

## CONVERGENCE OF APPROXIMATION PROCESSES ON CONVEX CONES

M.S.M. ROVERSI, A.O. CHIACCHIO and M.L.B. QUEIROZ

**Abstract:** The purpose of this paper is to establish convergence results for sequences of convex conic operators on  $C(X; \mathcal{C})$  which are regular, i.e., sequences  $\{T_n\}_{n \geq 1}$  such that for some positive linear operator  $S_n$  on  $C(X; \mathbf{R})$  we have  $T_n(g \otimes K) = S_n(g) \otimes K$ , for every continuous real valued function  $g$  and every element  $K$  of the convex cone  $\mathcal{C}$ .

### 1 – Introduction

We start by reviewing some of the properties of convex cones.

**Definition 1.** An (abstract) *convex cone* is a non-empty set  $\mathcal{C}$  such that to every pair of elements,  $K$  and  $L$ , of  $\mathcal{C}$ , there corresponds an element  $K + L$ , called the *sum* of  $K$  and  $L$ , in such a way that addition is commutative and associative, and there exists in  $\mathcal{C}$  a unique element  $0$ , called the *vertex* of  $\mathcal{C}$ , such that  $K + 0 = K$ , for every  $K \in \mathcal{C}$ . Moreover, to every pair,  $\lambda$  and  $K$ , where  $\lambda \geq 0$  is a non-negative real number and  $K \in \mathcal{C}$ , there corresponds an element  $\lambda K$ , called the *product* of  $\lambda$  and  $K$ , in such a way that multiplication is associative:  $\lambda(\mu K) = (\lambda\mu)K$ ;  $1.K = K$  and  $0.K = 0$  for every  $K \in \mathcal{C}$ ; and the distributive laws are verified:  $\lambda(K + L) = \lambda K + \lambda L$ ,  $(\lambda + \mu)K = \lambda K + \mu K$ , for every  $K, L \in \mathcal{C}$  and  $\lambda \geq 0, \mu \geq 0$ .

**Definition 2.** Let  $\mathcal{C}$  be an (abstract) convex cone and let  $d$  be a metric on  $\mathcal{C}$ . We say that the pair  $(\mathcal{C}, d)$  is a *metric convex cone* if the following properties are valid:

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$$\text{a) } d\left(\sum_{i=1}^m K_i, \sum_{i=1}^m L_i\right) \leq \sum_{i=1}^m d(K_i, L_i),$$

$$\text{b) } d(\lambda K, \lambda L) = \lambda d(K, L),$$

for every  $K_i, L_i$  ( $i = 1, \dots, m$ ),  $K, L$  in  $\mathcal{C}$  and every  $\lambda \geq 0$ .

Let  $(\mathcal{C}, d)$  be a metric convex cone. Then:

$$\text{c) } d(\lambda K, \mu L) \leq |\lambda - \mu| d(K, 0) + \mu d(K, L),$$

for every  $K$  and  $L$  in  $\mathcal{C}$  and every  $\lambda \geq 0$  and  $\mu \geq 0$ .

**Definition 3.** A non-empty subset  $\mathcal{K}$  of an (abstract) convex cone  $\mathcal{C}$  is called a *convex subcone* if  $K, L \in \mathcal{K}$  and  $\lambda \geq 0$  imply  $K + L \in \mathcal{K}$  and  $\lambda K \in \mathcal{K}$ . When equipped with the induced operations, a convex subcone  $\mathcal{K} \subset \mathcal{C}$  becomes a convex cone.

**Example 1:** If  $E$  is a vector space over the reals then the set  $\mathcal{C} = \text{Conv}(E)$  of all convex non-empty subsets of  $E$  is a convex cone with the operations defined by: if  $K, L \in \text{Conv}(E)$  and  $\lambda \geq 0$

$$K + L = \{u + v; u \in K, v \in L\},$$

$$\lambda K = \{\lambda u; u \in K\},$$

$$0 = \{\theta\}, \text{ where } \theta \text{ is the origin of } E.$$

When  $E$  is a normed vector space, the set  $\mathcal{K}$  consisting of those elements of  $\text{Conv}(E)$  that are bounded sets is a convex subcone of  $\text{Conv}(E)$ .

**Definition 4.** Let  $\mathcal{C}_1$  and  $\mathcal{C}_2$  be two convex cones. An operator  $T: \mathcal{C}_1 \rightarrow \mathcal{C}_2$  is called a *convex conic operator*, if

$$T(F + G) = T(F) + T(G)$$

$$T(\lambda.F)\lambda.T(F)$$

for every pair  $F, G \in \mathcal{C}_1$  and every  $\lambda \geq 0$ .

## 2 – Spaces of continuous functions

Let  $X$  be a compact Hausdorff space. Let  $(\mathcal{C}, d)$  be a metric convex cone. We denote by  $C(X; \mathcal{C})$  the convex cone consisting of all continuous mappings  $F: X \rightarrow \mathcal{C}$ . In  $C(X; \mathcal{C})$  we consider the topology of uniform convergence over  $X$ ,

determined by the metric defined by

$$d(F, G) = \sup\{d(F(x), G(x)); x \in X\}$$

for every pair  $F, G$  of elements of  $C(X; \mathcal{C})$ . Hence  $F_n \rightarrow F$  in  $C(X; \mathcal{C})$  if, and only if,  $d(F_n, F) \rightarrow 0$ .

