$  for all  $\lambda \in \mathbb{D}$  is the function  $f \equiv 0$ . An operator  $T \in L(\mathcal{X})$  is said to have SVEP if Thas SVEP at every  $\lambda \in \mathbb{C}$ .

Furthermore, for  $T \in L(\mathcal{X})$  the quasi-nilpotent part of T is defined by

$$H_0(T) = \{ x \in \mathcal{X} : \lim_{n \to \infty} \|T^n(\mathcal{X})\|^{\frac{1}{n}} = 0 \}.$$

It can be easily seen that  $N(T^n) \subset H_0(T)$  for every  $n \in \mathbb{N}$ . The analytic core of an operator  $T \in L(\mathcal{X})$  is the subspace K(T) defined as the set of all  $x \in \mathcal{X}$  such that there exists a constant c > 0 and a sequence of elements  $x_n \in \mathcal{X}$  such that  $x_0 = x$ ,  $Tx_n = x_{n-1}$ , and  $||x_n|| \leq c^n ||x||$  for all  $n \in \mathbb{N}$ , the spaces K(T) are hyperinvariant under T and satisfy  $K(T) \subset R(T^n)$ , for every  $n \in \mathbb{N}$  and T(K(T)) = K(T), see [1] for information on  $H_0(T)$  and K(T).

## 3. Left polaroid generalized derivation

We begin this section by recalling some results concerning spectra of generalized derivations.

Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and consider  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ . Let  $\delta_{A,B} \in L(L(\mathcal{Y}, \mathcal{X}))$  be the generalized derivation induced by A and B, i.e.,

$$\delta_{A,B}(X) = (L_A - R_B)(X) = AX - XB$$
 where  $X \in L(\mathcal{Y}, \mathcal{X})$ 

According to [20, Theorem 3.5.1], we have that

$$\sigma_a(\delta_{A,B}) = \sigma_a(A) - \sigma_s(B).$$

and it is not difficult to conclude that

$$iso\sigma_a(\delta_{A,B}) = (iso\sigma_a(A) - iso\sigma_a(B^*)) \setminus acc\sigma_a(\delta_{A,B}).$$

The following results concerning upper semi Fredholm spectrum and Browder essential approximate point spectrum of generalized derivation were proved in [8,24]. They will be used in the sequel.

LEMMA 3.1. Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and consider  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ . Then the following statements hold.

i)  $\sigma_{SF_+}(\delta_{A,B}) = (\sigma_{SF_+}(A) - \sigma_s(B)) \cup (\sigma_a(A) - \sigma_{SF_-}(B)).$ ii)  $\sigma_{ab}(\delta_{A,B}) = (\sigma_{ab}(A) - \sigma_s(B)) \cup (\sigma_a(A) - \sigma_{ab}(B^*)).$  The following lemma concerning the Weyl essential approximate point spectrum of a generalized derivation will also be used in the sequel.

LEMMA 3.2. Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and consider  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ . Then

$$\sigma_{aw}(\delta_{A,B}) \subseteq (\sigma_{aw}(A) - \sigma_s(B)) \cup (\sigma_a(A) - \sigma_{aw}(B^*))$$

*Proof.* Let  $\lambda \notin (\sigma_{aw}(A) - \sigma_s(B)) \cup (\sigma_a(A) - \sigma_{aw}(B^*))$ . If  $\mu_i \in \sigma_a(A)$  and  $\nu_i \in \sigma_s(B)$  are such that  $\lambda = \mu_i - \nu_i$ . Then  $\mu_i \notin \sigma_{SF_+}(A)$  and  $\nu_i \notin \sigma_{SF_-}(B)$ , hence from statement i) of Lemma 3.1  $\lambda \notin \sigma_{SF_+}(\delta_{A,B})$ . Now, we will prove that

$$ind(\delta_{A,B} - \lambda I) \le 0$$

Suppose to the contrary that  $ind(\delta_{A,B} - \lambda I) > 0$ . Then  $\lambda \notin \sigma_e(\delta_{A,B})$ . It follows from [17, Corollary 3.4] that

$$\lambda = \mu_i - \nu_i \quad (1 \le i \le n)$$

where  $\mu_i \in iso\sigma(A)$  for  $1 \leq i \leq m$  and  $\nu_i \in iso\sigma(B)$ , for  $m+1 \leq i \leq n$ . We have that  $ind(\delta_{A,B} - \lambda I)$  is equal to

$$\sum_{j=m+1}^{n} dim H_0(B - \nu_j) ind(A - \mu_j) - \sum_{k=1}^{m} dim H_0(A - \mu_k) ind(B - \nu_k).$$

