

**ON RIEMANNIAN MANIFOLDS WHOSE TANGENT SPHERE  
BUNDLES CAN HAVE NONNEGATIVE SECTIONAL  
CURVATURE**

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**Abstract.** The authors proved a theorem about the sectional curvature of tangent sphere bundles over locally symmetric Riemannian manifolds (see Theorem A below). After a slight generalization of this theorem (Theorem 1) we prove several results which give strong support of the conjecture that the converse of Theorem 1 also holds. The problem still remains open, in general.

**1. Introduction.** Let  $(M, g)$  be a Riemannian manifold of dimension  $n \geq 2$  and let  $(T_r M, \tilde{g})$  denote the tangent sphere bundle of radius  $r > 0$  equipped with the induced Sasaki metric. We have started our study on the geometry of tangent sphere bundles  $(T_r M, \tilde{g})$  in [5] with

**THEOREM A ([5]).** *Let  $(M, g)$ ,  $\dim M \geq 2$ , be either locally symmetric with positive sectional curvature or locally flat. Then, for each sufficiently small positive number  $r$ , the tangent sphere bundle  $(T_r M, \tilde{g})$  is a space of nonnegative sectional curvature.*

As a slight generalization of Theorem A we shall show

**THEOREM 1.** *Let  $(M, g)$ ,  $\dim M \geq 3$ , be a Riemannian locally symmetric space with nonnegative sectional curvature. Then, for each sufficiently small*

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positive number  $r > 0$ , the tangent sphere bundle  $(T_r M, \tilde{g})$  is a space of non-negative sectional curvature.

Under the hypothesis of Theorem 1, we can see easily from [7, Theorem 3.3] that  $(T_r M, \tilde{g})$  is never a space of strictly positive sectional curvature. On the other hand, if  $(M, g)$  is a two-dimensional standard sphere, then  $(T_r M, \tilde{g})$  is a space of positive sectional curvature according to the criterion by Yampolsky [10].

The natural problem now is the question whether the conclusion of Theorem 1 may also hold for Riemannian manifolds which are not locally symmetric. This paper does not definitely solve this problem but it gives some new evidence that the converse of Theorem 1 might hold, too.

The first step in this direction has been made in [5], where the following result was proved:

**THEOREM B ([5]).** *There exist arbitrarily small perturbations of a spherical cap of the standard four-sphere with the following property: if  $(M, g)$  is such a perturbation, then  $(T_r M, \tilde{g})$  admits negative sectional curvatures for every positive number  $r$ .*

Here we shall prove the following modification of Theorem B:

**THEOREM 2.** *Let  $(M, g)$ ,  $\dim M \geq 3$ , be a Riemannian manifold and let  $x$  be a spherical point of  $M$ , i.e., such that all sectional curvatures at  $x$  are constant. Moreover, let the covariant derivative  $(\nabla R)_x$  of the Riemannian curvature tensor  $R$  be nonzero. Then in any tangent sphere bundle  $(T_r M, \tilde{g})$  over  $(M, g)$  there is a point  $(x, u)$ ,  $u \in M_x$ , such that the tangent space  $(T_r M)_{(x, u)}$  admits a two-plane with negative sectional curvature.*

**COROLLARY 3.** *Let  $(M, g)$  be a Riemannian manifold such that the covariant derivative  $\nabla R$  of the Riemannian curvature tensor  $R$  is nonzero everywhere. If, for some radius  $r > 0$ , the tangent sphere bundle  $(T_r M, \tilde{g})$  has nonnegative sectional curvature, then  $(M, g)$  has no spherical points.*

We are now looking for the converse to Theorem 1. We shall first present a “nonstandard” converse of this Theorem.

**PROPOSITION 4.** *Let  $(M, g)$ ,  $\dim M \geq 3$ , be a Riemannian manifold with non-negative sectional curvature and let  $x \in M$  be a point such that the covariant derivative  $(\nabla R)_x$  of the Riemannian curvature tensor  $R$  is nonzero. Then for every sufficiently large radius  $r$ , the tangent sphere bundle  $(T_r M, \tilde{g})$  over  $(M, g)$  contains a point  $(x, u)$ ,  $u \in M_x$ , such that the tangent space  $(T_r M)_{(x, u)}$  admits a two-plane with negative sectional curvature.*

**THEOREM 5.** *Let  $(M, g)$ ,  $\dim M \geq 3$ , be a Riemannian manifold such that, for all sufficiently large radii  $r > 0$ , the tangent sphere bundles  $(T_r M, \tilde{g})$  over*

$(M, g)$  are spaces of nonnegative sectional curvature. Then the space  $(M, g)$  is locally symmetric.