When  $(\mathcal{C}, d)$  is  $\mathbf{R}$  equipped with the usual distance  $d(x, y) = |x - y|$ , then  $C(X, \mathcal{C})$  is the classical Banach space  $C(X)$  of all continuous real-valued functions  $f: X \rightarrow \mathbf{R}$ , equipped with the sup-norm  $\|f\| = \sup\{|f(x)|; x \in X\}$ .

Assume that  $(X, \tilde{d})$  is a metric compact space. We say that  $F: X \rightarrow \mathcal{C}$  is a Lipschitz function if there exists a positive constant  $M_F$  such that

$$d(F(x), F(y)) \leq M_F \tilde{d}(x, y)$$

for all  $x, y \in X$ . The subset of  $C(X; \mathcal{C})$  of such functions is denoted by  $\text{Lip}(X; \mathcal{C})$ . When  $(\mathcal{C}, d)$  is  $\mathbf{R}$  equipped with usual distance  $d(x, y) = |x - y|$  we denote  $\text{Lip}(X; \mathbf{R}) = \text{Lip}(X)$  and  $\text{Lip}^+(X) = \{f \in \text{Lip}(X); f \geq 0\}$ . Notice that  $\text{Lip}(X; \mathcal{C})$  is a convex subcone of  $C(X; \mathcal{C})$ .

For each  $K \in \mathcal{C}$ , we denote by  $K^*$  the element of  $C(X; \mathcal{C})$  defined by  $K^*(t) = K$ , for all  $t \in X$ .

For each  $f \in C^+(X)$  and  $K \in \mathcal{C}$  we denote by  $f \otimes K$  the function of  $C(X; \mathcal{C})$  defined by  $(f \otimes K)(x) = f(x).K$ , for all  $x \in X$ . The convex subcone of  $C(X; \mathcal{C})$  generated by the functions  $f \otimes K$ , where  $f \in \text{Lip}^+(X)$  and  $K \in \mathcal{C}$ , is denoted by  $\text{Lip}^+(X) \otimes \mathcal{C}$ .

**Definition 5.** Let  $\mathcal{K}$  be a convex subcone of a convex cone  $\mathcal{C}$ . Let  $T: C(X; \mathcal{C}) \rightarrow C(X; \mathcal{C})$  be a convex conic operator. We say that  $T$  is regular over  $\mathcal{K}$  if there exists a linear operator  $\hat{T}: C(X; \mathbf{R}) \rightarrow C(X; \mathbf{R})$  such that

$$T(f \otimes K) = \hat{T}(f) \otimes K$$

for all  $f \in C^+(X)$  and  $K \in \mathcal{K}$ .

When  $\mathcal{K} = \mathcal{C}$  and  $T$  is regular over  $\mathcal{K}$ , we say simply that  $T$  is regular.

**Definition 6.** Let  $T: C(X; \mathcal{C}) \rightarrow C(X; \mathcal{C})$  be a convex conic operator. We say that  $T$  is monotonically regular if there exists a monotone linear operator  $\hat{T}: C(X; \mathbf{R}) \rightarrow C(X; \mathbf{R})$  such that

$$T(f \otimes K) = \hat{T}(f) \otimes K$$

for all  $f \in C^+(X)$  and  $K \in \mathcal{C}$ .

We recall that an operator  $S$  on  $C(X; \mathbf{R})$  is called *monotone* if  $S(f) \leq S(g)$ , whenever  $f \leq g$ . For linear operators, to be monotone is equivalent to be positive, i.e.,  $S(f) \geq 0$ , for all  $f \geq 0$ .

**Remark 1.** Notice that if  $T$  is regular and  $\widehat{T}$  preserves the constant functions, i.e.,  $\widehat{T}(e_0) = e_0$ , where  $e_0$  denotes the real function  $e_0(t) = 1$ , for all  $t \in X$ , then  $T$  also preserves the constant functions, since  $T(K^*) = T(e_0 \otimes K) = \widehat{T}(e_0) \otimes K = e_0 \otimes K = K^*$ , for every  $K \in \mathcal{C}$ .

**Definition 7.** Let  $T$  be a regular operator on the convex cone  $C(X; \mathcal{C})$ . Define

$$\alpha(x) = \left( \widehat{T}(\tilde{d}_x), x \right)$$

for all  $x \in X$ , where  $\tilde{d}_x$  is defined by  $\tilde{d}_x(y) = d(x, y)$ , for all  $y \in X$ .

**Lemma 1.** Let  $(X, \tilde{d})$  be a metric compact space and  $(\mathcal{C}, d)$  be a metric convex cone. Then:

- a) If  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$ , then  $F \in \text{Lip}(X; \mathcal{C})$ .
- b) If  $g \in \text{Lip}^+(X)$  and  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$ , then the function  $x \mapsto g(x)F(x)$ ,  $x \in X$ , belongs to  $\text{Lip}^+(X) \otimes \mathcal{C}$ .

