

# Computation of the $\lambda_u$ -function in $JB^*$ -algebras

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ABSTRACT. Motivated by the work of Gert K. Pedersen on a geometric function, which is defined on the unit ball of a  $C^*$ -algebra and called the  $\lambda_u$ -function, the present author recently initiated a study of the  $\lambda_u$ -function in the more general setting of  $JB^*$ -algebras. He used his earlier results on the geometry of the unit ball to investigate certain convex combinations of elements in a  $JB^*$ -algebra and to obtain analogues of some related  $C^*$ -algebra results, including a formula to compute  $\lambda_u$ -function on invertible elements in a  $JB^*$ -algebra. The main purpose in this article is to investigate the computation of the  $\lambda_u$ -function on noninvertible elements in the unit ball of a  $JB^*$ -algebra. Additional results that relate the  $\lambda_u$ -function to convex combinations, unitary rank, and distance to the invertibles in the  $C^*$ -algebra setting are generalized to the  $JB^*$ -algebra context. Results of G. K. Pedersen and M. Rørdam are generalized. An open problem is presented.

## CONTENTS

|                                   |     |
|-----------------------------------|-----|
| 1. Introduction and preliminaries | 145 |
| 2. The $\lambda_u$ -function      | 148 |
| 3. The $\Lambda_u$ -condition     | 152 |
| 4. An open problem                | 156 |
| Acknowledgement                   | 157 |
| References                        | 157 |

## 1. Introduction and preliminaries

Inspired by the work of R. M. Aron and R. H. Lohman [2], G. K. Pedersen [11] studied a geometric function, called the  $\lambda_u$ -function, which is defined on the unit ball of a  $C^*$ -algebra. In a recent paper [22], we initiated a study of the  $\lambda_u$ -function in the more general setting of  $JB^*$ -algebras (originally, called Jordan  $C^*$ -algebras [24]).

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In [17, 22], we discussed two related set-valued functions  $\mathcal{V}(x)$  and  $\mathcal{S}(x)$  defined on the closed unit ball of a unital  $JB^*$ -algebra, which play a significant role in the study of the  $\lambda_u$ -function. Using our earlier results on the geometry of the unit ball (cf. [16, 18, 20, 21]), we obtained  $JB^*$ -algebra analogues of certain  $C^*$ -algebra results due to G. K. Pedersen, C. L. Olsen and M. Rørdam. Besides other related results, we have shown that  $\sup \mathcal{S}(x) = (\inf \mathcal{V}(x))^{-1}$  if  $\mathcal{V}(x) \neq \emptyset$  (see [22, Theorem 2.5]);  $\mathcal{V}(x) \cap [1, 2] \neq \emptyset$  if and only if  $x$  is invertible (see [22, Corollary 2.8]); and for any invertible element  $x$  in the closed unit ball,  $\lambda_u(x) = (\inf \mathcal{V}(x))^{-1} = \frac{1}{2}(1 + \|x^{-1}\|^{-1})$  satisfying  $x = \lambda_u(x)u_1 + (1 - \lambda_u(x))u_2$  for some unitary elements  $u_1, u_2$  (see [22, Theorem 2.5 and Corollary 2.10]).

In this article, we continue the study of the  $\lambda_u$ -function in the general setting of  $JB^*$ -algebras (of course, the  $\lambda_u$ -function is not defined in the context of more general  $JB^*$ -triple systems (cf. [7, 23]), which have no unitary elements). Our main goal here is to obtain some formulae to compute the  $\lambda_u$ -function for noninvertible elements of the closed unit ball in a  $JB^*$ -algebra. We compute the functions  $\mathcal{V}(x)$ ,  $\mathcal{S}(x)$  for noninvertible elements and make some estimates on  $\inf \mathcal{V}(x)$  in terms of the distance,  $\alpha(x)$ , from  $x$  to the set of invertible elements in a unital  $JB^*$ -algebra.

Further, we introduce a condition, called the  $\Lambda_u$ -condition, which is satisfied by all  $C^*$ -algebras and all finite-dimensional  $JB^*$ -algebras. For  $JB^*$ -algebras satisfying the  $\Lambda_u$ -condition, we obtain sharper bounds for estimates of  $\inf \mathcal{V}(x)$ , together with estimates of distances to the invertibles and to the unitaries. We also obtain the formula  $\lambda_u(x) = \frac{1}{2}(1 - \alpha(x))$  for all noninvertible elements  $x$  in the closed unit ball. In the course of our analysis, we prove several results on convex combinations, unitary rank, and distance to the invertibles related to the  $\lambda_u$ -function. These include the extension of some other results on  $C^*$ -algebras, due to G. K. Pedersen and M. Rørdam, to general  $JB^*$ -algebras. We shall conclude the article with a discussion on  $JB^*$ -algebras satisfying the  $\Lambda_u$ -condition and by formulating an open problem.

Our notation and terminology are standard and are the same as those found in [22] and [5, 8]. We recall that a commutative (but not necessarily associative) algebra  $\mathcal{J}$  with product “ $\circ$ ” is called a Jordan algebra if for all  $x, y \in \mathcal{J}$ ,  $x^2 \circ (x \circ y) = (x^2 \circ y) \circ x$ . For any fixed element  $x$  in a Jordan algebra  $\mathcal{J}$ , the  $x$ -homotope  $\mathcal{J}_{[x]}$  of  $\mathcal{J}$  is the Jordan algebra consisting of the same elements and linear space structure as  $\mathcal{J}$  but with a different product, “ $\cdot_x$ ”, defined by  $a \cdot_x b = \{axb\}$  for all  $a, b$  in  $\mathcal{J}_{[x]}$ . Here,  $\{pqr\}$  denotes the usual Jordan triple product defined in the Jordan algebra  $\mathcal{J}$  by  $\{pqr\} = (p \circ q) \circ r - (p \circ r) \circ q + (q \circ r) \circ p$ .

An element  $x$  in a Jordan algebra  $\mathcal{J}$  with unit  $e$  is said to be invertible if there exists (necessarily unique) element  $x^{-1} \in \mathcal{J}$ , called the inverse of  $x$ , such that  $x \circ x^{-1} = e$  and  $x^2 \circ x^{-1} = x$ . The set of all invertible elements in the unital Jordan algebra  $\mathcal{J}$  is denoted by  $\mathcal{J}_{\text{inv}}$ . In this case,

we have  $x \cdot_{x^{-1}} y = y$ , and so  $x$  acts as the unit in the homotope  $\mathcal{J}_{[x^{-1}]}$  of  $\mathcal{J}$ . Henceforth, the homotope  $\mathcal{J}_{[x^{-1}]}$  will be called the  $x$ -isotope of  $\mathcal{J}$  and denoted by  $\mathcal{J}^{[x]}$  (cf. [8]). It is well known that the  $x$ -isotope  $\mathcal{J}^{[x]}$  of a Jordan algebra  $\mathcal{J}$  need not be isomorphic to  $\mathcal{J}$  (cf. [9, 7]). However, some important features of Jordan algebras are unaffected by the process of forming isotopes (see [18, Lemma 4.2 and Theorem 4.6]).