Since  $\mu_i \in iso\sigma(A)$ , for  $1 \leq i \leq m$  and  $\nu_i \in iso\sigma(B)$ , for  $m+1 \leq i \leq n$ , it follows that  $dimH_0(A - \mu_j)$  is finite, for  $1 \leq j \leq m$  and  $dimH_0(B - \nu_k)$  is finite, for  $m+1 \leq k \leq n$  and we have also  $ind(A - \mu_i) \leq 0$  and  $ind(B - \nu_j) \geq 0$ . Thus  $ind(\delta_{A,B} - \lambda I) \leq 0$ . This a contradiction. Hence  $\lambda \notin \sigma_{aw}(\delta_{A,B})$ .

According to [7], a left polaroid operator (resp. a right polaroid operator) satisfies property  $(\mathcal{P}_l)$ , (resp.  $(\mathcal{P}_r)$ ), if it is left polar at every  $\lambda \in iso\sigma_a(T)$  (resp. right polar at every  $\lambda \in iso\sigma_s(T)$  which satisfies property  $(\mathcal{P}_l)$ , (resp. property  $(\mathcal{P}_r)$ ). The following lemma is the dual version of [7, Lemma 3.1].

LEMMA 3.3. Let  $\mathcal{X}$  be a Banach space. If  $T \in L(\mathcal{X})$  is a right polaroid and satisfies property  $(\mathcal{P}_r)$ , then for every  $\lambda \in iso\sigma_s(T)$  there exist T-invariant closed subspaces  $N_1$  and  $N_2$  such that  $\mathcal{X} = N_1 \oplus N_2$ ,  $(T - \lambda)|_{N_1}$  is nilpotent of order  $d(\lambda)$  and  $(T - \lambda I)|_{N_2}$  is surjective, where  $d(\lambda)$  is the order of the right pole at  $\lambda$ . Moreover,  $K(T - \lambda I) = R((T - \lambda I)^{d(\lambda)})$ .

Proof. From the hypothesis,  $T - \lambda$  is quasi-Fredholm of degree  $d(\lambda)$  and closed subspace  $N((T - \lambda I)^{d(\lambda)}) + R(T - \lambda)$  is complemented in  $\mathcal{X}$ . Since  $T \in L(\mathcal{X})$  is right polaroid and satisfies property  $(\mathcal{P}_r)$ , then  $N(T - \lambda) \cap R((T - \lambda)^{d(\lambda)})$  is complemented in  $\mathcal{X}$ . From [25, Theorem 5], there exist T-invariant closed subspaces  $N_1$  and  $N_2$ such that  $\mathcal{X} = N_1 \oplus N_2$ ,  $(T - \lambda)|_{N_1}$  is nilpotent of order  $d(\lambda)$  and  $(T - \lambda I)|_{N_2}$  is semi-regular. Since  $dsc(T - \lambda I) = d(\lambda)$ , the semi-regular operator  $(T - \lambda I)|_{N_2}$  is surjective. Since  $K(T - \lambda I) = K((T - \lambda I)|N_1) \oplus K((T - \lambda I)|N_2) = 0 \oplus N_2 = N_2$ , we can conclude from [2, Theorem 2.7] that  $K(T - \lambda I) = R((T - \lambda I)^{d(\lambda)}$ . Next follows the main result of this section.

THEOREM 3.4. Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and let  $A \in L(\mathcal{X})$  be a left polaroid and  $B \in L(\mathcal{Y})$  be a right polaroid. If A satisfies property  $(\mathcal{P}_l)$  and B satisfies property  $(\mathcal{P}_r)$ , then  $\delta_{A,B}$  is a left polaroid.