**Proof:** a) Let  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$  be given. There exist  $g_i \in \text{Lip}^+(X)$  and  $K_i \in \mathcal{C}$ , for  $i = 1, \dots, m$ , such that  $F = \sum_{i=1}^m g_i \otimes K_i$ . Let  $M_i > 0$  be the Lipschitz constant for  $g_i$ ,  $i = 1, \dots, m$ . Then

$$\begin{aligned} d(F(x), F(y)) &= d\left(\sum_{i=1}^m g_i(x) K_i, \sum_{i=1}^m g_i(y) K_i\right) \leq \\ &\leq \sum_{i=1}^m d\left(g_i(x) K_i, g_i(y) K_i\right) \leq \sum_{i=1}^m |g_i(x) - g_i(y)| \cdot d(K_i, 0) \leq \\ &\leq \sum_{i=1}^m M_i \tilde{d}(x, y) d(K_i, 0) = \left(\sum_{i=1}^m M_i d(K_i, 0)\right) \tilde{d}(x, y) \end{aligned}$$

for all  $x, y \in X$ . Hence  $F \in \text{Lip}(X; \mathcal{C})$ .

b) Let  $g \in \text{Lip}^+(X)$  and  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$  be given. Put  $\|F\| = \sup\{d(F(x), 0); x \in X\}$ . Since  $F \in C(X; \mathcal{C})$  it follows that  $\|F\| < \infty$ . Let  $M_g$  and  $M_F$  be the positive constants such that

$$|g(x) - g(y)| \leq M_g \tilde{d}(x, y) \quad \text{and} \quad d(F(x), F(y)) \leq M_F \tilde{d}(x, y),$$

for all  $x, y \in X$ . Then

$$\begin{aligned} d(g(x)F(x), g(y)F(y)) &\leq |g(x) - g(y)| d(F(x), 0) + g(y) d(F(x), F(y)) \\ &\leq M_g \tilde{d}(x, y) \|F\| + \|g\| M_F \tilde{d}(x, y) \\ &= (\|F\| M_g + \|g\| M_F) \tilde{d}(x, y) \end{aligned}$$

for all  $x, y \in X$ . Hence  $gF \in \text{Lip}(X; \mathcal{C})$ .

Now, if  $g \in \text{Lip}^+(X)$  and  $F = \sum_{i=1}^m g_i \otimes K_i$ , where  $g_i \in \text{Lip}^+(X)$  and  $K_i \in \mathcal{C}$ , then  $gF = \sum_{i=1}^m h_i \otimes K_i$  where  $h_i = g \cdot g_i \in \text{Lip}^+(X)$ . It follows that  $gF$  belongs to  $\text{Lip}^+(X) \otimes \mathcal{C}$ . ■

**Lemma 2.** *Let  $(X, \tilde{d})$  and  $(\mathcal{C}, d)$  be as in Lemma 1. Then  $\text{Lip}^+(X) \otimes \mathcal{C}$  is dense in  $C(X; \mathcal{C})$ . Consequently,  $\text{Lip}(X; \mathcal{C})$  is dense in  $C(X; \mathcal{C})$ .*

**Proof:** Let  $x, y \in X$ ,  $x \neq y$  be given. Let  $g : X \rightarrow \mathbf{R}$  be defined by  $g(z) = \tilde{d}(x, z)$ , for all  $z \in X$ . Since  $|g(z) - g(t)| = |\tilde{d}(x, z) - \tilde{d}(x, t)| \leq \tilde{d}(z, t)$ , for all  $z, t \in X$ , it follows that  $g \in \text{Lip}^+(X)$ . Therefore  $h = g/\|g\|$  belongs to  $\text{Lip}(X; [0, 1])$ . Moreover,  $h(y) > 0 = h(x)$ , i.e.,  $h$  separates  $x$  and  $y$ . By Lemma 1, if  $F, G \in \text{Lip}^+(X) \otimes \mathcal{C}$  then  $hF + (1 - h)G$  belongs to  $\text{Lip}^+(X) \otimes \mathcal{C}$ . Since  $\text{Lip}^+(X) \otimes \mathcal{C}$  contains the constant functions, the result follows from Corollary 3, Prolla [3]. ■

**Lemma 3** (Andrica and Mustata [1]). *Let  $(X, \tilde{d})$  be a metric compact space and let  $S : C(X; \mathbf{R}) \rightarrow C(X; \mathbf{R})$  be a positive linear operator. If  $f \in \text{Lip}(X)$  then there exists a positive constant  $M_f$  such that*

$$\left| (Sf, x) - f(x) (Se_0, x) \right| \leq M_f \alpha(x)$$

for all  $x \in X$ .

**Proof:** Let  $f \in \text{Lip}(X)$  and let  $M_f > 0$  be a Lipschitz constant for  $f$ , i.e.,

$$|f(x) - f(y)| \leq M_f \tilde{d}(x, y)$$

for all  $x, y \in X$ . It follows that

$$-M_f \tilde{d}(x, \cdot) \leq f(\cdot) - f(x) e_0 \leq M_f \tilde{d}(x, \cdot)$$

for all  $x \in X$ . Since  $S$  is linear and positive we have

$$-M_f (S(\tilde{d}_x), x) \leq (Sf, x) - f(x) (Se_0, x) \leq M_f (S(\tilde{d}_x), x)$$

for all  $x \in X$ . Therefore

$$\left| (Sf, x) - f(x) (Se_0, x) \right| \leq M_f (S\tilde{d}_x, x)$$

for all  $x \in X$ . ■

**Corollary 1.** *Let  $(X, \tilde{d})$  and  $S$  be as in Lemma 3. Assume that  $Se_0 = e_0$ . If  $f \in \text{Lip}(X)$  then there exists a positive constant  $M_f$  such that*

$$\left| (Sf, x) - f(x) \right| \leq M_f \alpha(x)$$

for all  $x \in X$ .

**Proof:** It follows immediately from Lemma 3 since  $(Se_0, x) = 1$ , for all  $x \in X$ . ■

**Remark 2.** A positive linear operator  $S$  on  $C(X; \mathbf{R})$  such that  $Se_0 = e_0$ , i.e.,  $S$  preserves the constant functions, is called a Markov operator on  $C(X; \mathbf{R})$ . Andrica and Mustata [1] proved Lemma 3 assuming that  $S$  is a Markov operator.