A real or complex Jordan algebra  $(\mathcal{J}, \circ)$  is called a *Banach Jordan algebra* if there is a complete norm  $\|\cdot\|$  on  $\mathcal{J}$  satisfying  $\|a \circ b\| \leq \|a\|\|b\|$ ; if, in addition,  $\mathcal{J}$  has unit  $e$  with  $\|e\| = 1$ , then  $\mathcal{J}$  is called a *unital Banach Jordan algebra*. A complex Banach Jordan algebra  $\mathcal{J}$  with involution “ $*$ ” is called a  $JB^*$ -algebra if  $\|\{xx^*x\}\| = \|x\|^3$  for all  $x \in \mathcal{J}$ . It follows that  $\|x^*\| = \|x\|$  for all elements  $x$  of a  $JB^*$ -algebra (cf. [26]). The class of  $JB^*$ -algebras was introduced by Kaplansky in 1976 and it includes all  $C^*$ -algebras as a proper subclass (cf. [24]). For basic theories of Banach Jordan algebras and  $JB^*$ -algebras, we refer to [1, 4, 14, 23, 24, 25, 26]. Throughout this note,  $\mathcal{J}$  will denote a unital  $JB^*$ -algebra unless stated otherwise. A unital  $JB^*$ -algebra  $\mathcal{J}$ , is said to be of topological stable rank 1 (in short, *tsr1*) if  $\mathcal{J}_{\text{inv}}$  is norm dense in  $\mathcal{J}$ . Such  $JB^*$ -algebras have been recently studied by the present author in [18]. All complex spin factors and all finite-dimensional  $JB^*$ -algebras are of *tsr1*. Additional properties of  $JB^*$ -algebras of *tsr1* are developed in [18].

An invertible element  $u$  in a unital  $JB^*$ -algebra  $\mathcal{J}$  is called *unitary* if  $u^{-1} = u^*$ . We denote the set of all unitary elements of the  $JB^*$ -algebra  $\mathcal{J}$  by  $\mathcal{U}(\mathcal{J})$  and its convex hull by  $\text{co}\mathcal{U}(\mathcal{J})$ . If  $u \in \mathcal{U}(\mathcal{J})$  then the  $u$ -isotope  $\mathcal{J}^{[u]}$  is called a unitary isotope of  $\mathcal{J}$ . It is well known (see [7, 3, 18]) that for any unitary element  $u$  in a unital  $JB^*$ -algebra  $\mathcal{J}$ , the unitary isotope  $\mathcal{J}^{[u]}$  is a  $JB^*$ -algebra with  $u$  as its unit with respect to the original norm and the involution “ $*_u$ ” defined by  $x^{*_u} = \{ux^*u\}$ . Like invertible elements, the set of unitary elements in a unital  $JB^*$ -algebra  $\mathcal{J}$  is invariant on passage to isotopes of  $\mathcal{J}$  (cf. [18, Theorem 4.2 (ii) and Theorem 4.6]).

A self-adjoint element  $x$  (which means  $x^* = x$ ) is called *positive* in  $\mathcal{J}$  if its spectrum  $\sigma_{\mathcal{J}}(x) := \{\lambda \in \mathbb{C} : x - \lambda e \notin \mathcal{J}_{\text{inv}}\}$  is contained in the set of nonnegative real numbers, where  $\mathbb{C}$  denotes the field of complex numbers. Every element in a finite-dimensional  $JB^*$ -algebra  $\mathcal{J}$  is positive in some unitary isotope of  $\mathcal{J}$  (cf. [18, Theorem 5.9]). One of the main results (namely, Theorem 4.12) in [18] states that every invertible element  $x$  of a unital  $JB^*$ -algebra  $\mathcal{J}$  is positive in the unitary isotope  $\mathcal{J}^{[u]}$  of  $\mathcal{J}$ , where the unitary  $u$  is given by the usual polar decomposition  $x = u|x|$  of  $x$  considered as an operator in the algebra  $\mathcal{B}(\mathcal{H})$  of bounded linear operators on certain Hilbert space  $\mathcal{H}$ ; indeed, the same unitary  $u$  is the unitary approximant of  $x$ , meaning that  $\text{dist}(x, \mathcal{U}(\mathcal{J})) = \|x - u\|$ . More generally,  $\text{dist}(y, \mathcal{U}(\mathcal{J})) = \|y - e\|$  for any positive element in a  $JB^*$ -algebra  $\mathcal{J}$  with unit  $e$ . In [17, 18], we obtained some formulae to compute  $\text{dist}(x, \mathcal{U}(\mathcal{J}))$  including the cases when  $x \in (\mathcal{J})_1$ , the closed unit ball of  $\mathcal{J}$ , when  $\mathcal{J}$  is finite-dimensional, and

when  $\mathcal{J}$  is of *tsr1*. In general, one may not have unitary approximants for elements even in the case of von Neumann algebras (for such an example, see [10]).

In [17, 18], the author observed some interesting properties of the distance function  $\alpha(x)$ , in the context of  $JB^*$ -algebras. Here, we continue studying the function  $\alpha(x)$  and we investigate its connections with the convex hull  $\text{co}\mathcal{U}(\mathcal{J})$  of the unitaries. We connect it with the unitary rank  $u(x)$  of an element  $x$  — which is the least integer  $n$  such that  $x$  can be expressed as a convex combination of  $n$  unitary elements in  $\mathcal{J}$ ;  $u(x) = \infty$  otherwise — and with the  $\lambda_u$ -function.

## 2. The $\lambda_u$ -function

We begin this section by recalling (from [22]) the following construction of the functions  $\mathcal{V}(x)$ ,  $\mathcal{S}(x)$ , and  $\lambda_u(x)$  at elements  $x$  of the closed unit ball  $(\mathcal{J})_1$  in a unital  $JB^*$ -algebra  $\mathcal{J}$ : for each number  $\delta \geq 1$ ,

$$\text{co}_\delta \mathcal{U}(\mathcal{J}) := \left\{ \delta^{-1} \sum_{i=1}^{n-1} u_i + \delta^{-1}(1 + \delta - n)u_n : u_j \in \mathcal{U}(\mathcal{J}), j = 1, \dots, n \right\}$$

where  $n$  is the integer given by  $n - 1 < \delta \leq n$ ;

$$\mathcal{V}(x) := \{\delta \geq 1 : x \in \text{co}_\delta \mathcal{U}(\mathcal{J})\};$$

$$\mathcal{S}(x) := \{0 \leq \lambda \leq 1 : x = \lambda v + (1 - \lambda)y \text{ with } v \in \mathcal{U}(\mathcal{J}), y \in (\mathcal{J})_1\};$$

and

$$\lambda_u(x) := \sup \mathcal{S}(x).$$

Before presenting further results involving these constructions, it may be helpful to recall some of our results from [22]. Part (i) of the following theorem extends a  $C^*$ -algebra result due to Rørdam (see [13, Proposition 3.1]). The proof given in [22, Theorem 2.2] follows his argument with suitable changes necessitated by the nonassociativity of Jordan algebras.