Proof. Let  $\lambda \in iso\sigma_a(\delta_{A,B})$ . Then there exist  $\mu \in \sigma_a(A)$  and  $\nu \in \sigma_s(B)$  such that  $\lambda = \mu - \nu$ , and it follows that  $\mu \in iso\sigma_a(A)$  and  $\nu \in iso\sigma_s(B) = iso\sigma_a(B^*)$ . Since A is a left polaroid, then there exist A-invariant closed subspaces  $M_1$  and  $M_2$  such that  $\mathcal{X} = M_1 \oplus M_2$ ,  $(A - \mu I)|_{M_1} = A_1 - \mu I|_{M_1}$  is nilpotent of order  $d_1$  where  $d_1 = d(\mu)$  is the order of left pole of A at  $\mu$  and that  $(A - \mu I)|_{M_2} = A_2 - \mu I|_{M_2}$  is bounded below. Also, since B is a right polaroid, then there exists B-invariant closed subspaces  $N_1$  and  $N_2$  such that  $\mathcal{Y} = N_1 \oplus N_2$ ,  $(B - \nu)|_{N_1} = B_1 - \nu I|_{N_1}$  is nilpotent of order  $d_2$  where  $d_2 = d(\nu)$  is the order of right pole of B at  $\nu$  and  $(B - \nu I)|_{N_2} = B_2 - \nu I|_{N_2}$  is surjective. Let  $d = d_1 + d_2$  and  $X \in L(N_1 \oplus N_2, M_1 \oplus M_2)$  have the representation  $X = [X_{kl}]_{k,l=1}^2$ . We will prove that  $asc(\delta_{A,B} - \lambda I)$  is finite.

Let  $(\delta_{A,B} - \lambda I)^{d+1}(X) = 0$  imply that  $X_{12} = X_{21} = X_{22} = 0$ . Since  $(\delta_{A_1,B_1} - \lambda I)$  is *d*-nilpotent it follows that  $(\delta_{A,B} - \lambda I)^d(X) = 0$ . Hence  $asc(\delta_{A,B} - \lambda I) \leq d < \infty$ .

Now, we prove that  $(\delta_{A,B} - \lambda I)^{d+1}(L(\mathcal{Y}, \mathcal{X}))$  is closed. First, we will prove that  $0 \notin \sigma_a(\delta_{A_2-\mu I|_{M_2},B_2-\nu I|_{N_2}})$ . For this, it suffices to prove that  $\sigma_a(A_2-\mu I|_{M_2}) \cap \sigma_s(B_2-\nu I|_{N_2}) = \emptyset$ . Suppose that there exists a complex number  $\alpha$  such that  $\alpha \in \sigma_a(A_2-\mu I|_{M_2}) \cap \sigma_s(B_2-\nu I|_{N_2})$ . Then  $\alpha \in \sigma_a(A_2-\mu I|_{M_2})$  and  $\alpha \in \sigma_s(B_2-\nu I|_{N_2})$ , from [1, Theorem 2.48],  $0 \in \sigma_a(A_2-(\mu+\alpha)I|_{M_2})$  and  $0 \in \sigma_s(B_2-(\nu+\alpha)I|_{N_2})$ . Since  $(\mu+\alpha)$  is isolated in the approximate point spectrum of A and  $(\nu+\alpha)$  is isolated in the surjective spectrum of B, then by the hypothesis A is a left polaroid which satisfies property  $(\mathcal{P}_l)$  and B is a right polaroid which satisfies property  $(\mathcal{P}_r)$ . We conclude that

$$(A - (\mu + \alpha)I)|_{M_2} = A_2 - (\mu + \alpha)I|_{M_2}$$

is bounded below and

$$(B - (\nu + \alpha)I)|_{N_2} = B_2 - (\nu + \alpha)I|_{N_2}$$

is surjective. That is

$$0 \notin \sigma_a(A_2 - (\mu + \alpha)I|_{M_2})$$
 and  $0 \notin \sigma_s(B_2 - (\nu + \alpha)I|_{N_2})$ 