**Proposition 1.** *Let  $(X, \tilde{d})$  be a metric compact space and  $(\mathcal{C}, d)$  be a metric convex cone. Let  $T$  be a monotonically regular operator on  $C(X; \mathcal{C})$  and let  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$  be given. There exist positive constants  $M_F$  and  $A_F$  such that*

$$d\left((TF, x), F(x)\right) \leq M_F \alpha(x) + A_F |(\widehat{T}e_0, x) - 1|$$

for all  $x \in X$ .

**Proof:** Let  $F = \sum_{i=1}^m g_i \otimes K_i$  be given, where  $g_i \in \text{Lip}^+(X)$  and  $K_i \in \mathcal{C}$ , for  $i = 1, \dots, m$ . Since  $T$  is convex conic and regular, we have

$$(TF, x) = \left( \sum_{i=1}^m T(g_i \otimes K_i), x \right) = \sum_{i=1}^m (\widehat{T}(g_i), x) K_i$$

for all  $x \in X$ .

For each  $i = 1, \dots, m$ , by Lemma 3, there exists a constant  $M_i > 0$  such that

$$\left| (\widehat{T}(g_i), x) - g_i(x) (\widehat{T}e_0, x) \right| \leq M_i \alpha(x)$$

for all  $x \in X$ . Let  $M_F$  and  $A_F$  be the positive constants defined by

$$M_F = \sum_{i=1}^m M_i d(K_i, 0) \quad \text{and} \quad A_F = \sum_{i=1}^m \|g_i\| d(K_i, 0) .$$

Then,

$$\begin{aligned} d((TF, x), F(x)) &\leq \sum_{i=1}^m d((\widehat{T}(g_i), x)K_i, g_i(x)K_i) \leq \sum_{i=1}^m |(\widehat{T}(g_i), x) - g_i(x)| d(K_i, 0) \leq \\ &\leq \sum_{i=1}^m [M_i \alpha(x) + \|g_i\| \cdot |(\widehat{T}e_0, x) - 1|] d(K_i, 0) \leq M_F \alpha(x) + A_F |(\widehat{T}e_0, x) - 1| \end{aligned}$$

for all  $x \in X$ . ■

**Corollary 2.** *Let  $(X, \tilde{d})$ ,  $(\mathcal{C}, d)$  and  $T$  be as in Proposition 1. Assume that  $\widehat{T}$  preserves the constant functions. If  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$  then there exists a positive constant  $M_F$  such that*

$$d((TF, x), F(x)) \leq M_F \alpha(x)$$

for all  $x \in X$ .

**Proof:** The result follows from Proposition 1 since  $\widehat{T}(e_0) = e_0$ . ■

**Definition 8.** Let  $\{T_n\}_{n \geq 1}$  be a sequence of operators on  $C(X; \mathcal{C})$ . We say that  $\{T_n\}_{n \geq 1}$  is *uniformly equicontinuous* if for each  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $d(F, G) < \delta$  implies  $d(T_n F, T_n G) < \varepsilon$ , for all  $n = 1, 2, 3, \dots$ .

Let  $\{T_n\}_{n \geq 1}$  be a sequence of regular operators on  $C(X; \mathcal{C})$ . For each  $n \geq 1$  we denote by  $\alpha_n$  the function defined by

$$\alpha_n(x) = (\widehat{T}_n(\tilde{d}_x), x)$$

for all  $x \in X$ .

**Theorem 1.** *Let  $(X, \tilde{d})$  be a metric compact space and  $(\mathcal{C}, d)$  be a metric convex cone. Let  $\{T_n\}_{n \geq 1}$  be a sequence of monotonically regular operators on  $C(X; \mathcal{C})$ . Assume that  $\{T_n\}_{n \geq 1}$  is uniformly equicontinuous. If  $\widehat{T}_n e_0 \rightarrow e_0$  and  $\{\alpha_n(x)\}_{n \geq 1}$  converges to zero, uniformly in  $x \in X$ , then  $T_n F \rightarrow F$ , for every  $F \in C(X; \mathcal{C})$ .*

**Proof:** Let  $G \in \text{Lip}^+(X) \otimes \mathcal{C}$  be given. By Proposition 1, there exist positive constants  $M_G$  and  $A_G$  such that, for each  $n \geq 1$ ,

$$d((T_n G, x), G(x)) \leq M_G \alpha_n(x) + A_G |(\widehat{T}_n e_0, x) - 1|$$

for all  $x \in X$ . Since  $\alpha_n(x) \rightarrow 0$ , uniformly in  $x \in X$  and  $\widehat{T}_n e_0 \rightarrow e_0$  it follows that  $d(T_n G, G) \rightarrow 0$ . Hence  $T_n G \rightarrow G$ , for each  $G$  in  $\text{Lip}^+(X) \otimes \mathcal{C}$ .