**Theorem 2.1.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and let  $x \in (\mathcal{J})_1$ .*

- (i) *Let  $\|\gamma x - u_o\| \leq \gamma - 1$  for some  $\gamma \geq 1$  and some  $u_o \in \mathcal{U}(\mathcal{J})$ . Let  $(\alpha_2, \dots, \alpha_m) \in \mathbb{R}^{m-1}$  with  $0 \leq \alpha_j < \gamma^{-1}$  and  $\gamma^{-1} + \sum_{j=2}^m \alpha_j = 1$ . Then there exist unitaries  $u_1, \dots, u_m$  in  $\mathcal{J}$  such that*

$$x = \gamma^{-1}u_1 + \sum_{j=2}^m \alpha_j u_j.$$

*Moreover,  $(\gamma, \infty) \subseteq \mathcal{V}(x)$ .*

- (ii) *If  $(\gamma, \infty) \subseteq \mathcal{V}(x)$  then for all  $r > \gamma$  there is  $u_1 \in \mathcal{U}(\mathcal{J})$  such that  $\|rx - u_1\| \leq r - 1$ .*

This immediately gives the following result (cf. [22, Corollary 2.3]).

**Corollary 2.2.** *For any unital  $JB^*$ -algebra  $\mathcal{J}$ ,  $\text{co}_\gamma \mathcal{U}(\mathcal{J}) \subseteq \text{co}_\delta \mathcal{U}(\mathcal{J})$  whenever  $1 \leq \gamma \leq \delta$ . Thus, for each  $x \in (\mathcal{J})_1$ ,  $\mathcal{V}(x)$  is either empty or equal to  $[\gamma, \infty)$  or  $(\gamma, \infty)$  for some  $\gamma \geq 1$ .*

The following result gives some interesting relationship between the sets  $\mathcal{S}(x)$  and  $\mathcal{V}(x)$ ; in particular,  $(\inf \mathcal{V}(x))^{-1} = \sup \mathcal{S}(x)$  if  $\mathcal{V}(x) \neq \emptyset$ :

**Theorem 2.3** ([22, Theorem 2.5]). *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and let  $x \in (\mathcal{J})_1$ . Then:*

- (i) *If  $\lambda \in \mathcal{S}(x)$  and  $\lambda > 0$  then  $(\lambda^{-1}, \infty) \subseteq \mathcal{V}(x)$ .*
- (ii) *If  $\delta \in \mathcal{V}(x)$  then  $\delta^{-1} \in \mathcal{S}(x)$ .*
- (iii)  *$\lambda_u(x) = 0$  if and only if  $\mathcal{V}(x) = \emptyset$ .*
- (iv) *If  $\lambda_u(x) > 0$  then  $\mathcal{S}(x) = [0, \lambda_u(x))$  or  $[0, \lambda_u(x)]$ .*
- (v) *If  $\lambda_u(x) > 0$  and if  $0 < \lambda < \lambda_u(x)$  then  $\lambda^{-1} \in \mathcal{V}(x)$ .*
- (vi) *If  $\lambda_u(x) > 0$  then  $(\inf \mathcal{V}(x))^{-1} = \lambda_u(x)$ .*
- (vii) *If  $\inf(\mathcal{V}(x)) \in \mathcal{V}(x)$  then  $\lambda_u(x) \in \mathcal{S}(x)$ .*

As the next example shows,  $\lambda_u(x) \in \mathcal{S}(x)$  may not imply  $\inf \mathcal{V}(x) \in \mathcal{V}(x)$ .

**Example 2.4.** Let  $\mathcal{J} = \mathcal{C}_\mathbb{C}(\Delta)$  be the algebra of all complex-valued continuous functions on the closed unit disk  $\Delta$  in the complex plane  $\mathbb{C}$ . For any integer  $n \geq 2$ , let the functions  $f_n \in \mathcal{C}_\mathbb{C}(\Delta)$  be given by  $f_n(z) = (1 - \frac{1}{n})z + \frac{1}{n}$ . Then  $\lambda_u(f_n) \in \mathcal{S}(f_n)$  but  $\inf \mathcal{V}(f_n) \notin \mathcal{V}(f_n)$ .

Indeed, since  $f_n = \frac{1}{n}e + (1 - \frac{1}{n})g$  where  $e \in \mathcal{U}(\mathcal{J})$ ,  $g \in (\mathcal{J})_1$  are given by  $e(z) = 1$  and  $g(z) = z$  for all  $z \in \Delta$ , we have  $\lambda_u(f_n) \geq \frac{1}{n}$ . Suppose  $\lambda_u(f_n) > \frac{1}{n}$ . Then by Part (v) of Theorem 2.3,  $(\frac{1}{n})^{-1} \in \mathcal{V}(f_n)$  so that  $n \in \mathcal{V}(f_n)$ . This contradicts the fact that the unitary rank  $u(f_n) \neq n$  (cf. [17, Example 2.5]). Therefore,  $\lambda_u(f_n) = \frac{1}{n}$ . Hence,  $\lambda_u(f_n) \in \mathcal{S}(f_n)$ . But, by Part (vi) of Theorem 2.3,  $\inf \mathcal{V}(f_n) = (\lambda_u(f_n))^{-1} = n \notin \mathcal{V}(f_n)$ .

The following example shows the existence of an element  $x$  in a  $C^*$ -algebra of *tsr1* with  $\lambda_u(x) > 0$  but  $\inf \mathcal{V}(x) \notin \mathcal{V}(x)$ :

**Example 2.5.** Let  $\mathcal{J} = \mathcal{C}_\mathbb{C}((\mathbb{N} \cup \{\infty\}))$  be the  $C^*$ -algebra of all convergent complex sequences, where  $\mathbb{N}$  denotes the set of natural numbers (cf. [18, Remark 5.11]). If  $f \in (\mathcal{J})_1^\circ$  (the open unit ball of  $\mathcal{J}$ ) is defined by

$$f(n) = \begin{cases} (2n)^{-1} e^{\frac{1}{2}i\pi n} & \text{if } n \in \mathbb{N}, \\ 0 & \text{otherwise,} \end{cases}$$

then  $\inf \mathcal{V}(f) \notin \mathcal{V}(f)$  even though  $\mathcal{J}$  is of *tsr1*.

This is because  $\mathcal{J}$  is of *tsr1* by [12, Proposition 1.7]. Since  $f \in (\mathcal{J})_1^\circ$ , we get  $f \in \text{co}_{2+} \mathcal{U}(\mathcal{J})$  by [15, Theorem 11], where

$$\text{co}_{2+} \mathcal{U}(\mathcal{J}) = \left\{ x \in \mathcal{J} : \text{for each } \epsilon > 0, x \text{ has convex decomposition} \right. \\ \left. \sum_{i=1}^3 \alpha_i u_i \text{ with } u_i \in \mathcal{U}(\mathcal{J}), \alpha_3 < \epsilon \right\}.$$

Hence,  $\inf \mathcal{V}(x) = 2$  by [17, Theorem 30]. However,  $u(x) > 2$  by [6, Remark 19]. Thus,  $\inf \mathcal{V}(x) \notin \mathcal{V}(x)$  by [17, Lemma 24].

