

## MAIN ARTICLES

### On the Rubio de Francia's Theorem in Variable Lebesgue Spaces

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*To the memory of academician Levan Zhizhiashvili*

*(Received December 3, 2013; Revised March 28, 2014; Accepted May 5, 2014)*

In this paper we study some generalization of Rubio de Francia's theorem in variable exponent Lebesgue spaces.

**Keywords:** Spherical maximal function, Variable Lebesgue spaces, Boundedness result.

**AMS Subject Classification:** 42B25, 46E30.

#### 1. Main result

The Lebesgue spaces  $L^{p(\cdot)}(\mathbb{R}^n)$  with variable exponent and the corresponding variable Sobolev spaces  $W^{k,p(\cdot)}(\mathbb{R}^n)$  are of interest for their applications to modeling problems in physics, and to the study of variational integrals and partial differential equations with non-standard growth condition (see [4], [3]).

Given a measurable function  $p : \mathbb{R}^n \rightarrow [1, \infty)$ ,  $L^{p(\cdot)}(\mathbb{R}^n)$  denotes the set of measurable functions  $f$  on  $\mathbb{R}^n$  such that for some  $\lambda > 0$

$$\int_{\mathbb{R}^n} \left( \frac{|f(x)|}{\lambda} \right)^{p(x)} dx < \infty.$$

This set becomes a Banach function space when equipped with the norm

$$\|f\|_{p(\cdot)} = \inf \left\{ \lambda > 0 : \int_{\mathbb{R}^n} \left( \frac{|f(x)|}{\lambda} \right)^{p(x)} dx \leq 1 \right\}.$$

Let  $B(x, r)$  denote the open ball in  $\mathbb{R}^n$  of radius  $r$  and center  $x$ . By  $|B(x, r)|$  we denote  $n$ -dimensional Lebesgue measure of  $B(x, r)$ . The Hardy-Littlewood

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maximal operator  $M$  is defined on the locally integrable function  $f$  on  $\mathbb{R}^n$  by the formula

$$Mf(x) = \sup_{r>0} \frac{1}{|B(x,r)|} \int_{B(x,r)} |f(y)| dy.$$

Define the spherical maximal operator  $\mathcal{M}$ , by

$$\mathcal{M}f(x) := \sup_{t>0} |\mu_t * f(x)| = \sup_{t>0} \left| \int_{\{y \in \mathbb{R}^n: |y|=1\}} f(x - ty) d\mu_1(y) \right|$$

where  $\mu_t$  denotes the normalized surface measure on the sphere of center 0 and radius  $t$  in  $\mathbb{R}^n$ . The Hardy-Littlewood maximal operator  $M$ , which involves averaging over balls, is clearly related to the spherical maximal operator, which averages over spheres. Indeed, by using polar coordinates, one easily verifies the pointwise inequality  $Mf(x) \leq \mathcal{M}f(x)$  for any (continuous) function.

Given a multiplier  $m \in L^\infty(\mathbb{R}^n)$ , we define the operators  $\mathcal{M}_t, t > 0$  by  $(\mathcal{M}_t f)^\wedge(\xi) = \widehat{f}(\xi)m(t\xi)$  and the maximal multiplier operator  $\mathcal{M}_m f(x) = \sup_{t>0} |(\mathcal{M}_t f)(x)|$  (which is well defined a priori for the Schwartz function).

For  $\alpha > 0$ , let  $m_\alpha(x) = (1 - |x|^2)^{\alpha-1}/\Gamma(\alpha)$ , where  $|x| < 1$ , and  $m_\alpha(x) = 0$  if  $|x| \geq 1$ . With  $m_{\alpha,t}(x) = m_\alpha(x/t)t^{-n}$ ,  $t > 0$ , we define spherical means of (complex) order  $Re\alpha > 0$ , by

$$\mathcal{M}_t^\alpha f(x) = (m_{\alpha,t} * f)(x).$$

Note that the Fourier transform of  $m_\alpha$  is given by

$$\widehat{m}_\alpha(\xi) = \pi^{-\alpha+1} |\xi|^{-n/2-\alpha+1} J_{n/2+\alpha}(2\pi|\xi|).$$