Let  $F \in C(X; \mathcal{C})$  and  $\varepsilon > 0$  be given. By the uniform equicontinuity of the sequence  $\{T_n\}_{n \geq 1}$ , there is some  $\delta > 0$ , which we may assume to verify  $\delta < \varepsilon/3$ , such that  $d(F, H) < \delta$  implies  $d(T_n F, T_n H) < \varepsilon/3$ , for all  $n \geq 1$ . By Lemma 2, there exists  $G$  in  $\text{Lip}^+(X) \otimes \mathcal{C}$  such that  $d(F, G) < \delta$ . Since  $T_n G \rightarrow G$  as proved above, there is  $n_0$  such that  $n \geq n_0$  implies  $d(T_n G, G) < \varepsilon/3$ . It follows that, for  $n \geq n_0$

$$\begin{aligned} d((T_n F, x), F(x)) &\leq d((T_n F, x), (T_n G, x)) + d((T_n G, x), G(x)) + d(G(x), F(x)) \\ &\leq d(T_n F, T_n G) + d(T_n G, G) + d(G, F) < \varepsilon \end{aligned}$$

for all  $x \in X$ . Hence  $T_n F \rightarrow F$ . ■

**Remark 3.** If each  $\widehat{T}_n$  preserves the constant functions, then the proof of Theorem 1 implies that

$$d(T_n F, F) \leq M_F \|\alpha_n\|$$

for all  $n \geq 1$  and all  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$ , where  $\|\alpha_n\| = \sup\{|\alpha_n(x)|; x \in X\}$ .

If we define  $\beta_n(x) = (\widehat{T}_n(\widetilde{d}_x)^2, x)$ , for all  $x \in X$ , then we have that  $\|\alpha_n\| \leq \|\beta_n\|^{\frac{1}{2}}$ , for all  $n \in \mathbf{N}$ , and the following result holds:

**Corollary 3.** Let  $\{T_n\}_{n \geq 1}$  be as in Theorem 1. Assume that each  $\widehat{T}_n$  preserves the constant functions. If  $\{\beta_n(x)\}_{n \geq 1}$  converges to zero, uniformly in  $x \in X$ , then  $T_n F \rightarrow F$ , for every  $F \in C(X; \mathcal{C})$ . Furthermore, if  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$  then there exists a constant  $M_F > 0$  such that

$$d(T_n F, F) \leq M_F \|\beta_n\|^{\frac{1}{2}}$$

for all  $n \geq 1$ .

**Proof:** Apply Theorem 1 and Remark 3. ■

**Example 2:** Let  $J$  be a finite set, and for each  $k \in J$ , let  $t_k \in X$  and  $\psi_k \in C^+(X)$  be given. The convex conic operator  $T$  defined on  $C(X; \mathcal{C})$  by

$$(TF, x) = \sum_{k \in J} \psi_k(x) F(t_k)$$

for all  $F \in C(X; \mathcal{C})$  and  $x \in X$  is called an operator of interpolation type. If  $F = f \otimes K$ , where  $f \in C^+(X)$  and  $K \in \mathcal{C}$ , then

$$(TF, x) = \sum_{k \in J} \psi_k(x) [f(t_k)K] = \left[ \sum_{k \in J} \psi_k(x) f(t_k) \right] K .$$



Hence,  $T$  is regular and  $T(f \otimes K) = \widehat{T}(f) \otimes K$  where, for each  $f \in C(X; \mathbf{R})$ ,

$$(\widehat{T}f, x) = \sum_{k \in J} \psi_k(x) f(t_k) .$$

Let us assume that, for every  $x \in X$ ,

$$\sum_{k \in J} \psi_k(x) = 1 .$$

It follows that  $\widehat{T}e_0 = e_0$ . The operators of Bernstein and of Hermite–Fejér type are examples of operators satisfying such condition.

**Remark 4.** If  $(\mathcal{C}, d)$  is a convex cone and  $T$  is a regular operator on  $C(X; \mathcal{C})$  then  $TK^* = T(e_0 \otimes K) = \widehat{T}(e_0) \otimes K$ , for every  $K \in \mathcal{C}$ , and we have

$$\begin{aligned} d((TK^*, x), K^*(x)) &= d((\widehat{T}e_0, x)K, e_0(x).K) \\ &\leq |(\widehat{T}e_0, x) - 1| d(K, 0) \end{aligned}$$

for all  $x \in X$ . It follows that if  $\{T_n\}_{n \geq 1}$  is a sequence of regular operators on  $C(X; \mathcal{C})$  such that  $\widehat{T}_n e_0 \rightarrow e_0$ , then  $T_n K^* \rightarrow K^*$ , for every  $K \in \mathcal{C}$ .

**Lemma 4.** Let  $(X, \tilde{d})$  be a metric compact space and  $(\mathcal{C}, d)$  be a convex cone. Let  $\{T_n\}_{n \geq 1}$  be a sequence of regular convex conic operator on  $C(X; \mathcal{C})$ . Assume that  $\widehat{T}_n e_0 \rightarrow e_0$ . If  $F \in C(X; \mathcal{C})$  then  $(T_n[F(x)]^*, x) \rightarrow F(x)$ , uniformly in  $x \in X$ .