From Part (iii) of Theorem 2.3, we get the following connections among  $\alpha(x)$ ,  $\mathcal{V}(x)$  and  $\lambda_u(x)$  (cf. [22, Corollary 2.6]):

**Corollary 2.6.** *For any  $x \in (\mathcal{J})_1 \setminus \mathcal{J}_{\text{inv}}$ , the following statements are equivalent:*

- (i)  $\alpha(x) < 1 \Rightarrow \mathcal{V}(x) \neq \emptyset$ .
- (ii)  $\lambda_u(x) = 0 \Rightarrow \alpha(x) = 1$ .
- (iii)  $\alpha(x) < 1 \Rightarrow \lambda_u(x) > 0$ .

For the elements  $x$  with  $\mathcal{V}(x) \cap [1, 2) \neq \emptyset$ , we know the following relations among  $\text{dist}(x, \mathcal{U}(\mathcal{J}))$ ,  $\mathcal{V}(x)$  and  $\mathcal{S}(x)$  (see [22, Theorem 2.7]):

**Theorem 2.7.** *Let  $0 \leq \gamma < \frac{1}{2}$ . Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and let  $x \in (\mathcal{J})_1$ . Then the following statements are equivalent:*

- (i)  $\text{dist}(x, \mathcal{U}(\mathcal{J})) \leq 2\gamma$ .
- (ii)  $x \in \gamma\mathcal{U}(\mathcal{J}) + (1 - \gamma)\mathcal{U}(\mathcal{J})$ .
- (iii)  $(1 - \gamma)^{-1} \in \mathcal{V}(x)$ .
- (iv)  $1 - \gamma \in \mathcal{S}(x)$ .

This leads us to the following characterizations of the invertible elements in the unit ball; for such elements  $x$ , we obtain  $\inf \mathcal{V}(x) = 2(1 + \|x^{-1}\|^{-1})^{-1}$  (see [22, Corollary 2.8]):

**Corollary 2.8.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and let  $x \in (\mathcal{J})_1$ . Then:*

- (a) *The following statements are equivalent:*
  - (i)  $x$  is invertible.
  - (ii)  $x \in \gamma\mathcal{U}(\mathcal{J}) + (1 - \gamma)\mathcal{U}(\mathcal{J})$  for some  $0 \leq \gamma < \frac{1}{2}$ .
  - (iii)  $\text{dist}(x, \mathcal{U}(\mathcal{J})) \leq 2\gamma$  for some  $0 \leq \gamma < \frac{1}{2}$ .
  - (iv)  $1 - \gamma \in \mathcal{S}(x)$  for some  $0 \leq \gamma < \frac{1}{2}$ .
  - (v)  $(1 - \gamma)^{-1} \in \mathcal{V}(x)$  for some  $0 \leq \gamma < \frac{1}{2}$ .
  - (vi)  $\lambda \in \mathcal{V}(x)$  for some  $1 \leq \lambda < 2$ .
- (b) *Moreover, if  $x$  is invertible then  $\inf \mathcal{V}(x) = 2(1 + \|x^{-1}\|^{-1})^{-1}$  and  $\mathcal{V}(x) = [2(1 + \|x^{-1}\|^{-1})^{-1}, \infty)$ .*

Using Part (b) of Corollary 2.8 together with Theorem 2.3 and Theorem 2.7, one can easily deduce that for any invertible element  $x$  in the closed unit ball of a unital  $JB^*$ -algebra  $\mathcal{J}$ ,  $\lambda_u(x) = \frac{1}{2}(1 + \|x^{-1}\|^{-1})$  and  $x = \lambda_u(x)u_1 + (1 - \lambda_u(x))u_2$  for some  $u_1, u_2 \in \mathcal{U}(\mathcal{J})$  (cf. [22, Corollary 2.10]).

We proceed to obtain formulae for  $\lambda_u(x)$  when  $x$  is a noninvertible element of the closed unit ball in a unital  $JB^*$ -algebra. We shall also compute  $\mathcal{V}(x)$  and  $\mathcal{S}(x)$  for such elements, and we shall derive some estimates of  $\inf \mathcal{V}(x)$  in terms of  $\alpha(x)$ .

The following result gives an upper bound for  $\lambda_u(x)$  on noninvertible elements  $x$  of the closed unit ball:

**Theorem 2.9.** *Let  $\mathcal{J}$  be the  $JB^*$ -algebra and let  $x \in (\mathcal{J})_1$  be noninvertible. Then  $\lambda_u(x) \leq \frac{1}{2}(1 - \alpha(x))$ . Further, if  $\alpha(x) = 1$  then  $\lambda_u(x) = 0$ .*

**Proof.** Since  $\|x\| \leq 1$ ,  $\alpha(x) \leq 1$  and so the inequality is true if  $\lambda_u(x) = 0$ . Next, suppose  $\lambda_u(x) > 0$  and  $x = \lambda u + (1 - \lambda)v$  with  $u \in \mathcal{U}(\mathcal{J})$ ,  $v \in (\mathcal{J})_1$  and  $0 < \lambda \leq 1$ . If  $1 \geq \lambda > \frac{1}{2}$  then  $1 \leq \lambda^{-1} < 2$ , hence  $x = \lambda u + (1 - \lambda)v$  gives  $\|\lambda^{-1}x - u\| < 1$  and so  $\lambda^{-1}x$  is invertible in the isotope  $\mathcal{J}^{[u]}$  by [18, Lemma 2.1]. So that  $x \in \mathcal{J}_{\text{inv}}$  by [18, Lemma 4.2]; this contradicts the hypothesis. Therefore,  $0 < \lambda \leq \frac{1}{2}$ . Thus we have

$$(1) \quad \|x - \lambda(u + v)\| = \|(1 - 2\lambda)v\| \leq 1 - 2\lambda.$$

For any positive integer  $n$ ,  $\|(1 - \frac{1}{n})v\| < 1$ , so that  $u + (1 - \frac{1}{n})v$  is invertible in  $\mathcal{J}^{[u]}$  again by [18, Lemma 2.1], and hence it is in  $\mathcal{J}_{\text{inv}}$  as above. Hence,  $\alpha(x) \leq \|x - \lambda(u + (1 - \frac{1}{n})v)\|$  for all positive integers  $n \in \mathbb{N}$ , and so

$$(2) \quad \alpha(x) \leq \|x - \lambda(u + v)\|.$$

From (1) and (2), we conclude that  $\lambda_u(x) \leq \frac{1}{2}(1 - \alpha(x))$ .

Further, if  $\alpha(x) = 1$ , then  $\lambda_u(x) \leq \frac{1}{2}(1 - \alpha(x))$  gives  $\lambda_u(x) \leq 0$ , and hence  $\lambda_u(x) = 0$  because  $\lambda_u(x) \geq 0$ .  $\square$

We now give the following extension of [17, Theorem 34] for noninvertible elements of the open unit ball in a general  $JB^*$ -algebra; the norm 1 case will be discussed in the next section. For the invertible elements of the unit ball, see Corollary 2.8.