This is a contradiction, hence  $0 \notin \sigma_a(\delta_{A_2-\mu I|_{M_2},B_2-\nu I|_{N_2}})$ . Since  $0 \notin \sigma_a(\delta_{A_2,B_2} - \lambda I)$ , then from [3, Lemma 1.1]  $(\delta_{A_2,B_2} - \lambda I)^{d+1}(L(N_2,M_2))$  is closed. We have that  $\delta_{A_1,B_1} - \lambda I$  is nilpotent of order d, and then by [26, Theorem 2.7] it follows that

$$(\delta_{A_1,B_1} - \lambda I)^{d+1}(L(N_1,M_1))$$
 is closed

From the fact that  $0 \notin \sigma_a(\delta_{A_i,B_i} - \lambda I)$  and [3, Lemma 1.1]AA, it follows that

$$(\delta_{A_i,B_j} - \lambda I)^{d+1}(L(N_j,M_i))$$
 is closed for  $1 \le i,j \le 2$  and  $i \ne j$ .

Consequently,  $(\delta_{A,B} - \lambda I)^{d+1}(L(\mathcal{X}, \mathcal{Y}))$  is closed. Hence  $\lambda$  is a left pole of  $\delta_{A,B}$  which means that  $\delta_{A,B}$  is a left polaroid.

In the case of Hilbert spaces, we have the following corollary.

COROLLARY 3.5. Let H and K be Hilbert spaces and let  $A \in L(H)$  and  $B \in L(K)$ . If A and  $B^*$  are left polaroids, then  $\delta_{A,B}$  is left polaroid.

REMARK. From [18, Theorem 3.8] we have that if  $T \in L(\mathcal{X})$ , such that  $\alpha(T) < \infty$  and  $asc(T) < \infty$ , then  $R(T^n)$  is closed for some integer n > 1, if and only if R(T) is closed. Hence T is a finitely left polaroid if and only if  $\alpha(T-\lambda I) < \infty$ ,  $asc(T - \lambda I) < \infty$  and  $R(T - \lambda I)$  is closed for every  $\lambda \in iso\sigma_a(T)$ .

In the following theorem, we characterize finitely left polaroid generalized derivation.

THEOREM 3.6. Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and let  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ . If A and  $B^*$  are finitely left polaroid operators, then  $\delta_{A,B}$  is a finitely left polaroid.

Proof. Let  $\lambda \in iso\sigma_a(\delta_{A,B})$ . Then there exist  $\mu \in \sigma_a(A)$  and  $\nu \in \sigma_s(B)$  such that  $\lambda = \mu - \nu$ , hence we have  $\mu \in iso\sigma_a(A)$  and  $\nu \in iso\sigma_s(B) = iso\sigma_a(B^*)$ . Suppose that A and  $B^*$  are finitely left polaroids. Then from [27, Corollary 2.2] we have that  $\mu \notin \sigma_{ab}(A)$  and  $\nu \notin \sigma_{ab}(B^*)$ . Applying statement ii) of Lemma 3.1, we get  $\lambda \notin \sigma_{ab}(\delta_{A,B})$ , hence by [27, Corollary 2.2]  $\delta_{A,B}$  is a finitely left polaroid.

#### 4. Consequences to Weyl's type theorem

For  $T \in L(\mathcal{X})$ , let  $E^a(T) = \{\lambda \in iso\sigma_a(T) : 0 < \alpha(T - \lambda I)\}$  and  $E_0^a(T) = \{\lambda \in E^a(T) : \alpha(T - \lambda I) < \infty\}$ . Recall that T is said to satisfy a-Browder's theorem (resp. generalized a-Browder's theorem) if  $\sigma_a(T) \setminus \sigma_{aw}(T) = \Pi_0^l(T)$  (resp.  $\sigma_a(T) \setminus \sigma_{UBW}(T) = \Pi^l(T)$ ). From [4, Theorem 2.2] we have that T satisfies a-Browder's theorem if and only if T satisfies generalized a-Browder's theorem. T is said to satisfy a-Weyl's theorem (resp. generalized a-Weyl's theorem) if  $\sigma_a(T) \setminus \sigma_{aw}(T) = E_0^a(T)$  (resp.  $\sigma_a(T) \setminus \sigma_{UBW}(T) = E^a(T)$ ).