**Proof:** Let  $F \in C(X; \mathcal{C})$  and  $\varepsilon > 0$  be given. Since  $\widehat{T}_n e_0 \rightarrow e_0$  there is  $n_0$  such that  $n \geq n_0$  implies

$$\left| (\widehat{T}_n(e_0), x) - 1 \right| < \frac{\varepsilon}{2 \|F\|}$$

for all  $x \in X$ , where  $\|F\| = \sup\{d(F(x), 0); x \in X\}$ . It follows that, for  $n \geq n_0$

$$\begin{aligned} d((T_n[F(x)]^*, x), f(x)) &\leq \left| (\widehat{T}_n(e_0), x) - 1 \right| d(F(x), 0) \\ &\leq \left( \frac{\varepsilon}{2 \|F\|} \right) \cdot \|F\| < \varepsilon \end{aligned}$$

for all  $x \in X$ . Therefore,  $(T_n[F(x)]^*, x) \rightarrow F(x)$ , uniformly in  $x \in X$ . ■

### 3 – Hausdorff convex cones

**Definition 9.** An *ordered convex cone* is a pair  $(\mathcal{C}, \leq)$ , where  $\mathcal{C}$  is an (abstract) convex cone and  $\leq$  is an ordering of its elements, i.e.,  $\leq$  is a reflexive, transitive and antisymmetric relation on  $\mathcal{C}$ , in such a way that

- a)  $K \leq L$  implies  $K + M \leq L + M$ , for every  $M \in \mathcal{C}$ ,
- b)  $K \leq L$ ,  $\lambda \geq 0$  implies  $\lambda K \leq \lambda L$ ,
- c)  $\lambda \leq \mu$  implies  $\lambda K \leq \mu K$ , for every  $K \geq 0$ .

**Definition 10.** Let  $(\mathcal{C}, \leq)$  be an ordered convex cone and let  $d_H$  be a semi-metric on  $\mathcal{C}$ . We say that  $d_H$  is a *Hausdorff semi-metric* on  $\mathcal{C}$  if there exists an element  $B \geq 0$  on  $\mathcal{C}$  such that:

- a) For every pair  $K, L \in \mathcal{C}$  and  $\lambda \geq 0$ , the following is true:  $d_H(K, L) \leq \lambda$  if, and only if,  $K \leq L + \lambda B$  and  $L \leq K + \lambda B$ ,
- b)  $\lambda B \leq \mu B$  implies  $\lambda \leq \mu$ .

If  $d_H$  is a Hausdorff semi-metric on  $\mathcal{C}$ , we say that  $(\mathcal{C}, d_H)$  is a *Hausdorff convex cone*.

**Example 3:** If  $\mathcal{C} = \mathbf{R}$  with the usual operations and ordering, the usual distance  $d_H(x, y) = |x - y|$  is a Hausdorff metric on  $\mathbf{R}$ , with  $B = 1$ .

**Example 4:** Let  $\mathcal{C}$  be the convex subcone of  $\text{Conv}(E)$  of all elements of  $\text{Conv}(E)$  that are bounded sets and let  $B$  be the closed unit ball of  $E$ . Define on  $\mathcal{C}$  the usual Hausdorff semi-metric  $d_H$  by setting

$$d_H(K, L) = \inf \left\{ \lambda > 0; K \subset L + \lambda B, L \subset K + \lambda B \right\}$$

for every pair  $K, L \in \mathcal{C}$ . Then  $(\mathcal{C}, d_H)$  is a Hausdorff convex cone.

Let  $(X, \tilde{d})$  be a metric compact space and  $(\mathcal{C}, d_H)$  be a Hausdorff convex cone. In  $C(X; \mathcal{C})$  we consider the topology determined by the metric defined by

$$d(F, G) = \sup \left\{ d_H(F(x), G(x)); x \in X \right\}$$

for every pair  $F, G$  in  $C(X; \mathcal{C})$ .

**Remark 5.** If  $(\mathcal{C}, d_H)$  is a Hausdorff convex cone and  $\{T_n\}_{n \geq 1}$  is a sequence of regular operators on  $C(X; \mathcal{C})$  then  $T_n B^* \rightarrow B^*$  implies  $\widehat{T}_n e_0 \rightarrow e_0$ . Indeed, let

$\varepsilon > 0$  be given. Since  $B^* = e_0 \otimes B$  and  $T_n(e_0 \otimes B) \rightarrow e_0 \otimes B$ , it follows that there is  $n_0$  such that  $n \geq n_0$  implies

$$d_H\left(\widehat{T}_n(e_0), x\right) B, e_0(x) B) < \varepsilon$$

for all  $x \in X$ . By the definition of  $d_H$  we have

$$\left(\widehat{T}_n(e_0), x\right) B \leq B + \varepsilon B = (1 + \varepsilon) B$$

and

$$B \leq \left(\widehat{T}_n(e_0), x\right) B + \varepsilon B$$

for all  $x \in X$ . By condition b) of Definition (10) we have  $\left(\widehat{T}_n(e_0), x\right) < 1 + \varepsilon$  and  $1 - \varepsilon < \left(\widehat{T}_n(e_0), x\right)$ , for all  $x \in X$ . Hence  $|\left(\widehat{T}_n(e_0), x\right) - 1| < \varepsilon$ , for all  $x \in X$  and so  $\widehat{T}_n e_0 \rightarrow e_0$ .

We recall that an operator  $T$  on  $C(X; \mathcal{C})$  is called *monotone*, if  $F \leq G$  implies  $TF \leq TG$  for every pair  $F, G$  in  $C(X; \mathcal{C})$ .

**Remark 6.** If  $(\mathcal{C}, d_H)$  is a Hausdorff convex cone and  $T$  is a regular operator on  $C(X; \mathcal{C})$  that is monotone then  $\widehat{T}$  is also monotone. Indeed, for  $f, g \in C(X; \mathbf{R})$  such that  $f \leq g$  we have  $f \otimes B \leq g \otimes B$ . It follows that  $T(f \otimes B) \leq T(g \otimes B)$ , and since  $T$  is regular, we get  $\left(\widehat{T}(f), x\right) B \leq \left(\widehat{T}(g), x\right) B$ , for all  $x \in X$ . Therefore  $\widehat{T}f \leq \widehat{T}g$ .