**Theorem 2.10.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and  $x \in (\mathcal{J})_1^\circ \setminus \mathcal{J}_{\text{inv}}$  (so that  $\alpha(x) < 1$ ). Then  $\mathcal{V}(x) \neq \emptyset$ . Further:*

- (i)  $\mathcal{V}(x) = [(\lambda_u(x))^{-1}, \infty)$  or  $\mathcal{V}(x) = ((\lambda_u(x))^{-1}, \infty)$ .
- (ii)  $u(x) = n$  if  $n \neq (\lambda_u(x))^{-1}$  given by  $n - 1 < (\lambda_u(x))^{-1} \leq n$ .
- (iii)  $u(x) = n$  or  $u(x) = n + 1$  if  $n = (\lambda_u(x))^{-1}$ .

In any case, for each  $0 < \epsilon \leq 1$ , there exist  $u_1, \dots, u_{n+1} \in \mathcal{U}(\mathcal{J})$  such that  $x = (\epsilon + n)^{-1}(u_1 + \dots + u_n + \epsilon u_{n+1})$ . Moreover,  $0 < (u(x))^{-1} \leq \lambda_u(x) \leq \frac{1}{2}(1 - \alpha(x))$ . Hence  $x \in \text{co}_{n+} \mathcal{U}(\mathcal{J})$ .

**Proof.** By [20, Theorem 2.3],  $u(x) < \infty$ . Since  $u(x) = \min(\mathcal{V}(x) \cap \mathbb{N})$  by [17, Lemma 24], we have  $\mathcal{V}(x) \neq \emptyset$ . Hence,  $\lambda_u(x) = (\inf \mathcal{V}(x))^{-1}$  by Theorem 2.3. Thus, Part (i) follows from Corollary 2.2. However, the other parts follow easily from Part (i) and Theorem 2.9.  $\square$

We close the section with the following realization:

**Corollary 2.11.** *Let  $\mathcal{J}$  be a  $JB^*$ -algebra of *tsr1* and let  $x \in (\mathcal{J})_1^\circ \setminus \mathcal{J}_{\text{inv}}$ . Then*

$$\mathcal{V}(x) = [2, \infty) \quad \text{or} \quad \mathcal{V}(x) = (2, \infty).$$

**Proof.** By Theorem 2.10,

$$\mathcal{V}(x) = [(\lambda_u(x))^{-1}, \infty) \quad \text{or} \quad \mathcal{V}(x) = ((\lambda_u(x))^{-1}, \infty).$$

Since  $\mathcal{J}$  is of *tsr1* and  $x \in (\mathcal{J})_1^\circ$ , we get  $x \in \text{co}_{2+}\mathcal{U}(\mathcal{J})$  by [15, Theorem 11]. Hence,  $2 + \epsilon \in \mathcal{V}(x)$  for all  $0 < \epsilon \leq 1$ . Now, since  $\alpha(x) = 0$ , we get

$$2 \leq (\lambda_u(x))^{-1} = \inf \mathcal{V}(x) \leq 2$$

by Theorem 2.3 and Theorem 2.9.  $\square$

### 3. The $\Lambda_u$ -condition

In the previous section, we observed several facts about convex combinations of unitaries in relation to the  $\lambda_u$ -function. We now introduce a condition on a general  $JB^*$ -algebra, called the  $\Lambda_u$ -condition. Under this condition, more precise assertions about the  $\lambda_u$ -function can be made. In particular, for any element  $x$  in a  $JB^*$ -algebra satisfying the  $\Lambda_u$ -condition, we have  $\mathcal{V}(x) \neq \emptyset$  and  $\lambda_u(x) > 0$  whenever  $\alpha(x) < 1$ . We shall observe some interesting characterizations of the  $\Lambda_u$ -condition, which in turn would give the bound of  $\inf \mathcal{V}(x)$  for elements  $x$  with  $\alpha(x) < 1$ .

**Definition 3.1.** We say that a unital  $JB^*$ -algebra satisfies the  $\Lambda_u$ -condition if and only if every noninvertible unit vector  $y \in \mathcal{J}$  with  $\lambda_u(y) = 0$  satisfies  $\alpha(y) = 1$ .

It may be noted that for any  $x \in (\mathcal{J})_1^\circ$ , we have  $\mathcal{V}(x) \neq \emptyset$  by [20, Theorem 2.3] and [17, Lemma 24]. Hence,  $\lambda_u(x) \neq 0$  by Theorem 2.3. Here, it is worth recalling from Theorem 2.9 that  $\lambda_u(x) = 0$  if  $\alpha(x) = 1$  with  $x \in (\mathcal{J})_1$ . Thus, in any unital  $JB^*$ -algebra satisfying the  $\Lambda_u$ -condition, we have  $\lambda_u(x) = 0$  if and only if  $\alpha(x) = 1$ .

**Example 3.2.** Any finite-dimensional  $JB^*$ -algebra and all unital  $C^*$ -algebras satisfy the  $\Lambda_u$ -condition by [17, Theorem 34] and [11, Theorem 5.1]), respectively.

The  $\Lambda_u$ -condition is good enough to guarantee an appropriate  $JB^*$ -algebra analogue of [13, Theorem 3.3], and hence that of [11, Theorem 5.1].

**Theorem 3.3.** *Suppose the unital  $JB^*$ -algebra  $\mathcal{J}$ , satisfies the  $\Lambda_u$ -condition and let  $x \in (\mathcal{J})_1$  be noninvertible with  $\alpha(x) < 1$ . Then:*

- (i)  $\lambda_u(x) > 0$ .
- (ii)  $\mathcal{V}(x) = [(\lambda_u(x))^{-1}, \infty)$  or  $\mathcal{V}(x) = ((\lambda_u(x))^{-1}, \infty)$ .
- (iii)  $u(x) = n$  if  $n \neq (\lambda_u(x))^{-1}$  given by  $n - 1 < (\lambda_u(x))^{-1} \leq n$ .
- (iv)  $u(x) = n$  or  $u(x) = n + 1$  if  $n = (\lambda_u(x))^{-1}$ .