For  $T \in L(\mathcal{X})$ , let  $E(T) = \{\lambda \in iso\sigma(T) : 0 < \alpha(T - \lambda I)\}$  and  $E_0(T) = \{\lambda \in E(T) : \alpha(T - \lambda I) < \infty\}$ . Recall that T is said to satisfy Weyl's theorem (resp. generalized Weyl's theorem) if  $\sigma(T) \setminus \sigma_W(T) = E_0(T)$  (resp.  $\sigma(T) \setminus \sigma_{BW}(T) = E(T)$ ). We know that if T satisfies generalized a-Weyl's theorem then T satisfies a-Weyl's theorem and this implies that T satisfies Weyl's theorem. Next, generalized a-Weyl's theorem for  $\delta_{A,B}$  will be studied.

THEOREM 4.1. Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and let  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ . Suppose that A and  $B^*$  satisfy a-Browder's theorem. If A is a left polaroid and satisfies property  $(\mathcal{P}_l)$  and B is a right polaroid and satisfies  $(\mathcal{P}_r)$ , then the following assertions are equivalent.

- i)  $\delta_{A,B}$  satisfies generalized a-Weyl's theorem.
- ii)  $\sigma_{aw}(\delta_{A,B}) = (\sigma_{aw}(A) \sigma_s(B)) \cup (\sigma_a(A) \sigma_{aw}(B^*)).$

Proof. If A and  $B^*$  satisfy a-Browder theorem, then they satisfy generalized a-Browder theorem. By [8, Theorem 4.2] it follows that  $\delta_{A,B}$  satisfies generalized a-Browder's theorem if and only if  $\sigma_{aw}(\delta_{A,B}) = (\sigma_{aw}(A) - \sigma_s(B)) \cup (\sigma_a(A) - \sigma_{aw}(B^*))$ . That is  $\sigma_a(\delta_{A,B}) \setminus \sigma_{UBW}(\delta_{A,B}) = \Pi^l(\delta_{A,B})$ . Since A is a left polaroid and B is a right polaroid, then from Theorem 3.4  $\delta_{A,B}$  is a left polaroid, consequently  $\Pi^l(\delta_{A,B}) = E^a(\delta_{A,B})$ . Thus  $\delta_{A,B}$  satisfies generalized a-Weyl's theorem. The reverse implication is obvious from the fact that  $\delta_{A,B}$  satisfies generalized a-Weyl's theorem implies  $\delta_{A,B}$  satisfies generalized a-Browder's theorem  $\blacksquare$ 

In the case of Hilbert spaces operators, we have the following corollaries.

COROLLARY 4.2. Let H and K be two Hilbert spaces and let  $A \in L(H)$  and  $B \in L(K)$ . Suppose that A and  $B^*$  satisfy a-Browder's theorem. If A is a left polaroid and B is a right polaroid, then the following assertions are equivalent.

- i)  $\delta_{A,B}$  satisfies generalized a-Weyl's theorem.
- ii)  $\sigma_{aw}(\delta_{A,B}) = (\sigma_{aw}(A) \sigma_s(B)) \cup (\sigma_a(A) \sigma_{aw}(B^*)).$

COROLLARY 4.3. Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and let  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ . Suppose that A and  $B^*$  satisfy a-Browder's theorem. If A is a left polaroid and satisfies property  $(\mathcal{P}_l)$  and B is a right polaroid and satisfies property  $(\mathcal{P}_r)$ , then the following assertions are equivalent.

- i)  $\delta_{A,B}$  has SVEP at  $\lambda \notin \sigma_{UBW}(\delta_{A,B})$ .
- ii)  $\delta_{A,B}$  satisfies a-Browder's theorem.
- iii)  $\delta_{A,B}$  satisfies a-Weyl's theorem.
- iv)  $\delta_{A,B}$  satisfies generalized a-Weyl's theorem.
- v)  $\sigma_{aw}(\delta_{A,B}) = (\sigma_{aw}(A) \sigma_s(B)) \cup (\sigma_a(A) \sigma_{aw}(B^*)).$

*Proof.*  $(i) \Leftrightarrow (ii)$  follows from [5, Theorem 2.1],  $(iii) \Leftrightarrow (iv)$  follows from [3, Theorem 3.7] and  $(iv) \Leftrightarrow (v)$  follows from Theorem 4.1.