Finally, we shall prove the true converse of Theorem 1, but still under an additional assumption. This assumption reads that either  $\dim M = 3$ , or  $\dim M > 3$  and  $(M, g)$  is conformally flat.

**THEOREM 6.** *Let  $(M, g)$  be a Riemannian manifold such that the conformal Weyl tensor  $W$  vanishes (in particular, let  $\dim M = 3$ ). If the tangent sphere bundle  $(T_r M, \tilde{g})$  is a space of nonnegative sectional curvature for some radius  $r > 0$ , then  $(M, g)$  is locally symmetric.*

From this theorem we shall deduce the following

**COROLLARY 7.** *Let  $(M, g)$  be a Riemannian manifold of dimension  $n$  such that the conformal Weyl tensor  $W$  vanishes (in particular, let  $\dim M = 3$ ). Then the tangent sphere bundle  $(T_r M, \tilde{g})$  is a space of nonnegative sectional curvature for all sufficiently small radii  $r > 0$  if, and only if,  $(M, g)$  is locally isometric to one of the following spaces:*

$$\mathbb{R}^n, \quad S^n(c), \quad \text{or} \quad S^{n-1}(c) \times \mathbb{R}^1,$$

where  $\mathbb{R}^n$  is the Euclidean  $n$ -space and  $S^n(c)$  is the  $n$ -sphere of radius  $1/\sqrt{c}$ .

The references in this paper will be limited to a necessary minimum. For more references concerning related topics, see [1].

**2. Tangent sphere bundles — a short review.** Let  $M$  be a smooth and connected manifold of dimension  $n \geq 2$ . Then the tangent bundle  $TM$  over  $M$  consists of all pairs  $(x, u)$ , where  $x$  is a point of  $M$  and  $u$  is a vector from the tangent space  $M_x$  of  $M$  at  $x$ . We denote by  $p$  the natural projection of  $TM$  to  $M$  defined by  $p(x, u) = x$ .

Let  $g$  be a Riemannian metric on the manifold  $M$  and  $\nabla$  its Levi-Civita connection. Then the tangent space  $(TM)_{(x,u)}$  of  $TM$  at  $(x, u)$  splits into the horizontal and vertical subspaces  $H_{(x,u)}$  and  $V_{(x,u)}$  with respect to  $\nabla$ :

$$(TM)_{(x,u)} = H_{(x,u)} \oplus V_{(x,u)}.$$

For a vector  $X \in M_x$ , the *horizontal lift* of  $X$  to a point  $(x, u) \in TM$  is the unique vector  $X^h \in H_{(x,u)}$  such that  $p_* X^h = X$ . The *vertical lift* of  $X$  to  $(x, u)$  is the unique vector  $X^v \in V_{(x,u)}$  such that  $X^v(df) = Xf$  for all smooth functions  $f$  on  $M$ . Here we consider a 1-form  $df$  on  $M$  as a function on  $TM$ . The map  $X \mapsto X^h$  is an isomorphism between  $M_x$  and  $H_{(x,u)}$ ; and the map  $X \mapsto X^v$  is an isomorphism between  $M_x$  and  $V_{(x,u)}$ . In an obvious way we can define horizontal and vertical lifts of vector fields on  $M$ . These are uniquely defined vector fields on  $TM$ .

For each system of local coordinates  $(x^1, x^2, \dots, x^n)$  in  $M$ , one defines, in the standard way, the system of local coordinates  $(x^1, x^2, \dots, x^n; u^1, u^2, \dots, u^n)$

in  $TM$ . The *canonical vertical vector field* on  $TM$  is a vector field  $\mathcal{U}$  defined, in terms of local coordinates, by  $\mathcal{U} = \sum_i u^i \partial / \partial u^i$ . Here  $\mathcal{U}$  does not depend on the choice of local coordinates and it is defined globally on  $TM$ . For a vector  $u = \sum_i u^i (\partial / \partial x^i)_x \in M_x$ , we see that  $u_{(x,u)}^h = \sum_i u^i (\partial / \partial x^i)_{(x,u)}^h$  and  $u_{(x,u)}^v = \sum_i u^i (\partial / \partial x^i)_{(x,u)}^v = \mathcal{U}_{(x,u)}$ .

The *Sasaki metric* on the tangent bundle  $TM$  of a Riemannian manifold  $(M, g)$  is determined, at each point  $(x, u) \in TM$ , by the formulas

$$(2.1) \quad \begin{cases} \bar{g}_{(x,u)}(X^h, Y^h) = g_x(X, Y), \\ \bar{g}_{(x,u)}(X^h, Y^v) = 0, \\ \bar{g}_{(x,u)}(X^v, Y^v) = g_x(X, Y), \end{cases}$$

where  $X$  and  $Y$  are arbitrary vectors from  $M_x$ .