*In either case, for each  $0 < \epsilon \leq 1$ , there exist  $u_1, \dots, u_{n+1} \in \mathcal{U}(\mathcal{J})$  such that  $x = (\epsilon + n)^{-1}(u_1 + \dots + u_n + \epsilon u_{n+1})$ , hence  $x \in \text{co}_{n+}\mathcal{U}(\mathcal{J})$ .*

**Proof.** If  $\|x\| = 1$  then from Corollary 2.6 we get  $\mathcal{V}(x) \neq \emptyset$  since  $\alpha(x) < 1$ . Hence, assertion (ii) follows for  $\|x\| = 1$  from Theorem 2.3 and Corollary 2.2. In the case  $\|x\| < 1$ , assertion (ii) follows from Theorem 2.10. The remaining assertions can easily be deduced from the assertion (i).  $\square$



**Corollary 3.4.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra satisfying the  $\Lambda_u$ -condition. Then:*

- (i)  $(\mathcal{J})_1 \setminus \text{co}\mathcal{U}(\mathcal{J}) \subseteq \{y \in \mathcal{J} : \|y\| = \alpha(y) = 1\}$ .
- (ii) *If  $\alpha(x) < 1$  for all  $x \in (\mathcal{J})_1$  then  $(\mathcal{J})_1 = \text{co}\mathcal{U}(\mathcal{J})$ .*
- (iii) *If  $\mathcal{J}$  is of *tsr1* then  $(\mathcal{J})_1 = \text{co}\mathcal{U}(\mathcal{J})$ .*

**Proof.** (i) If  $x \in (\mathcal{J})_1 \setminus \text{co}\mathcal{U}(\mathcal{J})$ , then  $\|x\| = 1$  (because  $\|x\| < 1$  gives  $x \in \text{co}\mathcal{U}(\mathcal{J})$  by [20, Theorem 2.3]) and  $\alpha(x) = 1$  (for otherwise,  $\lambda_u(x) > 0$  so that  $\mathcal{V}(x) \neq \emptyset$  by Theorem 2.3, and hence  $x \in \text{co}\mathcal{U}(\mathcal{J})$ ). Thus,

$$(\mathcal{J})_1 \setminus \text{co}\mathcal{U}(\mathcal{J}) \subseteq \{y \in \mathcal{J} : \|y\| = \alpha(y) = 1\}.$$

(ii) Since  $\alpha(x) < 1$  for all  $x \in \mathcal{J}$ ,  $\{y \in \mathcal{J} : \|y\| = \alpha(y) = 1\}$  is the empty set and hence  $(\mathcal{J})_1 = \text{co}\mathcal{U}(\mathcal{J})$  by assertion (i).

(iii) As  $\mathcal{J}$  is of *tsr1*,  $\alpha(x) = 0$  for all  $x \in \mathcal{J}$ . So the result follows from assertion (ii). □

The next result provides motivation for the subsequent results.

**Corollary 3.5.** *Suppose the unital  $JB^*$ -algebra  $\mathcal{J}$  satisfies the  $\Lambda_u$ -condition, and let  $x \in (\mathcal{J})_1$  be noninvertible with  $\alpha(x) < 1$ . Then  $\lambda_u(x) > 0$  and so  $\mathcal{V}(x) \neq \emptyset$ . Moreover:*

- (i)  $((\lambda_u(x))^{-1}, \infty) \subseteq \mathcal{V}(x)$ .
- (ii)  $(\lambda_u(x))^{-1} = \inf(\mathcal{V}(x))$ .
- (iii) *If  $\lambda > (\lambda_u(x))^{-1}$ , then there is  $u \in \mathcal{U}(\mathcal{J})$  with  $\|\lambda x - u\| \leq \lambda - 1$ .*

**Proof.** Since  $\mathcal{J}$  satisfies the  $\Lambda_u$ -condition and since  $\alpha(x) < 1$ ,  $\lambda_u(x) > 0$ . Now, the result follows from Theorem 2.1 and Theorem 2.3. □

Next, we see if we can identify  $\inf \mathcal{V}(x)$  in terms of  $\alpha(x)$ . For any noninvertible element  $x$  of the closed unit ball in a unital  $JB^*$ -algebra  $\mathcal{J}$  with  $\alpha(x) < 1$ , the number  $\beta_x$  is defined by  $\beta_x = 2(1 - \alpha(x))^{-1}$ :

**Theorem 3.6.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and suppose  $x \in (\mathcal{J})_1$  with  $\alpha(x) < 1$ . Then the following conditions are equivalent:*

- ( $\Lambda_1$ )  $(\beta_x, \infty) \subseteq \mathcal{V}(x)$ .
- ( $\Lambda_2$ )  $(\lambda_u(x))^{-1} = \inf \mathcal{V}(x) = \beta_x$ .
- ( $\Lambda_3$ ) *For all  $\gamma > \beta_x$ , there exists  $u \in \mathcal{U}(\mathcal{J})$  such that  $\|\gamma x - u\| \leq \gamma - 1$ .*
- ( $\Lambda_4$ )  $\lambda_u(x) \geq \beta_x^{-1}$ .

**Proof.** ( $\Lambda_1$ )  $\Rightarrow$  ( $\Lambda_2$ ): By [17, Theorem 30],  $\mathcal{V}(x) \subseteq [\beta_x, \infty)$ . Then, by the condition ( $\Lambda_1$ ),  $\inf \mathcal{V}(x) = \beta_x$ . Hence, the required equality follows from Theorem 2.3.

( $\Lambda_2$ )  $\Rightarrow$  ( $\Lambda_3$ ): See [17, Theorem 30].

( $\Lambda_3$ )  $\Rightarrow$  ( $\Lambda_4$ ): Let  $\gamma > \beta_x$ . Then, by the condition ( $\Lambda_3$ ), there exists  $u \in \mathcal{U}(\mathcal{J})$  such that  $\|\gamma x - u\| \leq \gamma - 1$ . Then, by Theorem 2.1,  $(\gamma, \infty) \subseteq \mathcal{V}(x)$  so that  $\inf \mathcal{V}(x) \leq \gamma$ . Hence, by Theorem 2.3,  $\lambda_u(x) \geq \gamma^{-1}$ . It follows that  $\lambda_u(x) \geq \beta_x^{-1}$ .

$(\Lambda_4) \Rightarrow (\Lambda_1)$ : Let  $\gamma > \beta_x$ . Then, by the condition  $(\Lambda_4)$ ,  $0 < \gamma^{-1} < \beta_x^{-1} \leq \lambda_u(x)$ . Thus,  $\gamma^{-1} \in \mathcal{S}(x)$ , and so  $(\gamma, \infty) \subseteq \mathcal{V}(x)$  by the assertion (i) of Theorem 2.3. It follows that  $(\beta_x, \infty) \subseteq \mathcal{V}(x)$ .  $\square$

**Corollary 3.7.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and  $x \in (\mathcal{J})_1$  with  $\alpha(x) < 1$  satisfy any of the conditions  $(\Lambda_1)$ – $(\Lambda_4)$ . If  $\alpha(x) < 1 - \frac{2}{m}$  then  $u(x) \leq m$ .*

**Proof.** As  $\alpha(x) < 1 - \frac{2}{m}$ ,  $m > 2(1 - \alpha(x))^{-1}$ . Hence, for the case when  $x \notin \mathcal{J}_{\text{inv}}$ , we have by [17, Theorem 30] that  $m \in \mathcal{V}(x)$ , or equivalently,  $u(x) \leq m$ . If  $x \in \mathcal{J}_{\text{inv}}$  then we get from Corollary 2.8 that  $m \in \mathcal{V}(x)$  since  $m \geq 2$ , and hence  $u(x) \leq m$ .  $\square$

**Corollary 3.8.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra of  $tsr1$  and let  $x$  be a non-invertible element of  $(\mathcal{J})_1$ . Let  $0 < \epsilon \leq 1$ . If  $x$  satisfies any one of the conditions  $(\Lambda_1)$ – $(\Lambda_4)$ , then there exist unitaries  $u_1, u_2$  and  $u_3$  in  $\mathcal{J}$  such that  $x = (2 + \epsilon)^{-1}(u_1 + u_2 + \epsilon u_3)$ .*