**Theorem 2.** Let  $(X, \widetilde{d})$  be a metric compact space and  $(\mathcal{C}, d_H)$  be a Hausdorff convex cone. Let  $\{T_n\}_{n \geq 1}$  be a sequence of regular continuous operators on  $C(X; \mathcal{C})$ . Assume that each  $T_n$  is monotone and  $T_n B^* \rightarrow B^*$ . If  $\{\alpha_n(x)\}_{n \geq 1}$  converges to zero, uniformly in  $x \in X$ , then  $T_n F \rightarrow F$ , for every  $F \in C(X; \mathcal{C})$ .

**Proof:** By Theorem 1 it suffices to show that the sequence  $\{T_n\}_{n \geq 1}$  is uniformly equicontinuous. Let  $\varepsilon > 0$  be given. Choose  $\delta_0 > 0$  such that  $\delta_0(1 + \delta_0) < \varepsilon$ . Since  $T_n B^* \rightarrow B^*$ , there is  $n_0$  so that  $n > n_0$  implies  $d_H((T_n B, x), B) < \delta_0$ , for all  $x \in X$ . It follows from the definition of  $d_H$  that

$$(T_n B^*, x) \leq B + \delta_0 B = (1 + \delta_0) B$$

and

$$B \leq (T_n B^*, x) + \delta_0 B$$

for all  $x \in X$ , and  $n > n_0$ .

Let  $F, G \in C(X; \mathcal{C})$  be such that  $d(F, G) < \delta_0$ . We claim that  $d(T_n F, T_n G) < \varepsilon$ , for all  $n > n_0$ . Indeed, since  $d_H(F(x), G(x)) < \delta_0$ , for all  $x \in X$ , it follows that  $F \leq G + \delta_0 B^*$  and  $G \leq F + \delta_0 B^*$ .

Since each  $T_n$  is convex conic and monotone, we have, for each  $n \geq 1$ ,  $T_n F \leq T_n G + \delta_0 T_n B^*$  and  $T_n G \leq T_n F + \delta_0 T_n B^*$ . Therefore, for each  $n \geq 1$ ,  $(T_n F, x) \leq (T_n G, x) + \delta_0 (T_n B^*, x)$ , for all  $x \in X$ . It follows that, for  $n > n_0$

$$(T_n F, x) \leq (T_n G, x) + \delta_0(1 + \delta_0) B < (T_n G, x) + \varepsilon B$$

for all  $x \in X$ . Similarly, for  $n > n_0$

$$(T_n G, x) < (T_n F, x) + \varepsilon B$$

for all  $x \in X$ . Hence, for all  $n > n_0$

$$d_H((T_n F, x), (T_n G, x)) < \varepsilon$$

for all  $x \in X$ . It follows that, for all  $n > n_0$

$$d(T_n F, T_n G) < \varepsilon .$$

On the other hand, since each  $T_n$  is continuous, there exist  $\delta_1, \dots, \delta_{n_0}$  such that  $d(F, G) < \delta_k$  implies  $d(T_k F, T_k G) < \varepsilon$ , for  $k = 1, 2, \dots, n_0$ . Let  $\delta = \min\{\delta_0, \delta_1, \dots, \delta_{n_0}\}$ . Clearly  $d(F, G) < \delta$  implies  $d(T_n F, T_n G) < \varepsilon$ , for all  $n = 1, 2, 3, \dots$  ■

**Corollary 4.** *Let  $(X, \tilde{d})$ ,  $(\mathcal{C}, d_H)$  and  $\{T_n\}_{n \geq 1}$  be as in Theorem 2. Assume that  $T_n$  preserves the constant functions. If  $\{\beta_n(x)\}_{n \geq 1}$  converges to zero, uniformly in  $x \in X$ , then  $T_n F \rightarrow F$ , for every  $F \in C(X; \mathcal{C})$ . Furthermore, if  $F \in \text{Lip}^+(X) \otimes \mathcal{C}$  then there exists a constant  $M_F > 0$  such that*

$$d(T_n F, F) \leq M_F \|\beta_n\|^{\frac{1}{2}}$$

for all  $n = 1, 2, 3, \dots$ , where  $\beta_n(x) = (\widehat{T}_n(\tilde{d}_x)^2, x)$ , for all  $x \in X$ .

Let us recall that the modulus of continuity of  $F \in C(X; \mathcal{C})$  is defined as

$$w(F, \delta) = \sup\{d(F(x), F(t)); x, t \in X, \tilde{d}(x, t) \leq \delta\}$$

for every  $\delta > 0$ . By uniform continuity of  $F$ , we have  $w(F, \delta) \rightarrow 0$  as  $\delta \rightarrow 0$ .

Let us consider the following condition:

(\*) There exists a constant  $p$  with  $0 < p \leq 1$  such that  $w(F, \lambda\delta) \leq [1 + \lambda^{\frac{1}{p}}]w(F, \delta)$ , for all  $F \in C(X; \mathcal{C})$  and all  $\delta, \lambda > 0$ .

If  $X$  is a compact convex subset of a  $q$ -normed linear space with  $0 < q \leq 1$ , then (\*) holds for  $p = q$ .

