

A TABLEAUX SYSTEM IN MODAL LOGIC

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Tableaux proof are frequently used in discussing modal calculi. A method to be described here and applied to the Kripke modal system G (see more about G in [1]) is a modification of analytic tableaux developed by Smullyan in [2]. Its main feature, is the use of prefixed formulae¹ i.e. triples of the form (s, T, φ) , (s, F, φ) , (s^*, T, φ) or (s^*, F, φ) with s a (possibly empty) finite sequence of natural numbers and φ a formulae where formulae of G (denoted by $\varphi, \psi, \theta, \dots$) are defined as usual starting with a countable set of propositional letters and using, say, a constant \perp , a unary propositional connective \Box and a binary connective \rightarrow . The intended meaning of $s T(F)\varphi^2$ $s^*T(F)\varphi$ is: φ is true (false) in the possible world s (φ is true in (false) in all possible worlds related to s).

Let us now define a *tableau for* φ as any sequence T_0, T_1, \dots of sets of sets of prefixed formulae such that $T_0 = \{\{\emptyset F\varphi\}\}$ and for all $m \in \omega$, all formulae ψ, θ and all $B \in T_m$ either

1° $sF\psi \rightarrow \theta \in B$ and $T_{m+1} = (T_m - \{B\}) \cup \{(B - \{sF\psi \rightarrow \theta\}) \cup \{sT\psi, sF\theta\}\}$ or

2° $s^*F\psi \rightarrow \theta \in B$ and T_{m+1} as above with s replaced by s^* or

3° $sT\psi \rightarrow \theta \in B$ and $T_{m+1} = (T_m - \{B\}) \cup \{(B - \{sT\psi \rightarrow \psi\}) \cup P|P \in \{\{sF\psi\}, \{sT\theta\}\}\}$ or

4° $s^*T\psi \rightarrow \theta \in B$ and for some $s' \subsetneq s$ occurring in $T_m T_{m+1} = (T_m - \{B\}) \cup \{B \cup P|P \in \{\{s'T\theta\}\}\}$ or

5° $sT\Box\psi \in B$ and $T_{m+1} = T_m - \{B\} \cup \{(B - \{sT\Box\psi\}) \cup \{s^*T\psi\}\}$ or

6° $s^*T\Box\psi \in B$ and $T_{m+1} = (T_m - \{B\}) \cup \{B \cup \{s'^*T\psi|s' \subset s \text{ occurs in } T_m\}\}$ or

¹The referee pointed out that prefixed formulae originate with Anderson and Belnap (see their "Pure calculus of entailment", J. Symp. Logic, 27, 19–52)

²Brackets and commas in prefixed formulae will always be omitted and s identified with its range

7° $sF\Box\psi\|B\|$ and $T_{m+1} = (T_m - \{B\}) \cup \{(B - \{sF\Box\psi\}) \cup P \mid P \in \{\{s'F\psi, s'^*\psi\} \mid s' \subsetneq s \text{ and either } s' \text{ occurs in } T_m \text{ or it is the (lexicographically) first sequence extending } s\}\}$.

A set P of prefixed formulae is *closed* iff $T \perp \in P$ or for some φ $\{sT\varphi, sF\varphi\} \subseteq P$ or for some s and φ $s^*F\Box\varphi \in P$ and $\{s' \supset s \mid s' \text{ occurs in } P\} \neq \emptyset$ or for some s s $\text{upsets}\{s^*T\varphi, s'F\varphi\} \subseteq P$ or $\{s^*F\varphi, s'T\varphi\} \subseteq P$
not=

A tableau T_0, T_1, \dots is closed iff for some $i \in \omega$ all elements of T_i are closed; otherwise it is *open*. Call a formulae φ a *theorem* iff there is a closed tableau for φ .

Let $W \neq \emptyset$ and let $<$ be a binary relation on W . Then $(W, <)$ is a *frame* for G iff $<$ is transitive and well-founded.

Given a frame $(W, <)$ define a *valuation* on $(W, <)$ as any mapping $v : W \times \text{For}^3 \rightarrow \{0, 1\}$ satisfying: for all $W, W' \in W$ and all $\varphi, \psi \in \text{For}$:

$$1^\circ v(w, \perp) = 0;$$

$$2^\circ v(w, \varphi \rightarrow \psi) = 1 \text{ iff } v(w, \varphi) = 0 \text{ or } v(w, \psi) = 1$$

$$3^\circ v(w, \Box\varphi) = 1 \text{ iff for all } w' < w \text{ } v(w', \varphi) = 1.$$

Then call φ a *tautology* iff for all frames $(W, <)$ for G , for all $w \in W$ and all valuations v on $(W, <)$ $v(w, \varphi) = 1$.

We can now prove that all theorems for φ and v a valuation such that for some $w \in W$ $v(w, \varphi) = 0$. Then for any tableau for φ and for all s occurring in it define a mapping $s \mapsto w(s) \in W$ by:

$$w(\emptyset) = \text{the least } w \in W \text{ such that } v(W, \varphi) = 0,$$

$w(\text{si}) = \text{the least } w < w(s) \text{ such that for all } \varphi \text{ if } \text{si}T(F)\varphi \text{ occurs in the tableau then } v(w, \varphi) = 1(0) \text{ and if } \text{si}^*T(F)\varphi \text{ occurs in the tableau then for all } w' < w \text{ } v(w', \varphi) = 1(0).$

Now call an $sT\varphi$ v -true iff $v(w(s), \varphi) = 1$ (other cases are similar) and also a set of prefixed formulae i v -true iff all its elements are. So in our case T_0 has a v -true member and if T_m has such a member it is proved (by checking all the cases) that so does T_{m+1} . That would not be possible if any of the closure conditions held (by definition of a valuation and well-foundedness of $<$), so the tableau must be open.

The converse also holds of the above result, i.e. all tautologies are theorems. To prove it suppose there is an open tableau for φ and extend it to a (finite) maximal one (in a sense that no more rules can be applied). Choose an open element B of the tableau. Then there is obviously a sequence $\{\emptyset F\varphi\} = B_0, B_1, \dots, B_k = B(B_i \in T_i)$ of open sets such that we get B_{i+1} from B_i by application of one of the reduction rule $1^\circ - 7^\circ$. Define a (finite) frame for G by $(\{s \mid s \text{ occurs in some } B_i\} \supsetneq)$ and a valuation v on it by $v(s, p) = 1$ iff for some i $sT\varphi \in B_i$ (p a propositional letter). Using closure conditions one can prove by induction on the complexity of

³The set of all formulae

formulae that all B_i are v -true, hence $v(\emptyset, \varphi) = 0$ i.e. φ is not a tautology. Notice that the whole procedure of searching for a counterexamples is effective.

REFERENCES

- [1] Boolos, G., *The unprovability of consistency*, Cambridge, 1979.
- [2] Smullyan, R., *First-Order Logic*, Berlin, Heidelberg, New York, 1968.
- [3] Solovay, R., *Provability interpretations of modal logic*, Israel J. Math. vol. **25**, 1976, pp. 287–304.

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