**Proof.** Since  $\mathcal{J}$  is of  $tsr1$ ,  $\alpha(x) = 0$  for all  $x \in \mathcal{J}$ . If the  $JB^*$ -algebra  $\mathcal{J}$  satisfies any one of the conditions  $(\Lambda_1)$ – $(\Lambda_4)$ , then for each noninvertible  $x \in (\mathcal{J})_1$  we have  $(2, \infty) \subseteq \mathcal{V}(x)$  since  $\alpha(x) = 0$  gives  $2 + \epsilon > 2(1 + \alpha(x))^{-1}$  for any  $\epsilon \in (0, 1]$ . This proves the result.  $\square$

**Remark 3.9.** [15, Theorem 11] states the same fact for elements of  $(\mathcal{J})_1^\circ$ .

If in Theorem 3.6 we restrict  $x$  to be of norm 1, then we obtain more equivalent conditions in the following result:

**Theorem 3.10.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra and let  $x \in \mathcal{J} \setminus \mathcal{J}_{\text{inv}}$  with  $\|x\| = 1$  and  $\alpha(x) < 1$ . Then the following are equivalent:*

- (i)  $(\Lambda_1)$  holds for  $x$ .
- (ii)  $(\Lambda_2)$  holds for  $x$ .
- (iii)  $(\Lambda_3)$  hold for  $x$ .
- (iv)  $(\Lambda_4)$  holds for  $x$ .
- (v)  $(\Lambda_1)$  holds for each  $rx$  with  $0 < r \leq 1$ .
- (vi)  $(\Lambda_2)$  holds for each  $rx$  with  $0 < r \leq 1$ .
- (vii)  $(\Lambda_3)$  holds for each  $rx$  with  $0 < r \leq 1$ .
- (viii)  $(\Lambda_4)$  holds for each  $rx$  with  $0 < r \leq 1$ .
- (ix) If  $y \in \text{Sp}(x)$  (the linear span of  $x$ ) and  $\|y\| > \alpha(y) + 2$ , then

$$\|y - u\| \leq \|y\| - 1$$

for some  $u \in \mathcal{U}(\mathcal{J})$ .

Moreover, if any one of the above conditions (i) to (ix) holds for all  $y \in \mathcal{J} \setminus \mathcal{J}_{\text{inv}}$  with  $\|y\| = 1$  and  $\alpha(y) < 1$ , then  $\mathcal{J}$  satisfies the  $\Lambda_u$ -condition.

**Proof.** We first establish the equivalence of the listed conditions. By Theorem 3.6, (i)–(iv) are equivalent. It is clear that  $rx \in (\mathcal{J})_1$ ; and by [18, Lemma 6.2],  $\alpha(rx) = r\alpha(x) < 1$  (as  $\alpha(x) < 1$ ) for each  $0 < r \leq 1$ . Hence, again by Theorem 3.6, (v)–(viii) are equivalent. Next, we show (ii)  $\Leftrightarrow$  (vi), (iv)  $\Rightarrow$  (ix) and (ix)  $\Rightarrow$  (i).

(ii)  $\Leftrightarrow$  (vi): Of course, (vi)  $\Rightarrow$  (ii). Conversely, suppose

$$(\lambda_u(x))^{-1} = \inf \mathcal{V}(x) = \beta_x.$$

Let  $r$  be any fixed number such that  $0 < r < 1$ . Then  $rx \in (\mathcal{J})_1^\circ \setminus \mathcal{J}_{\text{inv}}$ ; so that  $\lambda_u(rx) \leq \beta_{rx}^{-1}$  by Theorem 2.9. Let  $\lambda > \beta_x$ . Then, by the condition (ii) and Corollary 2.2,  $\lambda \in \mathcal{V}(x)$  so that  $x \in \text{co}_\lambda \mathcal{U}(\mathcal{J})$ . Hence, there exist  $u_1, \dots, u_n \in \mathcal{U}(\mathcal{J})$  with  $n - 1 < \lambda \leq n \in \mathbb{N}$  such that

$$x = \lambda^{-1}(u_1 + \dots + u_{n-1} + (1 + \lambda - n)u_n),$$

so that

$$rx = r\lambda^{-1}(u_1 + \dots + u_{n-1} + (1 + \lambda - n)u_n) + \frac{1-r}{2}u_1 + \frac{1-r}{2}(-u_1).$$

This implies

$$\begin{aligned} \lambda_u(rx) &\geq r\lambda^{-1} + \frac{1-r}{2} = r\beta_x^{-1} + \frac{1-r}{2} + r\lambda^{-1} - r\beta_x^{-1} \\ &= \frac{1}{2}(1 - r\alpha(x)) + r(\lambda^{-1} - \beta_x^{-1}) = \beta_{rx}^{-1} + r(\lambda^{-1} - \beta_x^{-1}). \end{aligned}$$

Hence,  $\lambda_u(rx) \geq \beta_{rx}^{-1} + r(\lambda^{-1} - \beta_x^{-1})$  for all  $\lambda > \beta_x$ . Thus,  $\lambda_u(rx) = \beta_{rx}^{-1}$ .

(iv)  $\Rightarrow$  (ix): Let  $y \in \text{Sp}(x)$  with  $\|y\| > \alpha(y) + 2$ . Clearly,  $\|y\|^{-1}$  exists and satisfies

$$\|y\|^{-1} < \frac{\|y\|^{-1}}{2}(\|y\| - \alpha(y)) = \frac{1}{2}(1 - \alpha(\|y\|^{-1}y)).$$

Since  $x = \|y\|^{-1}y$ , we get by (iv) that

$$\|y\|^{-1} < \frac{1}{2}(1 - \alpha(x)) \leq \lambda_u(x).$$

Then, by Theorem 2.3, for  $\lambda = \|y\|^{-1}$  there exist  $u \in \mathcal{U}(\mathcal{J})$  and  $v \in (\mathcal{J})_1$  such that  $x = \lambda u + (1 - \lambda)v$ . Hence,  $\|x - \lambda u\| \leq 1 - \lambda$  as  $\lambda \leq 1$  (in fact,  $\lambda \leq \frac{1}{2}$  as  $\lambda = \|y\|^{-1} < \frac{1}{\alpha(x)+2} \leq \frac{1}{2}$ ). Thus,  $\|y - u\| \leq \|y\| - 1$ .

(ix)  $\Rightarrow$  (i): For any  $\gamma > 2(1 - \alpha(x))^{-1}$ , we have  $\|\gamma x\| - \alpha(\gamma x) = \gamma - \gamma\alpha(x) > 2$  so that  $\|\gamma x\| > \alpha(\gamma x) + 2$ . Hence, by (ix),  $\|\gamma x - u\| \leq \|\gamma x\| - 1$  for some  $u \in \mathcal{U}(\mathcal{J})$ . So, by Theorem 2.1,  $(\gamma, \infty) \subseteq \mathcal{V}(x)$ . Thus,  $(\beta_x, \infty) \subseteq \mathcal{V}(x)$ .