In the following result, we give sufficient conditions for  $\delta_{A,B}$  to satisfy a-Browder's theorem.

THEOREM 4.4. Let  $\mathcal{X}$  and  $\mathcal{Y}$  be two Banach spaces and let  $A \in L(\mathcal{X})$  and  $B \in L(\mathcal{Y})$ . If A has SVEP at  $\mu \in \sigma_a(A) \setminus \sigma_{SF_+}(A)$  and B has SVEP at  $\nu \in \sigma_a(B^*) \setminus \sigma_{SF_-}(B)$ , then  $\delta_{A,B}$  satisfies a-Browder's theorem.

Proof. Let  $\lambda \in \sigma_a(\delta_{A,B}) \setminus \sigma_{aw}(\delta_{A,B})$ . Then  $\lambda \in \sigma_a(\delta_{A,B}) \setminus \sigma_{SF_+}(\delta_{A,B})$ , and from statement i) of Lemma 3.1 there exist  $\mu \in \sigma_a(A) \setminus \sigma_{SF_+}(A)$  and  $\nu \in \sigma_s(B) \setminus \sigma_{SF_-}(B)$  such that  $\lambda = \mu - \nu$ . Since A has SVEP at  $\mu \notin \sigma_{SF_+}(A)$  and B has SVEP at  $\nu \notin \sigma_{SF_-}(B)$ , it follows from [27, Corollary 2.2] that  $\mu \notin \sigma_{ab}(A)$  and  $\nu \notin \sigma_{ab}(B^*)$ ; applying statement ii) of Lemma 3.1 we get  $\lambda \notin \sigma_{ab}(\delta_{A,B})$ . Hence  $\lambda \in \Pi_0^l(\delta_{A,B})$ . Let  $\lambda \in \Pi_0^l(\delta_{A,B})$ ; according to [27, Corollary 2.2], we have  $\lambda \in \sigma_a(\delta_{A,B}) \setminus \sigma_{ab}(\delta_{A,B})$ . Since  $\sigma_{aw}(T) \subseteq \sigma_{ab}(T)$ , then  $\lambda \in \sigma_a(\delta_{A,B}) \setminus \sigma_{aw}(\delta_{A,B})$ . Hence  $\delta_{A,B}$  satisfy a-Browder's theorem.

# 5. Application

A Banach space operator  $T \in L(\mathcal{X})$  is said to be hereditary normaloid,  $T \in \mathcal{I}(\mathcal{X})$  $\mathcal{HN}$ , if every part of T (i.e., the restriction of T to each of its invariant subspaces) is normaloid (i.e., ||T|| equals the spectral radius r(T)).  $T \in \mathcal{HN}$  is totally hereditarily normaloid,  $T \in \mathcal{THN}$ , if also the inverse of every invertible part of T is normaloid and T is completely totally hereditarily normaloid (abbr.  $T \in CHN$ ), if either  $T \in \mathcal{THN}$  or  $T - \lambda I \in \mathcal{HN}$  for every complex number  $\lambda$ . The class  $\mathcal{CHN}$  is large. In particular, let H be a Hilbert space and  $T \in L(H)$  be a Hilbert space operator. If T is hyponormal  $(T^*T \ge TT^*)$  or p-hyponormal  $((T^*T)^p) \ge (TT^*)^p)$  for some  $(0 or w-hyponormal <math>((|T^*|^{\frac{1}{2}}|T||T^*|^{\frac{1}{2}})^{\frac{1}{2}} \geq |T^*|)$ , then T is in  $\mathcal{THN}$ . Again, totaly \*-paranormal operators  $(||(T - \lambda I)^* x||^2 \le ||(T - \lambda I)x||^2$  for every unit vector x) are  $\mathcal{HN}$ -operators and paranormal operators  $(||Tx||^2 \le ||T^2x|| ||x||,$ for all unit vector x) are  $\mathcal{THN}$ -operators. It is proved in [11] that if  $A, B^* \in L(H)$ are hyponormal, then the generalized Weyl's theorem holds for  $f(\delta_{A,B})$  for every  $f \in \mathcal{H}(\sigma(\delta_{A,B}))$ , where  $\mathcal{H}(\sigma(\delta_{A,B}))$  is the set of all analytic functions defined on a neighborhood of  $\sigma(\delta_{A,B})$ . This result was extended to log-hyponormal or phyponormal operators in [14] and [22]. Also, in [10] and [23], it is shown that if  $A, B^* \in L(H)$  are w-hyponormal operators, then Weyl's theorem holds for  $f(\delta_{A,B})$ for every  $f \in \mathcal{H}(\sigma(\delta_{A,B}))$ . Let  $\mathcal{H}_c(\sigma(T))$  denote the space of all analytic functions defined on a neighborhood of  $\sigma(T)$  which is non constant on each of the components of its domain. In the next results we can give more.