The definition of  $\mathcal{M}_t^\alpha$  can be extended to the region  $Re\alpha \leq 0$  by the analytic continuation. Indeed for complex  $\alpha$  in general we can define the operator  $\mathcal{M}_t^\alpha$  by

$$(\mathcal{M}_t^\alpha f)^\wedge(\xi) = \widehat{m}_\alpha(t\xi)\widehat{f}(\xi), \quad f \in C_0^\infty(\mathbb{R}^n).$$

Define the spherical maximal operator of order  $\alpha$  by

$$\mathcal{M}^\alpha f(x) = \sup_{t>0} |\mathcal{M}_t^\alpha f(x)|.$$

We observe that for  $\alpha = 0$  we have  $\mathcal{M}^\alpha f(x) = c\mathcal{M}f(x)$  for appropriate constant  $c$ .

**Theorem 1.1** (Rubio de Francia): *If  $m(\xi)$  is the Fourier transform of a compactly supported Borel measure and satisfies  $|m(\xi)| \leq (1 + |\xi|)^{-a}$  for some  $a > 1/2$  and all  $\xi \in \mathbb{R}^n$ , then the maximal operator  $\mathcal{M}_m$  maps  $L^p(\mathbb{R}^n)$  to itself when  $p > \frac{2a+1}{2a}$ .*

Note that for normalized surface measure of the sphere we have  $|\widehat{d\mu_1}(\xi)| \leq C(1 + |\xi|)^{-(n-1)/2}$  and from Theorem Rubio de Francia follows Stein's theorem

on boundedness of the spherical maximal operator in  $L^p(\mathbb{R}^n)$  (see [7]). According to Stein's theorem for the corresponding maximal operator (spherical maximal operator)

$$\|\mathcal{M}\|_{L^p(\mathbb{R}^n)} \leq C_p \|f\|_{L^p(\mathbb{R}^n)}$$

holds if  $p > n/(n - 1)$ ,  $n \geq 3$ , where  $f$  is initially taken to be in the class of rapidly decreasing functions. The two-dimensional version of this result was proved by Burgain [1]. The key feature of the spherical maximal operator is the non-vanishing Gaussian curvature of the sphere. Indeed, one obtains the same  $L^p$  bounds if the sphere is replaced by a piece of any hypersurface in  $\mathbb{R}^n$  with everywhere non-vanishing Gaussian curvature (see [2]). More generally, if  $\sigma$  is smooth compactly supported measure in a hypersurface on  $\mathbb{R}^n$  with  $k$  non vanishing principal curvatures ( $k > 1$ ), then  $|\widehat{\sigma}(\xi)| \leq C(1 + |\xi|)^{-k/2}$  and from Theorem of Rubio de Francia follows Greenleaf's theorem ( see [2], [8]).

Our aim of the paper is to study boundedness properties of the Rubio de Francia's maximal multiplier operator  $\mathcal{M}_m$  in variable Lebesgue spaces. Note that the boundedness of the spherical maximal operator in variable Lebesgue spaces was investigated in [5] and [6].

In many applications a crucial step has been to show that the Hardy-Littlewood maximal operator is bounded on a variable  $L^p$  space. Note that many classical operators in harmonic analysis such as singular integrals, commutators and fractional integrals are bounded on the variable Lebesgue space  $L^{p(\cdot)}(\mathbb{R}^n)$  whenever the Hardy-Littlewood maximal operator is bounded on  $L^{p(\cdot)}(\mathbb{R}^n)$  (see [3], [4]).

Assume that  $p_- = \text{essinf}_{x \in \mathbb{R}^n} p(x)$  and  $p_+ = \text{esssup}_{x \in \mathbb{R}^n} p(x)$ . Let  $\mathcal{B}(\mathbb{R}^n)$  be the class of all functions  $p(\cdot)$  ( $1 < p_- \leq p_+ < \infty$ ) for which the Hardy-Littlewood maximal operator  $M$  is bounded on  $L^{p(\cdot)}(\mathbb{R}^n)$ .