Evidently, we have  $\bar{g}_{(x,u)}(X^h, \mathcal{U}) = 0$  and  $\bar{g}_{(x,u)}(X^v, \mathcal{U}) = g_x(X, u)$ . Let  $\bar{\nabla}$  be the Levi-Civita connection of  $(TM, \bar{g})$ , and let  $X$  and  $Y$  be vector fields on  $M$ , then we have at each *fixed* point  $(x, u) \in TM$ ,

$$(2.2) \quad \begin{cases} (\bar{\nabla}_{X^h} Y^h)_{(x,u)} = (\nabla_X Y)_{(x,u)}^h - \frac{1}{2} (R_x(X, Y)u)^v, \\ (\bar{\nabla}_{X^h} Y^v)_{(x,u)} = \frac{1}{2} (R_x(u, Y)X)^h + (\nabla_X Y)_{(x,u)}^v, \\ (\bar{\nabla}_{X^v} Y^h)_{(x,u)} = \frac{1}{2} (R_x(u, X)Y)^h, \\ (\bar{\nabla}_{X^v} Y^v)_{(x,u)} = 0, \end{cases}$$

where  $R$  is the Riemannian curvature tensor of  $(M, g)$  defined by  $R(X, Y) = [\nabla_X, \nabla_Y] - \nabla_{[X, Y]}$ . As concerns the canonical vertical vector field  $\mathcal{U}$ , we have

$$(2.3) \quad \begin{cases} \bar{\nabla}_{X^h} \mathcal{U} = 0, & \bar{\nabla}_{X^v} \mathcal{U} = X^v, \\ \bar{\nabla}_{\mathcal{U}} X^h = 0, & \bar{\nabla}_{\mathcal{U}} X^v = 0, \\ \bar{\nabla}_{\mathcal{U}} \mathcal{U} = \mathcal{U} \end{cases}$$

for each vector field  $X$  on  $M$ .

Let  $r$  be a positive number. Then the *tangent sphere bundle of radius  $r$*  over a Riemannian manifold  $(M, g)$  is the hypersurface  $T_r M = \{(x, u) \in TM \mid g_x(u, u) = r^2\}$ . The canonical vertical vector field  $\mathcal{U}$  is normal to  $T_r M$  in  $(TM, \bar{g})$  at each point  $(x, u) \in T_r M$ . Also,  $\bar{g}(\mathcal{U}, \mathcal{U}) = r^2$  along  $T_r M$ . For any vector field  $X$  tangent to  $M$ , the horizontal lift  $X^h$  is always tangent to  $T_r M$  at each point  $(x, u) \in T_r M$ . Yet, in general, the vertical lift  $X^v$  is not tangent to  $T_r M$  at  $(x, u)$ . The *tangential lift* of  $X$  (see [1]) is a vector field  $X^t$  tangent to  $T_r M$  and defined by

$$X^t = X^v - \frac{1}{r^2} \bar{g}(X^v, \mathcal{U}) \mathcal{U}.$$

Thus, at each point  $(x, u) \in T_r M$ , we have

$$X_{(x,u)}^t = X_{(x,u)}^v - \frac{1}{r^2} g_x(X, u) \mathcal{U}_{(x,u)}.$$

Now we endow the hypersurface  $T_r M \subset (TM, \bar{g})$  with the induced Riemannian metric  $\tilde{g}$ , which is uniquely determined by the formulas

$$(2.4) \quad \begin{cases} \tilde{g}(X^h, Y^h) = \bar{g}(X^h, Y^h), \\ \tilde{g}(X^h, Y^t) = 0, \\ \tilde{g}(X^t, Y^t) = \bar{g}(X^v, Y^v) - \frac{1}{r^2} \bar{g}(X^v, \mathcal{U}) \bar{g}(Y^v, \mathcal{U}), \end{cases}$$

where  $X$  and  $Y$  are arbitrary vector fields on  $M$ . In the following we shall use the symbol  $\langle \cdot, \cdot \rangle$  for the scalar product  $g_x$  on  $M_x$ . Then (2.4) can be rewritten, at each fixed point  $(x, u) \in T_r M$ , in the form

$$(2.5) \quad \begin{cases} \tilde{g}_{(x,u)}(X^h, Y^h) = \langle X, Y \rangle, \\ \tilde{g}_{(x,u)}(X^h, Y^t) = 0, \\ \tilde{g}_{(x,u)}(X^t, Y^t) = \langle X, Y \rangle - \frac{1}{r^2} \langle X, u \rangle \langle Y, u \rangle, \end{cases}$$

where  $X$  and  $Y$  are arbitrary vectors from  $M_x$ .