THEOREM 5.1. Suppose that  $A, B \in L(H)$  are CHN operators; then  $\delta_{A,B}$  satisfies a-Browder's theorem.

*Proof.* Since A and B are  $\mathcal{CHN}$ -operators, it follows from [13, Corollary 2.10] that A has SVEP at  $\mu \in \sigma_a(A) \setminus \sigma_{SF_+}(A)$  and B has SVEP at  $\mu \in \sigma_a(B^*) \setminus \sigma_{SF_-}(B)$ . Then by Theorem 4.4, a-Browder's theorem holds for  $\delta_{A,B}$ .

COROLLARY 5.2. If  $A, B \in L(H)$  are CHN operators, then

- i)  $\delta_{A,B}$  has SVEP at  $\lambda \notin \sigma_{UBW}(\delta_{A,B})$ ,
- ii)  $\delta_{A,B}$  satisfies a-Browder's theorem.
- iii)  $\delta_{A,B}$  satisfies a-Weyl's theorem.
- iv)  $\delta_{A,B}$  satisfies generalized a-Weyl's theorem.
- v)  $\sigma_{aw}(\delta_{A,B}) = (\sigma_{aw}(A) \sigma_s(B)) \cup (\sigma_a(A) \overline{\sigma_{aw}(B^*)}).$

*Proof.* Since A and B are CHN-operators, it follows from [13, Corollary 2.15] that A, B, A<sup>\*</sup> and B<sup>\*</sup> satisfy a-Browder's theorem. By [13, Proposition 2.1], we conclude that A and B<sup>\*</sup> are left polaroids. The assertions follows from Corollary 4.3.

COROLLARY 5.3. Suppose that  $A, B \in L(H)$  are CHN-operators. Then  $f(\delta_{A,B})$  satisfies generalized a-Browder's theorem, for every  $f \in \mathcal{H}_c(\sigma(\delta_{A,B}))$ .

*Proof.* By Corollary 5.2 and [16, Corollary 3.5], we get that generalized a-Browder's theorem holds for  $f(\delta_{A,B})$ .

COROLLARY 5.4. Suppose that  $A, B \in L(H)$  are CHN-operators. Then  $f(\delta_{A,B})$  satisfies generalized a-Weyl's theorem, for every  $f \in \mathcal{H}_c(\sigma(\delta_{A,B}))$ .

*Proof.* By [13, Proposition 2.1] and Theorem 3.4, we get that  $\delta_{A,B}$  is a left polaroid and from Corollary 5.2 we have that  $\delta_{A,B}$  satisfies generalized a-Weyl's theorem. Applying [16, Theorem 3.14] we get that generalized a-Weyl's's theorem holds for  $f(\delta_{A,B})$ .

ACKNOWLEDGEMENT. The authors wish to express their indebtedness to the referee, for his suggestions and valuable comments on this paper.