We say that a function  $p : \mathbb{R}^n \rightarrow (0, \infty)$  is locally log-Hölder continuous on  $\mathbb{R}^n$  if there exists  $c_1 > 0$  such that

$$|p(x) - p(y)| \leq c_1 \frac{1}{\log(e + 1/|x - y|)}$$

for all  $x, y \in \mathbb{R}^n$ . We say that  $p(\cdot)$  satisfies the log-Hölder decay condition if there exist  $p_\infty \in (0, \infty)$  and a constant  $c_2 > 0$  such that

$$|p(x) - p_\infty| \leq c_2 \frac{1}{\log(e + |x|)}$$

for all  $x \in \mathbb{R}^n$ . We say that  $p(\cdot)$  is globally log-Hölder continuous in  $\mathbb{R}^n$  ( $p(\cdot) \in \mathcal{P}_{\log}$ ) if it is locally log-Hölder continuous and satisfies the log-Hölder decay condition.

If  $p : \mathbb{R}^n \rightarrow (1, \infty)$  is globally log-Hölder continuous function in  $\mathbb{R}^n$  and  $p^- > 1$ , then the classical boundedness theorem for the Hardy-Littlewood maximal operator can be extended to  $L^{p(\cdot)}$  (see [3], [4]).

By  $\mathcal{B}_\theta(\mathbb{R}^n)$  ( $0 < \theta < 1$ ) we denote the class of exponents  $p(\cdot)$  such that the following complex interpolation expansion  $L^{p(\cdot)}(\mathbb{R}^n) = [L^2(\mathbb{R}^n), L^{\tilde{p}(\cdot)}(\mathbb{R}^n)]_\theta$  is valid, where  $\tilde{p}(\cdot) \in \mathcal{B}(\mathbb{R}^n)$  (obviously we have  $p(\cdot) \in \mathcal{B}(\mathbb{R}^n)$ ). Note that  $p(\cdot) \in \mathcal{B}_\theta(\mathbb{R}^n)$  if and only if  $\frac{2\theta p(\cdot)}{2-(1-\theta)p(\cdot)} \in \mathcal{B}(\mathbb{R}^n)$ .

Our main results are the following

**Theorem 1.2:** *Let  $m(\xi)$  be the Fourier transform of a compactly supported Borel measure  $\sigma$  and  $|m(\xi)| \leq C(1 + |\xi|)^{-\alpha}$ , where  $\alpha > 1/2$ . If  $p(\cdot) \in \mathcal{B}_\theta(\mathbb{R}^n)$  for some  $0 < \theta < \frac{2\alpha-1}{2\alpha-1+2n}$ , then the maximal operator  $\mathcal{M}_m$  maps  $L^{p(\cdot)}(\mathbb{R}^n)$  to itself.*

**Theorem 1.3:** *If  $m(\xi)$  is the Fourier transform of a compactly supported Borel measure and satisfies  $|m(\xi)| \leq (1 + |\xi|)^{-a}$  for some  $a > 1/2$  and all  $\xi \in \mathbb{R}^n$ . If  $p(\cdot) \in \mathcal{P}_{\log}$  and*

$$\frac{2n + 2\alpha - 1}{n + 2\alpha - 1} < p_- \leq p_+ < \frac{n + 2\alpha - 1}{n} p_-.$$

*then the maximal operator  $\mathcal{M}_m$  maps  $L^{p(\cdot)}(\mathbb{R}^n)$  to itself.*

## 2. Proofs

**Proof** (of Theorem 1.2): We set  $m(\xi) = \widehat{d\sigma}(\xi)$ . Obviously  $m(\xi)$  is a  $C^\infty$  function. To study the maximal multiplier operator  $\mathcal{M}_m f(x)$  we decompose the multiplier  $m(\xi)$  into radial pieces as follows: we fix a radial  $C^\infty$  function  $\varphi_0$  in  $\mathbb{R}^n$  such that  $\varphi_0(\xi) = 1$  when  $|\xi| \leq 1$  and  $\varphi_0(\xi) = 0$  when  $|\xi| \geq 2$ . For  $j \geq 1$  we let