We notice that  $u_{(x,u)}^t = 0$  for  $(x, u) \in T_r M$  and hence the tangent space  $(T_r M)_{(x,u)}$  coincides with the set  $\{X^h + Y^t \mid X \in M_x, Y \in \{u\}^\perp \subset M_x\}$ .

In [5] all basic formulas for the *curvature operators* on the tangent sphere bundle  $T_r M$  have been derived by calculating first the shape operator and then using the Gauss equation. We shall not reproduce them here.

It is obvious that each tangent two-plane  $\tilde{P} \subset (T_r M)_{(x,u)}$  is spanned by an orthonormal basis of the form  $\{X_1^h + Y_1^t, X_2^h + Y_2^t\}$ . For such a basis we have  $\|X_i\|^2 + \|Y_i\|^2 = 1$ ,  $i = 1, 2$ , and  $\langle X_1, X_2 \rangle + \langle Y_1, Y_2 \rangle = 0$ . Moreover, we can assume  $\langle X_1, X_2 \rangle = \langle Y_1, Y_2 \rangle = 0$ . This can be realized easily by a convenient rotation of the given basis. As usual,  $Y_1$  and  $Y_2$  are supposed to be orthogonal to  $u$ . From the formulas for the curvature operators one obtains as in [5] the following formula for the sectional curvature of the two-plane  $\tilde{P}$ :

$$(2.6) \quad \begin{aligned} \tilde{K}(\tilde{P}) &= \langle R_x(X_1, X_2)X_2, X_1 \rangle + 3\langle R_x(X_1, X_2)Y_2, Y_1 \rangle + \frac{1}{r^2} \|Y_1\|^2 \|Y_2\|^2 \\ &\quad - \frac{3}{4} \|R_x(X_1, X_2)u\|^2 + \frac{1}{4} \|R_x(u, Y_2)X_1\|^2 + \frac{1}{4} \|R_x(u, Y_1)X_2\|^2 \\ &\quad + \frac{1}{2} \langle R_x(u, Y_1)X_2, R_x(u, Y_2)X_1 \rangle - \langle R_x(u, Y_1)X_1, R_x(u, Y_2)X_2 \rangle \\ &\quad + \langle (\nabla_{X_1} R)_x(u, Y_2)X_2, X_1 \rangle + \langle (\nabla_{X_2} R)_x(u, Y_1)X_1, X_2 \rangle. \end{aligned}$$

Now, there are orthonormal pairs  $\{\hat{X}_1, \hat{X}_2\}$  and  $\{\hat{Y}_1, \hat{Y}_2\}$ , and angles  $\alpha, \beta \in [0, \pi/2]$  such that

$$\begin{cases} X_1 = \cos \alpha \hat{X}_1, & Y_1 = \sin \alpha \hat{Y}_1; \\ X_2 = \cos \beta \hat{X}_2, & Y_2 = \sin \beta \hat{Y}_2. \end{cases}$$

We also put  $\hat{u} = u/\|u\| = u/r$ . This notation will be used in the sequel.

### 3. The proof of main results.

PROOF OF THEOREM 1. Because  $(M, g)$  is locally isometric to a globally symmetric space and because the statement of the Theorem is purely local, we can assume that  $(M, g)$  itself is globally symmetric and simply connected. Then we have the de Rham decomposition

$$(M, g) = (M_0, g_0) \times (M_1, g_1) \times \cdots \times (M_s, g_s),$$

where  $(M_0, g_0)$  is the Euclidean part and all  $(M_i, g_i)$  for  $i = 1, 2, \dots, s$  are irreducible symmetric spaces of compact type.

Fix a point  $x = (x_0, x_1, \dots, x_s) \in M$  and denote by  $N_i = M_i \times \{(x_0, \dots, \hat{x}_i, \dots, x_s)\}$ ,  $i = 0, 1, \dots, s$ , the corresponding leaf in  $M$ , where the symbol  $\hat{x}_i$  indicates that the component  $x_i$  is omitted. Let us recall that, if  $U, V$  and  $W$  are vectors tangent to the leaves  $N_i$  at  $x$ , and if at least two of them are tangent to different leaves, then  $R_x(U, V)W = 0$ . Also recall that if  $W$  is tangent to some leaf  $N_j$ ,  $j = 1, 2, \dots, s$ , then, for any choice of tangent vectors  $U$  and  $V$  at  $x$ , the vector  $R_x(U, V)W$  is either a null vector or it is tangent to the leaf  $N_j$ , as well. Finally, recall that the tangent spaces to the leaves form an orthogonal decomposition of the tangent space  $M_x$ .