Finally, suppose  $x \in \mathcal{J} \setminus \mathcal{J}_{\text{inv}}$  with  $\|x\| = 1$  and  $\lambda_u(x) = 0$ . Then  $\alpha(x) = 1$ : for otherwise,  $\alpha(x) < 1$  would give  $\lambda_u(x) \neq 0$  by (iv); a contradiction. However, all of the conditions (i) to (ix) are equivalent as seen above.  $\square$

We close this section by observing the following fact about the norm 1 noninvertible elements in a  $JB^*$ -algebra of  $tsr1$ .

**Corollary 3.11.** *Let  $\mathcal{J}$  be a unital  $JB^*$ -algebra of  $tsr1$  and  $x \in \mathcal{J} \setminus \mathcal{J}_{\text{inv}}$  with  $\|x\| = 1$ . If  $x$  satisfies any of the conditions (i)–(ix) given in Theorem 3.10, then:*

- (i)  $\mathcal{V}(x) = [2, \infty)$  or  $\mathcal{V}(x) = (2, \infty)$ .
- (ii)  $u(x) = 2$  or  $u(x) = 3$ .

Further, for each  $\epsilon \in (0, 1]$ , there are unitaries  $u_1, \dots, u_3 \in \mathcal{J}$  such that  $x = (2 + \epsilon)^{-1}(u_1 + u_2 + \epsilon u_3)$ . Hence,  $x \in \text{co}_{2+}\mathcal{U}(\mathcal{J})$ .

**Proof.** For this, we only have to show that  $(2, \infty) \subseteq \mathcal{V}(x)$ . Suppose  $\gamma > 2$ . Then  $\|\gamma x\| = \gamma > 2$  and hence, by the condition (ix) in Theorem 3.10, there exists some unitary  $u \in \mathcal{U}(\mathcal{J})$  such that  $\|\gamma x - u\| = \|\gamma x\| - 1 = \gamma - 1$ . Then, by Theorem 2.1,  $(\gamma, \infty) \subseteq \mathcal{V}(x)$ . We conclude that  $(2, \infty) \subseteq \mathcal{V}(x)$ .  $\square$

#### 4. An open problem

The following question remains unanswered:

Does every  $JB^*$ -algebra satisfy the  $\Lambda_u$ -condition?

As noted in the previous section, every unital  $C^*$ -algebra satisfies the  $\Lambda_u$ -condition. This fact follows immediately from a result due to G. K. Pedersen:  $\lambda_u(x) = \frac{1}{2}(1 - \alpha(x))$  for  $\|x\| \leq 1$  with  $\alpha(x) < 1$  (see [11, Theorem 5.1]). We do not know if an appropriate analogue of [11, Theorem 5.1] holds for general  $JB^*$ -algebras. The proof of this result for  $C^*$ -algebras given in [11] by Pedersen depends fundamentally on another result [13, Theorem 2.1], due to M. Rørdam, which may be expressed as follows: *for any element  $T$  of a  $C^*$ -algebra  $\mathcal{U}$ , if  $a > \alpha(T)$  then there is an invertible element  $S$  in  $\mathcal{U}$  such that  $V(I - E_a) = S(I - E_a)$ , where  $V$  is a partial isometry in the polar decomposition of  $T$  and  $E_a$  denotes the spectral projection corresponding to the interval  $[0, a]$  for  $|T|$ .* We do not know if this holds for a general  $JB^*$ -algebra but we will show that the proof given in [13] for the  $C^*$ -algebra case does not work in the setting of the finite-dimensional  $JB^*$ -algebra,  $\mathcal{M}_2^s(\mathbb{C})$ , consisting of all  $2 \times 2$  complexified symmetric matrices.

Recall the following steps in the proof of [13, Theorem 2.1]: For  $0 < b < a$ , let  $f$  and  $g$  be continuous functions defined on the interval  $[0, \infty)$  by

$$f(t) = \begin{cases} b^{-1} & \text{if } t \leq b, \\ t^{-1} & \text{otherwise,} \end{cases} \quad \text{and} \quad g(t) = \begin{cases} 0 & \text{if } t \leq b, \\ \frac{t-b}{a-b} & \text{if } b < t \leq a, \\ 1 & \text{otherwise.} \end{cases}$$

Choose  $b$  such that  $\alpha(T) < b < a$  and  $A \in \mathcal{U}_{\text{inv}}$  such that  $\|T^* - A\| < b$ . Let  $B = Af(|T^*|)$ ,  $C = (1 - BV)g(|T|)$  and  $D = I - C$ . Then the required element  $S$  is given by  $S = B^{-1}D$ .

**Example 4.1.** Let  $\mathcal{J}$  be the  $JB^*$ -algebra  $\mathcal{M}_2^s(\mathbb{C})$  and  $T = \begin{bmatrix} i & i+1 \\ i+1 & 2 \end{bmatrix}$ .

Then  $|T| = \begin{bmatrix} 1 & 1-i \\ 1+i & 2 \end{bmatrix}$ ,  $|T^*| = \begin{bmatrix} 1 & 1+i \\ 1-i & 2 \end{bmatrix}$  so that  $f(|T^*|) = \frac{1}{18} \begin{bmatrix} 8 & -i-1 \\ i-1 & 7 \end{bmatrix}$  and  $g(|T|) = \frac{1}{3} \begin{bmatrix} 1 & 1-i \\ 1+i & 2 \end{bmatrix}$ . It is easy to see that  $\alpha(T) = 0$  (cf. [18, Theorem 5.2]). Let  $a = 3$ . Choosing  $b = 2$  we have  $\alpha(T) < b < a$ . We take  $A = \begin{bmatrix} 1-i & 1-i \\ 1-i & 3 \end{bmatrix} \in \mathcal{J}$ . Then  $A$  is invertible and satisfies

$\|T^* - A\| = \|I\| < b$ , so that  $B = Af(|T^*|) = \frac{1}{18} \begin{bmatrix} 8 - 6i & 5 - 7i \\ 5 - 5i & 19 \end{bmatrix}$  with the inverse  $B^{-1} = \frac{3+i}{30} \begin{bmatrix} 19 & 7i - 5 \\ 5i - 5 & 8 - 6i \end{bmatrix}$ . Next, the polar decomposition  $T = V|T|$  gives  $V = \begin{bmatrix} i & 0 \\ 0 & 1 \end{bmatrix}$ , so we calculate  $C = (I - BV)g(|T|) = \frac{1}{9} \begin{bmatrix} -i & -i - 1 \\ -i - 1 & -2 \end{bmatrix}$ . Hence,  $D = I - C = \frac{1}{9} \begin{bmatrix} 9 + i & 1 + i \\ 1 + i & 11 \end{bmatrix}$ . Thus,

$$S = B^{-1}D = \frac{1}{90} \begin{bmatrix} 152 + 74i & -68i + 84i \\ -50 + 30i & 100 - 40i \end{bmatrix}$$

is not in the algebra  $\mathcal{J}$ , unfortunately.

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