$$\varphi_j(\xi) = \varphi_0(2^{-j}\xi) - \varphi_0(2^{1-j}\xi)$$

and we observe that  $\varphi_j$  is localized near  $|\xi| \approx 2^j$ . Then we have

$$\sum_{j=0}^{\infty} \varphi_j = 1.$$

Set  $m_j = \varphi_j m$  for all  $j \geq 0$ . Then  $m_j$  are  $C_0^\infty$  functions that satisfy

$$m = \sum_{j=0}^{\infty} m_j.$$

Also, the following estimate is valid:

$$\mathcal{M}_m f \leq \sum_{j=0}^{\infty} \mathcal{M}_j f$$

where

$$\mathcal{M}_j f(x) = \sup_{t>0} |\mathcal{F}^{-1} \left( \widehat{f}(\xi) m_j(t\xi) \right) (x)|.$$

Note that for any  $j \geq 0$  we have (see [8]) the estimate

$$\|\mathcal{M}_j f\|_{L^2} \leq C 2^{(1/2-a)j} \|f\|_{L^2} \tag{2.1}$$

for all  $f \in L^2(\mathbb{R}^n)$ .

Note also that since  $\tilde{p}(\cdot) \in \mathcal{B}(\mathbb{R}^n)$ , we have the estimate

$$\|\mathcal{M}_j f\|_{\tilde{p}(\cdot)} \leq C2^{j(n)} \|f\|_{\tilde{p}(\cdot)} \tag{2.2}$$

for any  $j \geq 0$ . The proof of estimate (2.2) is based on the estimate

$$\mathcal{M}_j f(x) \leq C2^{j(n)} Mf(x), \tag{2.3}$$

where  $M$  is a Hardy-Littlewood maximal operator.

To establish (2.3), it suffices to show that for any  $M > n$  there is a constant  $C_M < \infty$  such that

$$|(\mathcal{F}^{-1}(\varphi_j) * d\sigma)(x)| \leq \frac{C2^{j(n)}}{(1 + |x|)^M}. \tag{2.4}$$

Using the fact that  $\varphi$  is a Schwartz function, we have for every  $N > 0$ ,

$$|(\mathcal{F}^{-1}(\varphi_j) * d\sigma)(x)| \leq C_N 2^{nj} \int_{\mathbb{R}^n} \frac{d\sigma(y)}{(1 + 2^j|x - y|)^N}. \tag{2.5}$$

Let  $N > M$ . We split the last integral into the regions

$$S_{-1}(x) = S^{n-1} \cap \{y \in \mathbb{R}^n : 2^j|x - y| \leq 1\}$$

and for  $k > 0$ ,

$$S_k(x) = S^{n-1} \cap \{y \in \mathbb{R}^n : 2^k < 2^j|x - y| \leq 2^{k+1}\}.$$

We obtain the following estimate for the expression  $|(\mathcal{F}^{-1}(\varphi_j) * d\sigma)(x)|$

$$\begin{aligned} & \sum_{k=-1}^j \int_{S_k(x)} \frac{C_N 2^{nj} d\sigma(y)}{(1 + 2^j|x - y|)^N} + \sum_{k=j+1}^{\infty} \int_{S_k(x)} \frac{C_N 2^{nj} d\sigma(y)}{(1 + 2^j|x - y|)^N} \\ & \leq C'_N 2^{nj} \sum_{k=-1}^j \frac{\sigma(S_k(x))\chi_{B(0,3)}(x)}{2^{kN}} + C_N 2^{nj} \sum_{k=j+1}^{\infty} \frac{\sigma(S_k(x))\chi_{B(0,2^{k+1-j+1})}(x)}{2^{kN}} \\ & =: I + II. \end{aligned} \tag{2.6}$$

Using the fact that for  $y \in S_k(x)$  we have  $|x| \leq 2^{k+1-j} + 1$ , we obtain the following estimate

$$I \leq C'_N 2^{nj} \sum_{k=-1}^j \frac{C2^{(k+1-j)}\chi_{B(0,3)}(x)}{2^{kN}} \leq C_N 2^{(n)j} \chi_{B(0,3)}(x). \tag{2.7}$$