Now, consider an orthonormal pair  $\{\hat{X}_1, \hat{X}_2\}$  in  $M_x$ . If both  $\hat{X}_1$  and  $\hat{X}_2$  are tangent to  $N_0$ , then we see at once from formula (2.6) that  $\tilde{K}(\tilde{P}) \geq 0$  for any two-plane  $\tilde{P}$  defined in the last part of Section 2. If  $\hat{X}_1$  and  $\hat{X}_2$  are tangent to an irreducible factor  $N_i$ ,  $i = 1, 2, \dots, s$ , then we have  $K(\hat{X}_1 \wedge \hat{X}_2) \geq \delta_i > 0$  where  $\delta_i$  is the minimum of sectional curvature on  $(M_i, g_i)$ . Now we can use the same argument as in the proof of Theorem 4 in [5] (*i.e.*, Theorem A in this paper) to show that  $\tilde{K}(\tilde{P}) \geq 0$  holds for every choice of an orthonormal triplet  $\{\hat{Y}_1, \hat{Y}_2, \hat{u}\}$  in  $M_x$  and for all radii  $r > 0$  such that  $r \leq r_i$ , where  $r_i > 0$  depends only on the geometry of  $(M, g)$ . Finally, let  $\hat{X}_1$  and  $\hat{X}_2$  be tangent to two different leaves  $N_i$  and  $N_j$ ,  $i \neq j$ ; then  $R_x(\hat{X}_1, \hat{X}_2) = 0$ . Moreover  $R_x(U, V)X_1$  and  $R_x(U, V)X_2$  are tangent to the leaves  $N_i$  and  $N_j$ , respectively, for any choice of  $U, V \in M_x$ . Hence, for every choice of an orthonormal triplet  $\{\hat{Y}_1, \hat{Y}_2, \hat{u}\}$  in  $M_x$ , the right-hand side of formula (2.6) reduces to three terms, which are all nonnegative.

This completes the proof. □

We start the *proof* of Theorem 2 with an algebraic lemma:

LEMMA 8. *Let  $x$  be a fixed point of a Riemannian manifold  $(M, g)$ . Then either there is an orthonormal triplet  $\{X, Y, Z\}$  of  $M_x$  such that  $\langle (\nabla_X R)_x(X, Y)Y, Z \rangle \neq 0$  or  $(\nabla R)_x = 0$  identically.*

PROOF. Let us denote, for the sake of brevity,  $\langle (\nabla_X R)_x(Y, Z)U, V \rangle$  by  $B(Y, Z, U, V; X)$ .

Suppose that

$$(3.1) \quad B(X, Y, Y, Z; X) = 0$$

for all orthonormal triplets  $\{X, Y, Z\}$ . Then the second Bianchi identity applied to the last three arguments gives

$$(3.2) \quad B(X, Y, Y, X; Z) = 0$$

for all orthonormal triplets  $\{X, Y, Z\}$ .

Further, we get

$$(3.3) \quad B(X, Y, U, Z; X) = 0$$

for each orthonormal quadruplet  $\{X, Y, Z, U\}$ . Indeed, we have

$$B(X, Y + U, Y + U, Z; X) = 0.$$

Also because  $B(X, Y, Y, Z; X) = 0$  and  $B(X, U, U, Z; X) = 0$ , we get

$$B(X, Y, U, Z; X) + B(X, U, Y, Z; X) = 0.$$

If we apply the first Bianchi identity to the first three arguments in the second term, we get, by the standard symmetries of  $B$ , that

$$(3.4) \quad 2B(X, Y, U, Z; X) = B(U, Y, X, Z; X).$$

After the transposition between  $Y$  and  $Z$  we get, by the symmetry of  $B$ , that

$$2B(U, Y, X, Z; X) = B(X, Y, U, Z; X).$$

Hence and from (3.4) we get

$$(3.5) \quad B(U, Y, X, Z; X) = B(X, Y, U, Z; X) = 0,$$

which is equivalent to (3.3).

Now, from (3.2) we get  $B(X, Y + U, Y + U, X; Z) = 0$  and, by the standard symmetries of  $B$ , we get finally

$$(3.6) \quad B(X, Y, U, X; Z) = 0$$

for each orthonormal quadruplet  $\{X, Y, Z, U\}$ .