The following result is proved in [4]:

**Lemma 5.** Assume that (\*) holds. Let  $F \in C(X; \mathcal{C})$  and  $\delta > 0$  be given. Then

$$d_H(F(x), F(t)) \leq \left[1 + \left(\frac{\tilde{d}(x, t)}{\delta}\right)^{\frac{1}{p}}\right]w(F, \delta)$$

for every pair,  $x$  and  $t$ , of elements of  $X$ .

If  $\{T_n\}_{n \geq 1}$  is a sequence of convex conic operators on  $C(X; \mathcal{C})$  that are regular, let

$$a_n(x) = \left(\widehat{T}_n((\tilde{d}_x)^{\frac{1}{p}}), x\right)$$

for all  $x \in X$ , where  $p$  is given by condition (\*).

**Proposition 2.** Assume that (\*) holds. Let  $\{T_n\}_{n \geq 1}$  be a sequence of convex conic operators on  $C(X; \mathcal{C})$  such that each  $T_n$  is monotone and regular. Then

$$d_H((T_n F, x), F(x)) \leq \left[(\widehat{T}_n(e_0), x) + \frac{1}{\delta^{\frac{1}{p}}} a_n(x)\right]w(F, \delta) + d_H((T_n[F(x)]^*, x), F(x))$$

for every  $F \in C(X; \mathcal{C})$ ,  $x \in X$  and  $\delta > 0$ .

**Proof:** Let  $F \in C(X; \mathcal{C})$  and  $\delta > 0$  be given. By Lemma 5, for  $t, x \in X$

$$\begin{aligned} F(t) &\leq F(x) + \left[1 + \left(\frac{\tilde{d}(x, t)}{\delta}\right)^{\frac{1}{p}}\right]w(F, \delta) B \\ &= F(x) + w(F, \delta) \left[B + \frac{1}{\delta^{\frac{1}{p}}} (\tilde{d}(x, t))^{\frac{1}{p}} B\right] \end{aligned}$$

Hence,

$$F \leq [F(x)]^* + w(F, \delta) \left[B^* + \frac{1}{\delta^{\frac{1}{p}}} (\tilde{d}_x)^{\frac{1}{p}} \otimes B\right].$$

Since each  $T_n$  is monotone and regular we have

$$(T_n F, x) \leq (T_n[F(x)]^*, x) + w(F, \delta) \left[(\widehat{T}_n(e_0), x) + \frac{1}{\delta^{\frac{1}{p}}} a_n(x)\right]B$$

for all  $x \in X$ . Similarly,

$$\left( T_n[F(x)]^*, x \right) \leq (T_n F, x) + w(F, \delta) \left[ (\widehat{T}_n(e_0), x) + \frac{1}{\delta^{\frac{1}{p}}} a_n(x) \right] B$$

for all  $x \in X$ . Therefore

$$d_H\left((T_n F, x), (T_n[F(x)]^*, x)\right) \leq w(F, \delta) \left[ (\widehat{T}_n(e_0), x) + \frac{1}{\delta^{\frac{1}{p}}} a_n(x) \right].$$

for all  $x \in X$ . ■

**Theorem 3.** Let  $(X, \tilde{d})$  be a compact metric space and  $(\mathcal{C}, d_H)$  be a Hausdorff convex cone. Let  $\{T_n\}_{n \geq 1}$  be a sequence of convex conic operators on  $C(X; \mathcal{C})$  such that each  $T_n$  is monotone and regular. Assume that (\*) holds and that

- i)  $T_n B^* \rightarrow B^*$ ,
- ii)  $a_n(x) = o(\frac{1}{n})$ , uniformly in  $x \in X$ .

Then  $T_n F \rightarrow F$ , for every  $F \in C(X; \mathcal{C})$ .

**Proof:** Let  $F \in C(X; \mathcal{C})$  and  $\varepsilon > 0$  be given. By i), Remark 5 and Lemma 4 choose  $n_1$  so that  $n \geq n_1$  implies

- (1)  $(\widehat{T}_n(e_0), x) < 1 + \varepsilon/2$ ,
- (2)  $d_H((T_n[F(x)]^*, x), F(x)) < \varepsilon/2$ ,

for all  $x \in X$ . By ii) there is some constant  $k > 0$  such that

- (3)  $n a_n(x) \leq k$ ,

for  $n = 1, 2, \dots$  and all  $x \in X$ . Since  $w(F, \delta) \rightarrow 0$  as  $\delta \rightarrow 0$ , we can choose  $n_2$  such that  $n \geq n_2$  implies

- (4)  $w(F, n^{-p}) < (\varepsilon/2)(1 + k + \varepsilon/2)^{-1}$ .

By Proposition 2 and (1)–(4), it follows that for  $n \geq n_0 = \max\{n_1, n_2\}$

$$\begin{aligned} d_H\left((T_n F, x), F(x)\right) &\leq \left[ (\widehat{T}_n(e_0), x) + \frac{1}{\delta^{\frac{1}{p}}} a_n(x) \right] w(F, \delta) + d_H\left((T_n[F(x)]^*, x), F(x)\right) \\ &= \left[ (\widehat{T}_n(e_0), x) + n a_n(x) \right] w(F, n^{-p}) + d_H\left((T_n[F(x)]^*, x), F(x)\right) \\ &< (1 + k + \varepsilon/2) w(F, n^{-p}) + \varepsilon/2 < \varepsilon \end{aligned}$$

for all  $x \in X$ . Hence  $T_n F \rightarrow F$ . ■

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M.S.M. Roversi, A.O. Chiacchio and M.L.B. Queiroz,  
IMECC–UNICAMP, Caixa Postal 6065,  
13081-970 Campinas – BRASIL