On the other hand

$$\begin{aligned}
II &\leq C'_N 2^{nj} \sum_{k=j+1}^{\infty} C 2^{-kN} \chi_{B(0, 2^{k+1-j+1})}(x) \\
&\leq C'_N \sum_{k=j+1}^{\infty} 2^{nj} 2^{-kN} \frac{(1 + 2^{k-j+2})^M}{(1 + |x|)^M} \\
&\leq C'_M \sum_{k=j+1}^{\infty} \frac{2^{(k-j)(M-N)}}{2^{k(N+1-n)}} \\
&\leq \frac{C''_M 2^j}{(1 + |x|)^M},
\end{aligned} \tag{2.8}$$

where we used that  $N > M > n$ . From (2.5)-(2.8) we obtain (2.4) and consequently (2.3).

From (2.1)-(2.2) we obtain

$$\|\mathcal{M}_j\|_{L^{p(\cdot)} \rightarrow L^{p(\cdot)}} \leq C \|\mathcal{M}_j\|_{L^2 \rightarrow L^2}^{1-\theta} \|\mathcal{M}_j\|_{L^{\tilde{p}(\cdot)} \rightarrow L^{\tilde{p}(\cdot)}} \preceq 2^{(1/2-\alpha)(1-\theta)j} 2^{j(n)\theta}. \tag{2.9}$$

Using the last estimate we obtain if  $0 < \theta < \frac{2\alpha-1}{2\alpha-1+2n}$ , then

$$\|\mathcal{M}_m\|_{p(\cdot)} \preceq \sum_{j=0}^{\infty} 2^{(1/2-\alpha)(1-\theta)j} 2^{j(n)\theta} \|f\|_{p(\cdot)} \preceq \|f\|_{p(\cdot)}.$$

□

To prove Theorem 1.3 we need the following lemma.

**Lemma 2.1:** *Suppose  $\alpha > 1/2$  and for exponent  $p : \mathbb{R}^n \rightarrow (1, +\infty)$  we have*

$$\frac{2n + 2\alpha - 1}{n + 2\alpha - 1} < p_- \leq p_+ < \frac{2n + 2\alpha - 1}{n}.$$

*Then there exists exponent  $\tilde{p} : \mathbb{R}^n \rightarrow (1, +\infty)$  such that  $1 < \tilde{p}_- \leq \tilde{p}_+ < \infty$  and  $\frac{1}{p(x)} = \frac{1-\theta}{2} + \frac{\theta}{\tilde{p}(x)}$ ;  $x \in \mathbb{R}^n$  for some  $\theta$  with property  $0 < \theta < \frac{2\alpha-1}{2n+2\alpha-1}$ .*

**Proof:** Note that

$$1 < \frac{2n + 2\alpha - 1}{n + 2\alpha - 1} < 2 < \frac{2n + 2\alpha - 1}{n}.$$

We have

$$\frac{n}{2n + 2\alpha - 1} < \inf_{x \in \mathbb{R}^n} \frac{1}{p(x)} \leq \sup_{x \in \mathbb{R}^n} \frac{1}{p(x)} < \frac{n + 2\alpha - 1}{2n + 2\alpha - 1}.$$

Let  $\frac{1}{p(x)} = \frac{1}{2} + r(x)$ . By the assumption we have

$$\frac{n}{2n + 2\alpha - 1} - \frac{1}{2} < \inf_{x \in \mathbb{R}^n} r(x) \leq \sup_{x \in \mathbb{R}^n} r(x) < \frac{n + 2\alpha - 1}{2n + 2\alpha - 1} - \frac{1}{2}. \tag{2.10}$$

It is easy to see that the equation

$$\frac{1}{p(x)} = \frac{1-\theta}{2} + \frac{\theta}{\tilde{p}(x)}; \tag{2.11}$$

is equivalent to

$$\frac{1}{2} + \frac{r(x)}{\theta} = \frac{1}{\tilde{p}(x)}. \tag{2.12}$$

Using (2.9) we may take small  $\delta > 0$  such that

$$\frac{n}{2n+2\alpha-1} - \frac{1}{2} + \delta < \inf_{x \in \mathbb{R}^n} r(x) \leq \sup_{x \in \mathbb{R}^n} r(x) < \frac{n+2\alpha-1}{2n+2\alpha-1} - \frac{1}{2} - \delta.$$