Let us consider now an orthonormal quintuplet  $\{X, Y, Z, U, V\}$ . First we have from (3.3) that  $B(X + V, U, Y, Z; X + V) = 0$  and then

$$B(X, U, Y, Z; V) + B(V, U, Y, Z; X) = 0,$$

which can be rewritten as

$$B(X, U, Y, Z; V) + B(Y, Z, V, U; X) = 0.$$

Applying the second Bianchi identity to the second term, we obtain

$$2B(X, U, Y, Z; V) = B(Y, Z, X, V; U).$$

After the transposition between  $U$  and  $V$  we hence get

$$2B(X, V, Y, Z; U) = B(Y, Z, X, U; V),$$

and from the last two equalities we have

$$B(X, V, Y, Z; U) = B(Y, Z, X, U; V) = 0.$$

Finally, we obtain

$$(3.7) \quad B(X, Y, Z, U; V) = 0$$

for any orthonormal quintuplet  $\{X, Y, Z, U, V\}$ .

It remains to show that

$$B(X, Y, X, Y; X) = 0$$

holds for any orthonormal pair  $\{X, Y\}$ . First, from (3.2) we obtain, for each orthonormal triplet  $\{X, Y, U\}$  and for each  $\alpha$ ,

$$B(\sin \alpha X + \cos \alpha U, Y, \sin \alpha X + \cos \alpha U, Y; \cos \alpha X - \sin \alpha U) = 0,$$

which implies, due to (3.1) and (3.2),

$$(3.8) \quad \cos \alpha \sin^2 \alpha B(X, Y, X, Y; X) - \cos^2 \alpha \sin \alpha B(U, Y, U, Y; U) = 0.$$

Now the conclusion follows from (3.8).

This shows that  $B$  is a null tensor.  $\square$

The *proofs* of Theorem 2 and of Proposition 4 generalize the idea from the proof of Theorem B. First we set as follows: Because  $(\nabla R)_x$  is nonzero, then according to the Lemma 8 there is an orthonormal triplet  $\{Z_1, Z_2, Z_3\}$  in the tangent space  $M_x$  such that  $b = \langle (\nabla_{Z_1} R)_x(Z_2, Z_3)Z_2, Z_1 \rangle > 0$ . We put

$$X_1 = Z_1, \quad Y_1 = 0, \quad X_2 = \cos \beta Z_2, \quad Y_2 = -\sin \beta Z_3, \quad u = rZ_2,$$

and consider the point  $(x, u) \in T_r M$ , where  $r > 0$  and  $\beta \in (0, \pi/2)$  are not specified yet. Further, we put  $c = K(Z_1 \wedge Z_2) > 0$ . In the proofs we shall estimate the values of the sectional curvature  $\tilde{K}(\tilde{P})$  of the tangent two-plane  $\tilde{P}$  spanned by  $X_1^h$  and  $X_2^h + Y_2^t$  in  $(T_r M)_{(x, u)}$ .

PROOF OF THEOREM 2. Since  $x \in M$  is a spherical point, we have  $\|R_x(X_1, X_2)u\| = cr \cos \beta$  and  $R_x(u, Y_2)X_1 = 0$ . Thus, from (2.6), we obtain

$$\tilde{K}(\tilde{P}) = \cos \beta \left( c \cos \beta - \frac{3}{4} c^2 r^2 \cos \beta - br \sin \beta \right),$$



which becomes negative for  $\beta \in (0, \pi/2)$  tending to  $\pi/2$ .  $\square$

PROOF OF PROPOSITION 4. We write  $R_x(Z_1, Z_2)Z_2 = cZ_1 + W$ , where  $W \in M_x$  is orthogonal to  $Z_1$ . Hence, putting  $C = \|R_x(Z_1, Z_2)Z_2\|$ , we get  $C \geq c > 0$ . Put  $D = \|R_x(Z_2, Z_3)Z_1\| \geq 0$ . Now, from (2.6), we obtain

$$\tilde{K}(\tilde{P}) = r \sin \beta \left( \frac{1}{4} r D^2 \sin \beta - b \cos \beta \right) + \cos^2 \beta \left( c - \frac{3}{4} C^2 r^2 \right).$$

The second term is zero for  $C = 0$  and every  $r > 0$ ; and it is nonpositive for  $C > 0$  and for every  $r \geq 2\sqrt{c}/\sqrt{3} C$ . Let us fix a number  $r > 0$  for which this second term is nonpositive. The first term is then negative for all  $\beta \in (0, \pi/2)$  such that  $\text{ctg } \beta > (1/4)rD^2/b$ . Thus a two-plane at  $(x, u) \in T_r M$  with negative sectional curvature exists.  $\square$