#### REFERENCES

- [1] P. Aiena, Fredholm and local spectral theory, with application to multipliers, Kluewer Acad. Publ., 2004.
- P. Aiena, Quasi-Fredholm operators and localized SVEP, Acta Sci. Math. (Szeged), 73 (2007), 251–263.
- [3] P. Aiena, E. Aponte, E. Balzan, Weyl type theorems for left and right polaroid operators, Intgr. Equ. Oper. Theory. 66 (2010), 1–20.
- [4] M. Amouch, H. Zguitti, On the equivalence of Browder's and generalized Browder's theorem, Glasgow. Math. J. 48 (2006), 179–185.
- [5] M. Amouch, H. Zguitti, A note on the a-Browder's and a-Weyl's theorems, Mathematica Bohemica. 133 (2008) 157–166.
- [6] E. Boasso, B. P. Duggal, I. H. Jeon, Generalized Browder's and Weyl's theorem for left and right multiplication operators, J. Math Anal. Appl. (2010) 461–471.
- [7] E. Boasso, B. P. Duggal, Tensor product of left polatoid operators, Acta Sci. Math. (Szeged) 78 (2012) 251–264.
- [8] E. Boasso, M. Amouch, Generalized Browder's and Weyl's theorem for generalized derivations, Mediterr. J. Math. 12 (2015), 117–131.
- M. Berkani, Index of B-Fredholm operators and generalization of A Weyl theorem, Proc. Amer. Math. Soc. 130 (2002), 1717–1723.
- [10] M. Cho, S. V. Djordjević, B. P. Duggal, T. Yamazaki, On an elementary operator with w-hyponormal operator entries, Linear Algebra Appl. 433 (2010) 2070–2079.
- [11] B. P. Duggal, Weyl's theorem for a generalized derivation and an elementary operator, Mat. Vesnik. 54 (2002), 71–81.
- [12] B. P. Duggal, C. S. Kubrusly, Totally hereditarily normaloid operators and Weyl's theorem for an elementary operator, J. Math. Anal. Appl. 312 (2005) 502–513.
- [13] B. P. Duggal, Hereditarily normaloid operators, Extracta Math. 20 (2005), 203–217.
- [14] B. P. Duggal, An elementary operator with log-hyponormal, p-hyponormal entries, Linear Algebra Appl. 428 (2008), 1109–1116.
- [15] B. P. Duggal, Browder-Weyl theorems, tensor products and multiplications, J. Math. Anal. Appl. 359 (2009), 631–636.
- [16] B. P. Duggal, SVEP and generalized Weyl's theorem, Mediterr. J. Math. 4 (2007), 309-320.
- [17] J. Eschmeier, Tensor products and elementary operators, J. Reine Angew. Math. 390 (1988), 47–66.
- [18] S. Grabiner, Uniform ascent and descent of bounded operators, J. Math. Soc. Japon, 34 (1982), 223–254.

286

- [19] R. Harte, A.-H. Kim, Weyl's theorem, tensor products and multiplication operators, J. Math. Anal. Appl. 336 (2007), 1124–1131.
- [20] K. B. Laursen, M. M. Neumann, An Introduction to Local Spectral Theory, London Math. Soc. Monographs, Oxford Univ. Press, 2000.
- [21] F. Lombarkia, Generalized Weyl's theorem for an elementary operator, Bull. Math. Anal. Appl. 3 (4) (2011), 123–131.
- [22] F. Lombarkia, A. Bachir, Weyl's and Browder's theorem for an elementary operator, Mat. Vesnik 59 (2007), 135–142.
- [23] F. Lombarkia, A. Bachir, Property (gw) for an elementary operator, Int. J. Math. Stat. 9 (2011), 42–48.
- [24] F. Lombarkia, H. Zguitti On the Browder's theorem of an elementary operator, Extracta Math. 28, 2 (2013), 213–224.
- [25] V. Müller, On the Kato decomposition of quasi-Fredholm and B-Fredholm operators, Preprint ESI 1013, Vienna, 2001.
- [26] O. Bel Hadj Fredj, M. Burgos, M. Oudghiri, Ascent spectrum and essential ascent spectrum, Studia Math. 187 (2008), 59–73.
- [27] V. Rakočević, Approximate point spectrum and commuting compact perturbations, Glasgow Math. J. 28 (1986), 193–198.

(received 23.09.2014; in revised form 12.02.2015; available online 01.04.2015)

M. A., Department of Mathematics, University Chouaib Doukkali, Faculty of Sciences, Eljadida, 24000, Eljadida, Morocco.

E-mail: mohamed.amouch@gmail.com

F. L., Department of Mathematics, Faculty of Science, University of Batna, 05000, Batna, Algeria. E-mail: lombarkiafarida@yahoo.fr