Then for  $\theta$ ,  $0 < \theta < \frac{2\alpha-1}{2\alpha-1}$ , where  $\theta = \theta - \theta_0$ ,  $\theta_0 > 0$  we have

$$\frac{\frac{n}{2n+2\alpha-1} - \frac{1}{2} + \delta}{\frac{2\alpha-1}{2n+2\alpha+1} - \theta_0} < \inf_{x \in \mathbb{R}^n} \frac{r(x)}{\theta} \leq \sup_{x \in \mathbb{R}^n} \frac{r(x)}{\theta} < \frac{\frac{n+2\alpha-1}{2n+2\alpha-1} - \frac{1}{2} - \delta}{\frac{2\alpha-1}{2n+2\alpha+1} - \theta_0}$$

$$-\frac{1}{2} \frac{\frac{2a-1}{2n+2a-1} - 2\delta}{\frac{2a-1}{2n+2a-1} - \theta_0} < \inf_{x \in \mathbb{R}^n} \frac{r(x)}{\theta} \leq \sup_{x \in \mathbb{R}^n} \frac{r(x)}{\theta} < \frac{1}{2} \frac{\frac{2a-1}{2n+2a-1} - 2\delta}{\frac{2a-1}{2n+2a-1} - \theta_0}.$$

If we take  $\theta_0 < 2\delta$  we obtain

$$-\frac{1}{2} < \inf_{x \in \mathbb{R}^n} \frac{r(x)}{\theta} \leq \sup_{x \in \mathbb{R}^n} \frac{r(x)}{\theta} < \frac{1}{2}. \tag{2.13}$$

From (2.11) and (2.12) we get

$$0 < \inf_{x \in \mathbb{R}^n} \frac{1}{\tilde{p}(x)} \leq \sup_{x \in \mathbb{R}^n} \frac{1}{\tilde{p}(x)} < 1.$$

Consequently we have  $1 < \tilde{p}_- \leq \tilde{p}_+ < \infty$ . □

**Proof** (Proof of Theorem 1.3):

As by the assumption

$$\frac{2n+2\alpha-1}{(n+2\alpha-1)p_-} < \frac{2n+2\alpha-1}{(n)p_+},$$

we can find  $\theta$  such that

$$\frac{2n+2\alpha-1}{(n+2\alpha-1)p_-} < \theta < \min\left(1, \frac{2n+2\alpha-1}{(n)p_+}\right).$$

It is clear, that

$$\frac{2n + 2\alpha - 1}{(n + 2\alpha - 1)} < \theta p_- < \theta p_+ < \frac{2n + 2\alpha - 1}{(n)}.$$

As we have that if  $p(\cdot) \in \mathcal{P}_{\log}$  then  $\theta p(\cdot) \in \mathcal{P}_{\log}$  and by Theorem 1.2 we get that the operator  $\mathcal{M}_m$  is bounded in  $L^{\theta p(\cdot)}(\mathbb{R}^n)$ . Using the fact that  $[L^\infty(\mathbb{R}^n), L^{p(\cdot)\theta}(\mathbb{R}^n)]_\theta = L^{p(\cdot)}(\mathbb{R}^n)$ , ( $0 < \theta < 1$ ) and the operator  $\mathcal{M}_m$  is bounded in  $L^\infty(\mathbb{R}^n)$  and  $L^{\theta p(\cdot)}(\mathbb{R}^n)$  we obtain that the operator  $\mathcal{M}_m$  is bounded in  $L^{p(\cdot)}(\mathbb{R}^n)$ .  $\square$

### **Acknowledgment.**

The research was in part supported by the grants no. 13/06 and no. 31/48 of the Shota Rustaveli National Science Foundation. The research of A. Gogatishvili was partially supported by the grant P201/13/14743S of the Grant agency of the Czech Republic and RVO: 67985840.

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