PROOF OF COROLLARY 5. Because  $(T_r M, \tilde{g})$  is a space of nonnegative sectional curvature, we see that, putting  $Y_1 = Y_2 = 0$  in (2.6),  $(M, g)$  is also a space of nonnegative sectional curvature. Hence, by Proposition 4,  $(M, g)$  is locally symmetric.  $\square$

The *proof* of Theorem 6 is based on the following two lemmas. The first one is obvious:

LEMMA 9. *Let  $(M, g)$ ,  $\dim M \geq 3$ , be a Riemannian manifold such that the conformal Weyl tensor  $W$  vanishes. Let  $\{E_1, E_2, \dots, E_n\}$  be a basis of  $M_x$  which diagonalizes the Ricci tensor  $\text{Ric}_x$ . Then  $R_x(E_i, E_j)E_k = 0$  for every triplet of distinct indices  $\{i, j, k\}$ .*

LEMMA 10. *Let  $x$  be a fixed point of a Riemannian manifold  $(M, g)$ ,  $\dim M \geq 3$ , such that the conformal Weyl tensor  $W$  vanishes and let  $\langle (\nabla_X R)_x(X, Z)Y, Z \rangle = 0$  holds whenever  $\{X, Y, Z\}$  is an orthonormal triplet in  $M_x$  such that  $R_x(X, Y)Z = 0$ . Then  $(\nabla R)_x = 0$  identically.*

PROOF. We again denote  $\langle (\nabla_X R)_x(Y, Z)U, V \rangle$  by  $B(Y, Z, U, V; X)$ . We also denote by  $\{E_1, E_2, \dots, E_n\}$  a basis of  $M_x$  which diagonalizes the Ricci tensor  $\text{Ric}_x$ .

First, by Lemma 9 and by the assumption of Lemma 10, we have

$$B(E_i, E_k, E_j, E_k; E_i) = 0$$

for each triplet of distinct indices  $\{i, j, k\}$ . Next we have, according to Lemma 9,

$$R_x(\sin \alpha E_i + \cos \alpha E_j, \cos \alpha E_i - \sin \alpha E_j)E_k = 0$$

for each triplet of distinct indices  $\{i, j, k\}$  and each  $\alpha$ . Hence we get

$$B(\sin \alpha E_i + \cos \alpha E_j, E_k, \cos \alpha E_i - \sin \alpha E_j, E_k; \sin \alpha E_i + \cos \alpha E_j) = 0$$

for each  $\alpha$ . Now, we have

$$B(E_j, E_k, E_i, E_k; E_j) = 0 \quad \text{and} \quad B(E_i, E_k, E_j, E_k; E_i) = 0.$$

From the second Bianchi identity we also have

$$B(E_i, E_k, E_i, E_k; E_j) = B(E_j, E_k, E_j, E_k; E_i) = 0.$$

Now, a simple computation gives

$$\sin^2 \alpha \cos \alpha B(E_i, E_k, E_i, E_k; E_i) - \sin \alpha \cos^2 \alpha B(E_j, E_k, E_j, E_k; E_j) = 0$$

for each  $\alpha$  and hence  $B(E_i, E_k, E_i, E_k; E_i) = B(E_j, E_k, E_j, E_k; E_j) = 0$ . This means that  $B(E_i, E_j, E_i, E_j; E_i) = 0$  for each pair of indices  $i$  and  $j$ .

Further, if  $\dim M \geq 4$  and  $i, j, k, l$  are distinct indices, we have

$$R_x(E_i, E_j)(E_k + E_l) = 0$$

and hence

$$B(E_i, E_k + E_l, E_j, E_k + E_l; E_i) = 0.$$

This implies

$$B(E_i, E_k, E_j, E_l; E_i) + B(E_i, E_l, E_j, E_k; E_i) = 0,$$

and applying the first Bianchi identity to the middle arguments in the second term, we easily obtain

$$2B(E_i, E_k, E_j, E_l; E_i) = B(E_i, E_j, E_k, E_l; E_i).$$

Interchanging the indices  $j$  and  $k$ , we get an analogous equality and hence we conclude that  $B(E_i, E_j, E_k, E_l; E_i) = 0$  for each distinct indices  $i, j, k, l$ . Applying the second Bianchi identity to the last three arguments and using also the standard symmetries, we obtain

$$(3.9) \quad B(E_k, E_i, E_j, E_i; E_l) + B(E_l, E_i, E_i, E_j; E_k) = 0.$$

Now, because  $R_x(E_k + E_l, E_j)E_i = 0$  holds for all distinct  $i, j, k, l$ , we obtain  $B(E_k + E_l, E_i, E_j, E_i; E_k + E_l) = 0$ . Omitting the terms which vanish identically, we get

$$(3.10) \quad B(E_k, E_i, E_j, E_i; E_l) + B(E_l, E_i, E_j, E_i; E_k) = 0.$$

Equations (3.9) and (3.10) now imply that  $B(E_i, E_j, E_i, E_k; E_l) = 0$  for all distinct  $i, j, k, l$ .

Let now  $\dim M \geq 5$  and let  $i, j, k, l, m$  be five distinct indices. Because

$$R_x(E_i + E_m, E_j)(E_k + E_l) = 0,$$

we get

$$B(E_i + E_m, E_k + E_l, E_j, E_k + E_l; E_i + E_m) = 0.$$

Omitting the terms which vanish according to the previous equalities, we get

$$\begin{aligned} & B(E_i, E_k, E_j, E_l; E_m) + B(E_i, E_l, E_j, E_k; E_m) \\ & + B(E_m, E_k, E_j, E_l; E_i) + B(E_m, E_l, E_j, E_k; E_i) = 0. \end{aligned}$$

Now, applying the first Bianchi identity to the middle arguments in the second and the last term, we get

$$(3.11) \quad \begin{aligned} & 2B(E_i, E_k, E_j, E_l; E_m) - B(E_i, E_j, E_k, E_l; E_m) \\ & + 2B(E_m, E_k, E_j, E_l; E_i) - B(E_m, E_j, E_k, E_l; E_i) = 0. \end{aligned}$$

Interchanging the indices  $j$  and  $k$ , we hence get

$$(3.12) \quad \begin{aligned} & 2B(E_i, E_j, E_k, E_l; E_m) - B(E_i, E_k, E_j, E_l; E_m) \\ & + 2B(E_m, E_j, E_k, E_l; E_i) - B(E_m, E_k, E_j, E_l; E_i) = 0. \end{aligned}$$

Now, adding twice the second equality (3.12) to the first equality (3.11), we get by the standard symmetry of  $B$ :

$$B(E_k, E_l, E_i, E_j; E_m) + B(E_k, E_l, E_m, E_j; E_i) = 0.$$

Applying the second Bianchi identity to the last three arguments in the second term, we get

$$2B(E_k, E_l, E_i, E_j; E_m) - B(E_k, E_l, E_i, E_m; E_j) = 0.$$

Interchanging the indices  $j$  and  $m$ , we obtain a new equality and then we finally get

$$B(E_k, E_l, E_i, E_j; E_m) = B(E_k, E_l, E_i, E_m; E_j) = 0.$$

From all this we may conclude that  $B(E_i, E_j, E_k, E_l; E_m) = 0$  for any indices  $i, j, k, l, m$  and hence  $B = 0$ , as required.  $\square$

**PROOF OF THEOREM 6.** Let us suppose that the space  $(M, g)$  is not locally symmetric. Then, at some point  $x \in M$  we have  $(\nabla R)_x \neq 0$ . According to Lemma 10, there is an orthonormal triplet  $\{Z_1, Z_2, Z_3\}$  in  $M_x$  such that  $\langle (\nabla_{Z_1} R)_x(Z_1, Z_2)Z_2, Z_3 \rangle > 0$  and, at the same time,  $R_x(Z_1, Z_2)Z_3 = 0$ . Then, using the same procedure as in the proof of Theorem 2, we find for every  $r > 0$  a tangent two-plane of  $T_r M$  with negative sectional curvature, which is a contradiction.  $\square$

In the *proof* of Corollary 7 we shall use the following theorem by Takagi [9].

**THEOREM C ([9]).** *Let  $(M, g)$  be a connected conformally flat Riemannian homogeneous manifold of dimension  $n$ . Then  $(M, g)$  is locally isometric to*

$$M^n(c), \quad \text{or} \quad M^s(c) \times M^{n-s}(-c) \quad (2 \leq s \leq n-2), \quad \text{or} \quad M^{n-1}(c) \times \mathbb{R}^1,$$

where  $M^n(c)$  is an  $n$ -dimensional space of constant curvature  $c \neq 0$  and  $\mathbb{R}^1$  is the Euclidean 1-space.

**PROOF OF COROLLARY 7.** If  $(T_r M, \tilde{g})$  is a space of nonnegative sectional curvature for every sufficiently small radius  $r > 0$ , then, by Theorem 6,  $(M, g)$  is locally symmetric and hence locally isometric to a symmetric space, which is globally homogeneous. Hence, for  $n > 3$ , the result follows from Theorem C.

For  $n = 3$ , the only simply connected symmetric spaces with nonnegative sectional curvature are  $\mathbb{R}^3$ ,  $S^3(c)$  and  $S^2(c) \times \mathbb{R}^1$ .

The “only if” part follows from Theorem 1. □

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